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50-state Survey of Firm Licensure Requirements for Architectural and Engineering Firms

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Alabama	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Kevin R. Garrison, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, P.C. 420 20th Street North Wells Fargo Tower, Ste 1400 Birmingham, Alabama 35203 (205) 250-8333 kgarrison@bakerdonelson.com	Architecture Alabama Board of Architects www.boa.alabama.gov/ Licensing Statute – Ala. Code § 34-2-1, et seq. Licensing Rules – http://www.boa.alabama.gov/Architects/ArchHandbook.aspx?m=architects	Corporations, professional corporations, professional associations, partnerships, or limited liability companies may practice architecture by meeting the following requirements and obtaining a Certificate of Authorization (COA): (a) 2/3 or more of its officers, partners, directors, members, or others (depending on the legal structure of the entity) are registered/licensed architects and/or licensed professional engineers in any US jurisdiction and are also voting stockholders of the entity; and (b) at least one of the stockholding officers, directors, or members must be an architect licensed in Alabama who has authority to contractually bind the entity and whose name and seal shall appear on all documents prepared under his/her responsible control.	There are no specific requirements in Alabama for the corporate formation of an architectural firm, although there are ownership requirements in order to obtain a COA. The statute and regulations expressly permit corporations, professional corporations, professional associations, partnerships, and limited liability companies to obtain COAs. Along with any other licensed profession, architects may organize their business pursuant to Alabama's Professional Corporations Law. Ala. Code § 10A-4-1.01, et seq. The primary distinction of this law is that ownership is limited to qualified persons (e.g., individuals licensed as architects).
	Engineering Alabama Board of Licensure for Professional Engineers and Professional Land Surveyors http://www.bels.alabama.gov Statute & Regulations: http://www.bels.alabama.gov/Laws.as px	Corporations, firms, partnerships, and LLCs, that perform or offer to perform engineering and/or land surveying work are required to have a Certificate of Authorization ("CA"). At least one of the principal officers, or partners, of a business entity seeking a CA must be licensed as a PE. If an individual practices under his or her own given name (i.e., John Doe, PE), they do not	There are no specific requirements in Alabama for the corporate formation of an engineering firm. Although only corporations, firms and partnerships are the only business entities listed by name in the regulations and statute, engineering firms are permitted to practice under all types of business entities authorized by law to conduct business in Alabama.

Alabama	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Statutes –	need a CA. If they practice as John Doe	Along with any other licensed profession, engineers
	Code of Alabama, Title 34, Chapter 11	Engineering, they are required to have a CA.	may organize their business pursuant to Alabama's
	Ala. Code § 34-11-1, et seq.	An organization or individual operating under	Professional Corporations Law. Ala. Code § 10A-4-
		a fictitious name must obtain a CA.	1.01, et seq. The primary distinction of this law is
	Licensing Regulations –		that ownership is limited to qualified persons (e.g.,
	Alabama Administrative Code	In order to obtain a CA, the business entity	individuals licensed as professional engineers).
	Chapter 330 Ala. Admin. Code r. 330-x-	must file an application, pay a fee, and	
	1, et seq.	designate a resident licensed PE or land	
		surveyor in responsible charge of the	
		engineering or land surveying work for each	
		separate office or branch office. The names	
		and addresses of all officers, partners, board	
		members and resident licensees must be	
		provided to the board.	

Alaska	Design Professional Regulation	Firm License	Corporate Formation Requirements
Alaska Authors Bryan A. Kelley, Esq. Melia Preedy, Esq. OLES MORRISON RINKER & BAKER, LLP 701 Pike Street, Suite 1700 Seattle, WA 98101 (206) 623-3427 kelley@oles.com preedy@oles.com	Architecture and Engineering Alaska State Board of Registration for Architects, Engineers, and Land Surveyors http://www.commerce.alaska.gov/dnn/cbpl/ProfessionalLicensing/BoardofArchitectsEngineersandLandSurveyors.aspx Licensing Statute – AS ch. 08.48 Licensing Regulations – 12 AAC ch. 36 Professional Corporation Act - AS ch. 10.45	All business entities offering architectural, engineering, land surveying, or landscape architectural services must obtain firm licensure, which is obtained by submitting to the Board an application for a certificate of authorization, a certified copy of resolution, an affidavit and designation of persons "who are in responsible charge" of the architectural activities in the corporation—to practice architecture or engineering in Alaska. AS §08.48.241(a). For an architecture or engineering firm to obtain a certificate of authorization, it must assign all architectural or engineering decisions to an architect or engineer in responsible charge (or a registered architect	If a corporation, LLC, or LLP is organized solely by a group of architects or engineers each holding a certificate of registration and applies for a certificate of authorization, the board may grant a certificate based on review of the professional records of the organizers forming the firm in place of the required qualifications of AS §08.48.241. AS §08.48.241(e). For architecture or engineering firms organized as a partnership (not including LLPs), all of the members of the partnership must be legally registered architects or engineers under AS ch. 08.48. AS § 08.48.251. While it is not required that a firm operate as a professional corporation in order to practice architecture or engineering in Alaska, there are corporate governance requirements for those that
		decisions to an architect or engineer in	architecture or engineering in Alaska, there are
		or engineering activities; state the experience, if any, of the firm during the preceding five-year period; specify the major	55. p 5. 25. 6. 6 3261 15. 626 (a)

Alaska	Design Professional Regulation	Firm License	Corporate Formation Requirements
		branches of architecture or engineering AS	
		§08.28.241(b)-(d). A certificate of	
		authorization will be issued to an applicant	
		that meets the qualifications in AS	
		§08.48.241 and submits to the additional	
		requirements of 12 AAC 36.135.	

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture and Engineering	No firm shall engage in the practice of	There are no restrictions concerning the type of
		architecture, assaying, geology, engineering,	organizational entity that must be used for purposes of
Barry Willits, Esq.	Architects, engineers, assayers, home	landscape architecture or land surveying	registering a design firm. The definition of a "firm"
Kevin M. Kasarjian, Esq.	inspectors, geologists, landscape	unless the firm is registered with the BTR and	contemplates a wide range of organizational structures,
HOLDEN WILLITS PLC	architects, surveyors, alarms, certified	the professional services are conducted under	including partnerships, corporations and any "other type of
Two North Central Avenue,	remediation specialists, and	the full authority and responsible charge of a	association, including the association of a non-registrant and a
Suite 1220	clandestine drug laboratory site	principal of the firm, who is also a registrant.	registrant who offers to the public professional services
Phoenix, AZ 85004	remediation on-site workers and on-		regulated by the Board." This includes, among other things,
(602) 508-6210	site supervisors	A "firm" means any individual or partnership,	professional and general corporations, professional and
bwillits@holdenwillits.com		corporation or other type of association,	general limited liability companies, partnerships, joint
kkasarjian@holdenwillits.com	Arizona Board of Technical	including the association of a non-registrant	ventures and sole proprietorships.
	Registration ("BTR")	and a registrant who offers to the public	
	https://btr.az.gov	professional services regulated by the BTR.	Regardless of the organizational entity adopted, a registered
			design professional is responsible and personally liable for all
	Licensing Statute – A.R.S. § 31-101	Firms must list a description of the services the	documents the registrant signs, stamps or seals. A.R.S. § 32-
	through § 32-150	firm is offering to the public. It must also	125(E); North Peak Constr., LLC v. Architecture Plus, Ltd., 227
		identify a registrant in each category of	Ariz. 165, 254 P.3d 404 (App. 2011). Shareholders of
	Licensing Regulations – Arizona	services the firm offers. This registrant must	professional corporations and members of professional
	Administrative Code ("AAC") at R4-30-	be a principal of the firm.	limited liability companies are also personally liable for any
	1-1 through R4-30-307		negligent or wrongful acts, omissions or misconduct they
		A "principal" is an individual who is either (1)	commit or that are committed by any person under their
		an officer of the corporation, or (2) is	direct supervision and control. A.R.S. §§ 10-2234 and 29-846.
		designated by a firm as having full authority	
		and responsible charge of the services offered	A professional corporation may but is not required
		by the firm.	to be formed for the purpose of rendering professional
			services under A.R.S. § 10-2201, et seq. A professional
			corporation may be a general partner of a partnership, a

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
		If a firm has more than one branch office in	trustee of a trust, a co-venturer of a joint venture or a
		Arizona, each branch must file a registration	manager of a limited liability company or any other entity,
		application with the BTR. Each branch must	only if the partnership, trust, joint venture, limited liability
		also designate a registrant in charge of the	company or other entity is engaged solely in rendering
		professional services of that office. The	professional services or in carrying on business authorized by
		designated registrant for a branch office does not need to be a principal of the firm.	the professional corporation's articles of incorporation.
		Designated registrants must notify the BTR if	A domestic or foreign professional corporation may render
		their status as a designated registrant changes	professional services only through individuals licensed in
		or if they are no longer employed by the firm.	Arizona to render the services. A professional corporation may not render any professional service or engage in any
		Only one principal registrant (for firm	business other than the professional service, including
		registration) or designated registrant (for	services ancillary to the professional service, and the business
		branch registration) is allowed for each	authorized by its articles of incorporation, except to the
		category of service offered to the public.	extent that the other service or business is incidental to or an
		Categories include architecture, assaying,	inconsequential portion of the authorized service or business
		certified remediation specialist, geology, home	of that corporation.
		inspection, landscape architecture and	
		surveying. Engineering firms may list an	At least one-half of the directors and the president, if any,
		engineer as a responsible registrant in each	must be licensed, in Arizona or in another state, to render a
		branch of engineering services offered. The	professional service described in the corporation's articles of
		BTR currently recognizes seventeen branches	incorporation.
		of engineering. R4-30-221.	
		A magistuant viba is designated as a magistratic	Voting shares for a professional corporation may only be
		A registrant who is designated as a responsible	issued to (1) individuals licensed in Arizona or another state;
		registrant is responsible for the firm or	(2) general partnerships registered limited liability
		corporation. The BTR may impose disciplinary action on the responsible registrant for any	partnerships, other partnerships and joint ventures in which
		action on the responsible registrant for any	at least one partner is licensed in Arizona; (3) professional

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
		violation of statutes or rules that is committed	corporations, professional limited liability companies and
		by a non-registrant employee, firm, or	other persons licensed in Arizona; (4) other persons as long as
		corporation. R4-30-301(20).	such other persons in the aggregate do not hold more than
			49% of the voting shares; and (5) an employee stock
		Firms must apply for registration annually. All	ownership plan if all of the voting trustees of the plan are
		registrations expire one year from the date of	licensed in Arizona and the ownership interests are not
		issuance and must be renewed within thirty	directly issued to persons other than the employee stock
		days of the anniversary date of the original	ownership plan trust or licensed professionals.
		registration, with some exceptions for military	
		personnel as set forth in A.R.S. § 32-4301.	A professional limited liability company may be formed for
			the purpose of rendering professional services under A.R.S. §
		Applications can be downloaded from the	29-841, et seq. Professional limited liability companies may
		BTR's website and hand-delivered or mailed to	only render services through members, managers, officers,
		the BTR. Each application for firm or branch	agents and employees who are themselves licensed in
		registration must be accompanied by a	Arizona.
		nominal fee. Any changes to the firm's	
		principals, designated registrants, services	Membership interests are limited to (1) individuals licensed in
		offered, or address must be reported within	Arizona or another state; (2) general partnerships registered
		thirty days.	limited liability partnerships, other partnerships and joint
			ventures in which at least one partner is licensed in Arizona;
		Failure of a firm or branch to properly register	(3) professional corporations, professional limited
		with the BTR may result in disciplinary	liability companies and other persons licensed in Arizona; and
		procedures for practicing without being	(4) other persons as long as such other persons in the
		registered. Possible discipline includes the	aggregate do not hold more than 49% of the membership
		cancellation of the firm's or branch's authority	interests entitled to vote for the election of officers and
		to offer professional services in Arizona.	managers.

Arkansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Patrick D. Wilson, Esq. WRIGHT LINDSEY & JENNINGS, LLP 200 West Capitol Avenue, Suite 2300 Little Rock, AR 72201 (501) 371-0808 pwilson@wlj.com	Architecture Arkansas State Board of Architects, Landscape Architects, and Interior Designers http://asbalaid.arkansas.gov/Pages/default.aspx Licensing Statute – Ark. Code Ann. § 17-15-301, et seq. Licensing Rules – http://asbalaid.arkansas.gov/rulesRegs/Pages/default.aspx	Any corporation, professional corporation, and/or any partnership may not offer to engage in the practice of architecture until the corporation or partnership has obtained a certificate of authorization (license). A certificate of authorization is issued by the Board after it receives an application, a payment of a fee, and a copy of the corporation's articles of incorporation (corporations only).	A partnership or corporation may be admitted to practice architecture in Arkansas if: (1) 2/3 of partners (partnership) or 2/3 of the directors (corporation) are registered under the laws of any state to practice architecture or engineering; and (2) the person having the practice in his charge is a partner or director and is registered to practice architecture in Arkansas.
	Engineering Arkansas State Board of Licensure for Professional Engineers and Professional Surveyors http://www.pels.arkansas.gov/Pages/default.aspx Licensing Statutes — Ark. Code Ann. § 17-30-301, et seq. Licensing Rules of the Board — http://www.pels.arkansas.gov/rulesRegsStandards/Pages/default.aspx	The practice of engineering by individuals licensed through any form of business entity (including partnerships, corporations, organizations, associations, etc.) is permitted if: (1) The professional engineers of the firm are licensed; and (2) The firm has been issued a certificate of authorization (license) by the Board. A certificate of authorization is issued with the Board's approval provided the firm files an application, provides all information required including the names and addresses of individuals licensed to practice in the state who will be in charge of the firm's practice of engineering, and provides a fee.	The Secretary of State shall not issue a certificate of incorporation to an applicant or a registration as a foreign firm to a firm that includes among the objectives for which it is established any of the words "engineer", "engineering", or any modification or derivation thereof unless the board of licensure for this profession has issued for the applicant a certificate of authorization or a letter indicating the eligibility of the applicant to receive such a certificate.

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	The right to practice architecture is an individual	Naming Restrictions
		right based on an individual's ability to take and	Under the APA, the name of a professional
Julie Hunting, Esq.	Regulating Agencies	pass the required examinations, meet the minimum	architectural corporation and any names under
Berding-Weil	California Architects Board	experience criteria, and to follow the professional	which it may be rendering professional services
2175 N. California Blvd.,	http://www.cab.ca.gov	rules of conduct. Therefore, a business entity	shall contain and be restricted to the name or the
Suite 500		cannot carry an architectural license. However,	last name of one or more of the present,
Walnut Creek, CA 94596	Division of State Architect (DSA)	once an architect is licensed, he or she must	prospective, or former shareholders, or of persons
(925) 838-2090	http://www.dgs.ca.gov/dsa/home.aspx	maintain a file with the Board which includes	who were associated with a predecessor person,
jhunting@berding-weil.com	 Regulates design and 	information on the business entity through which	partnership, or other organization and whose name
	construction of public schools	he or she provides architectural services (CA B&P §	or names appeared in the name of the predecessor
		5558)	organization, and shall include either (1) the words
	Office of Statewide Health Planning		"architectural corporation" or (2) the word
	and Development (OSHPD)	Requirements for Most Business Entities	"architect" or "architects" and wording or
	http://www.oshpd.ca.gov	Licensed architects are not prohibited from forming	abbreviations denoting corporate experience (CA
	 Regulates design and 	the following business entities with persons who	B&P § 5610.3)
	construction of hospitals	are not architects, provided that any architects'	
		professional services are provided under the	<u>Licensing Requirements</u>
	Relevant Statutes	responsible control of a licensed architect (CA B&P	Under the APA, a licensed architect may form a
	Architects Practice Act (APA)	§ 5535.2):	partnership with unlicensed persons, however, the
	CA Business and Professions Code (CA		name of the licensed architect shall appear on all
	B&P) §§ 5500 et seg.	 Sole Proprietorship (Individual) 	instruments of service (CA B&P §5535.2)
		2. Partnership	
	Licensing Statute	3. Limited Partnership	Under the APA, each director, shareholder and
	CA B&P §§ 5550 – 5558	4. Limited Liability Partnership	officer of a professional architectural corporation
		5. Limited Liability Company	shall be a licensed person as defined in the (PCA),
	Licensing Regulations	6. General Corporation	except as provided in PCA § 13403 (CA B&P §
	California Code of Regulations, Title 16,		5610.4)
	Div. 2, §§ 100 – 160	Special Requirements for Professional Architectural	
		<u>Corporations (PAC's)</u>	

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Corporation Regulations	In a PAC, each director, shareholder and officer	Exemptions
	Moscone-Knox Professional	shall be licensed (CA B&P § 5610.4), except where	
	Corporation Act (PCA) CA Corp Code §§ 13400 et seq	the PAC has only one shareholder. In that case, that single shareholder must serve as the president and treasurer, and if there are other officers, they need not be licensed (PCA § 13403)	 The APA provides several exemptions from its requirements for the following individuals: Structural Engineer (CA B&P § 5537.1) Licensed Contractor, provided the services are performed by or under the direct supervisions of a person licensed to practice architecture, or a professional or civil engineer (CA B&P § 5537.2) Professional Engineer (CA B&P § 5537.4) Civil Engineer (CA B&P § 5537.5) Landscape Architect (CA B&P § 5537.6) Land Surveyor (CA B&P § 5537.7)
	Engineering Regulating Agencies Board for Professional Engineers and Land Surveyors http://www.pels.ca.gov	The right to practice engineering is an individual right based on an individual's ability to take and pass the required examination, meet the minimum experience criteria, and to follow the professional rules of conduct. A licensed architect must maintain a file with the Board which includes information on the business entity through which he or she	Naming Restrictions If the business name contains the name of any person, that person shall be licensed as a professional engineer, a licensed land surveyor, a licensed architect, or a registered geologist (CA B&P § 6738(a)(3))
	Division of State Architect (DSA) http://www.dgs.ca.gov/dsa/home.aspx Regulates design and construction of public schools 	provides architectural services (CA B&P § 5558) Requirements for Business Entities Licensed engineers are not prohibited from forming business entities with persons who are not engineers, if: (i) a licensed engineer is an owner,	The business name may be a fictitious name, however, if it includes the name of any person, the requirements of CA B&P § 6738(a)(3) must be satisfied (CA B&P 6738(c)) If the business name is the name of a deceased or
		partner, or officer in charge of the engineering	retired person, all of the following conditions

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Office of Statewide Health Planning	practice; and (ii) all engineering services are	be satisfied: (1) the person's name had been used
	and Development (OSHPD)	performed by, or under the responsible charge of, a	in the name of the business, or a predecessor in
		licensed professional engineer (CA B&P § 6738(a)).	interest of the business, prior to and after the
	http://www.oshpd.ca.gov	The following business entities are allowed:	death or retirement of the person; (2) the person
			was an owner, partner or officer of the business or
	 Regulates design and 	Sole Proprietorship (Individual)	of the predecessor in interest of the business; (3)
	construction of hospitals	2. Partnership	the person was licensed as a professional engineer,
		3. Limited Partnership	land surveyor, architect or geologist; (4) the
	Relevant Statutes	4. Limited Liability Partnership	person, if retired, consents to the use of the name
	Professional Engineers Act (PEA)	5. Limited Liability Company	(CA B&P § 6738(g))
	CA Business and Professions Code (CA	6. General Corporation	
	B&P) §§ 6700 et seg.	·	Licensing Requirements
			Under the PEA, all business entities providing
	Licensing Statute		engineering services must have: (1) at least one
	CA B&P §§ 6750 – 6766		engineer licensed in CA as an owner, partner, or
			officer in charge of the engineering practice of the
	Licensing Regulations		business; and (2) all civil, mechanical and electrical
	California Code of Regulations, Title 16,		engineering services performed by or under the
	Div. 5, §§ 400 - 476		responsible charge of a professional engineer
	, ,		licensed in the appropriate branch of professional
			engineering (CA B&P § 6738(a)(1) and (2))
			Note: An unlicensed person may be the partner or
			officer of a business, provided the requirements of
			CA B&P § 6738(a)(1) and (2) are satisfied.
			Therefore, an unlicensed person may NOT be the
			sole owner of a business
			Exemptions
			The PEA provides several exemptions from its

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
			requirements, including the following:
			 Licensed Architects (CA B&P § 6737)
			 Licensed Contractors for electrical or
			mechanical engineering services, so long as
			they are performed by or under responsible
			charge of a licensed electrical or mechanical
			engineer (CA B&P § 6737.3)
			 Federal Officers and Employees practicing
			solely as officers and employees (CA B&P §
			6739)
			 Nonresidents, provided they meet all of the
			following conditions: (1) nonresident of CA;
			(2) legally qualified in another state to
			practice; (3) do not maintain a regular place
			of business in CA; and (4) offers to but does
			not practice civil, electrical, or mechanical
			engineering in CA (CA B&P § 6741)

Colorado	Design Professional Regulation	Firm License	Corporate Formation Requirements
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Colorado	Design Professional Regulation	Firm License	Corporate Formation Requirements
			entity shall mislead the public as to the fact that such
			member is licensed as an architect or as a professional
			engineer.
	Engineering	Colorado State Board of Licensure for Architects, Professional Engineers and	Colorado has no specific requirements for the corporate formation of an engineering firm beyond the
	Colorado State Board of Licensure for	Professional Land Surveyors does not	general requirements of forming a firm under the
	Architects, Professional Engineers and	license firms to practice as engineering	Colorado Secretary of State. Engineering firms are
	Professional Land Surveyors	firms.	permitted to practice under all types of business
	http://cdn.colorado.gov/cs/Satellite/DORA	111113.	entities.
	-Reg/CBON/DORA/1251632143556	A partnership, corporation, limited liability company, joint stock association, or other	critics.
	Licensing Statutes –	entity is not eligible for licensure under	
	Colorado Revised Statutes	Colorado Revised Statute §12-25-104. An	
	Title 12. Professions and Occupations,	entity may practice or offer to practice	
	Article 25. Engineers, Surveyors, and	engineering in Colorado only if the	
	Architects	individual in responsible charge of the	
	Part 1. Engineers	entity's engineering activities performed in	
		Colorado is a professional engineer licensed	
	Licensing Regulations –	in Colorado. All engineering documents,	
	Code of Colorado Regulations	plats, and reports issued by or for the entity	
	4 CCR 730-1 – Bylaws and Rules of the	in connection with engineering work	
	State Board of Licensure for Architects,	performed in this state must bear the seal	
	Professional Engineers, and Professional	and signature of the Colorado-licensed	
	Land Surveyors	professional engineer who is in responsible	
		charge of and directly responsible for the	
		engineering work.	
		Colorado requires general registration of all	
		types of business entities (corporation,	

Colorado	Design Professional Regulation	Firm License	Corporate Formation Requirements
		limited liability company, partnership, etc.).	
		Sole Proprietorship is registered under a	
		trade name.	

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
Connecticut Author Frank A. Sherer III, Esq. McElroy, Deutsch, Mulvaney & Carpenter, LLP One State Street, 14th Floor, Hartford, CT 06103 (860) 522-5175 fsherer@mdmc-law.com	Architecture Architectural Licensing Board (the "Board"): - established per Conn. Gen. Stat. § 20-289 - keeps roster of individual licensees, persons holding certificates of authority, and corporations holding certificates of authorization to practice architecture in Connecticut - website accessible through Department of Consumer Protection's website (www.ct.gov/dcp) Licensing Statutes: Conn. Gen. Stat. §§ 20-288 to 20-298b	Connecticut law permits individual licensed architects to practice or offer to practice architecture in Connecticut in the corporate form, provided that the Board issues such corporation a certificate of authorization. Connecticut law also permits one or more licensed architects, one or more licensed landscape architects, one or more licensed professional engineers and one or more licensed land surveyors to form a corporation or limited liability company for the joint practice of architecture, landscape architecture, professional engineering, land surveying services or any combination of such practices or services, provided that a qualifying corporation or limited liability company obtains a joint certificate of	Business Corporations: - Must have certificate of authorization issued by the Board - A material part of the business of the corporation must include architecture - Personnel of corporation as act in its behalf as architects, its CEO and the holder(s) of at least 2/3 of its voting stock must be licensed to practice architecture in Connecticut - Foreign corporations must obtain a certificate of authority from the Secretary of State before transacting business in Connecticut - If corporation practices or offers to practice architecture, professional engineering, landscape architecture and land surveying jointly, in any combination of such practices/services, (1) one or more persons licensed as architects, landscape architects, engineers or land surveyors must own at least 2/3 of voting stock of corporation and members of each profession forming corporation together must own at least 20% of voting stock of corporation, (2) the personnel in responsible charge of the practice of architecture, engineering, land surveying and landscape architecture shall be licensed in Connecticut, and (3)
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	20-289-14	The statutory definition of "professional service" includes services rendered by an architect, which imposes certain limitations on the ability of architects to form professional service corporations and limited liability companies.	direction of the Architectural Licensing Board, the State Board of Landscape Architects or the appropriate members of the State Board of Examiners for Professional Engineers and Land Surveyors. Professional Service Corporations (P.C.): Must have certificate of authorization issued by the Board Must be organized for sole and specific purpose of rendering

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		Aside from corporations and aside from	service of architecture or rendering joint service of architecture
		limited liability companies formed to jointly	and professional engineering
		offer any combination of architecture,	- Must have as shareholders only individuals who themselves are
		professional engineering, land surveying	licensed or otherwise legally authorized to render the same
		and landscape architecture,, the	professional service as the P.C.
		Connecticut statutes and regulations	- Persons licensed to render the same professional services in
		governing licensure of architects do not	another jurisdiction shall not be shareholders, directors or officers
		require registration or licensure at the firm	of a P.C. if such persons (1) unlawfully practice their profession in
		level for any other business organization	Connecticut or (2) direct or control any person licensed to practice
		(e.g. partnership, limited partnership,	such profession in Connecticut concerning the delivery of
		registered limited liability partnership, joint	professional services or the exercise of professional judgment
		venture, joint enterprise, professional	- Must render architectural (or joint architectural and professional
		association, sole proprietorship) in which	engineering) services through its officers, employees and
		individual licensed architects practice or	
		offer to practice architecture in	agents who are licensed or otherwise legally authorized to render
		Connecticut.	such professional services within Connecticut
			- Cannot engage in any business other than the rendering of the
		The Connecticut statutes and regulations	professional services for which P.C. was specifically incorporated
		governing foreign corporations, foreign	- Foreign P.C. must obtain a certificate of authority from the
		limited liability companies and foreign	Secretary of State before transacting business in Connecticut
		registered limited liability partnerships,	- A domestic P.C. cannot merge with a foreign P.C.
		however, do require such foreign business	
		entities to obtain and/or file certificates of	Limited Liability Companies (LLC):
		authority from and/or register with the	- Each member of LLC formed to render architectural services or the
		Secretary of State to do business in	joint services of architecture and professional engineering must be
		Connecticut. Failure to do so exposes these	licensed or otherwise authorized by law in Connecticut to render
		foreign entities to penalties and other	professional service for which LLC formed
		limitations, such as the inability to maintain	- LLC can only offer architectural or jointly offer architectural and
		an action, suit or proceeding in Connecticut	professional engineering services and services ancillary to them
		court, payment of lapsed fees and taxes,	and may not engage in any other business other than rendering of

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
		and revocation of provisional authority to	professional services for which LLC was formed and services
		transact business in Connecticut.	ancillary to them
			- May render architectural or joint architectural and engineering
			services through members, managers, employees and agents
			licensed or otherwise legally authorized to render such
			professional services in Connecticut
			- Domestic LLC formed to render professional services can only
			merge with another domestic LLC formed to offer same
			professional services
			- No merger of domestic LLC formed to render professional services
			with any foreign LLC or other foreign entity
			- Foreign LLC must register with Secretary of State before
			transacting business in Connecticut
			Professional Associations:
			- Three or more persons licensed or authorize to practice
			architecture in Connecticut may associate to practice the
			profession for profit if articles of association have three of
			following four attributes:
			(1) Continuity of life so that death, insanity, bankruptcy,
			retirement, resignation or expulsion of any member does not
			cause dissolution of association
			(2) Centralized management
			(3) Limited liability of members, with some conditions
			(4) Free transferability of members' interests
			Partnerships:
			- One or more licensed architect and one or more licensed
			professional engineer may form a partnership, joint enterprise or
			association, provided that at least half of the partners or principals

Connecticut Design Professional Regulation	Firm License	Corporate Formation Requirements
		 are licensed architects and all announcements, cards, printed matter and listings indicate whether each member is an architect or professional engineer Each member of a partnership engaged in the practice of architecture must be licensed A foreign registered limited liability partnership must file a certificate of authority with the Secretary of State before transacting business in Connecticut
Engineering State Board of Examiners for Professional Engineers and Land Surveyors (the "Board") - Conn. Get Stat. § 20-300; website accessible through Department of Consumer Protection's website (www.ct.gov/dcp) Licensing Statutes - Conn. Gen. State §§ 20-299 to 20-310 Licensing Regulations - Conn. Agencies Regs. §§ 20-300-1 to 20-314a; Conn. Agencies Regs. §§ 20-300b-20	qualifying corporation or limited liability company a certificate of registration. Connecticut law permits individual licensed land surveyors to practice or offer to practice land surveying in the corporate form or as a limited liability company, provided that the Board issues a qualifying corporation or limited liability company a	 Business Corporations: Must have certificate of registration issued by the Board A material part of the business must include engineering or land surveying Such personnel of such corporation as act in its behalf as engineers or land surveyors must be licensed or exempt from licensure under the licensing statutes Foreign corporations must obtain certificate of authority from Secretary of State before transacting business in Connecticut If corporation practices or offers to practice architecture, professional engineering, landscape architecture and land surveying jointly, in any combination of such practices/services, (1) one or more persons licensed as architects, landscape architects, engineers or land surveyors must own at least 2/3 of voting stock of corporation and members of each profession forming corporation together must own at least 20% of voting stock of corporation, (2) the personnel in responsible charge of the practice of architecture, engineering, land surveying and

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
		licensed land surveyors to form a	by the Department of Consumer Protection at the direction of the
		corporation or limited liability company for	Architectural Licensing Board, the State Board of Landscape
		the joint practice of architecture, landscape	Architects or the appropriate members of the State Board of
		architecture, professional engineering, land	Examiners for Professional Engineers and Land Surveyors.
		surveying services or any combination of	
		such practices or services, provided that a	Professional Service Corporations (P.C.):
		qualifying corporation or limited liability	- Must have certificate of registration issued by the Board
		company obtains a joint certificate of	- Must be organized for sole and specific purpose of rendering
		registration from the Department of	service of professional engineering or rendering joint service of
		Consumer Protection at the direction of the	architecture and professional engineering
		applicable licensing boards.	 Must have as shareholders only individuals who themselves are licensed or otherwise
		The statutory definition of "professional	legally authorized to render the same professional service as the
		service" includes services rendered by	P.C.
		professional engineers and land surveyors,	- Persons licensed to render the same professional services in
		which imposes certain limitations on the	another jurisdiction shall not be shareholders, directors or officers
		ability of professional engineers and land	of a P.C. if such persons (1) unlawfully practice their profession in
		surveyors to form professional service	Connecticut or (2) direct or control any person licensed to practice
		corporations and limited liability	such profession in Connecticut concerning the delivery of
		companies.	professional services or the exercise of professional judgment
			- Must render professional engineering (or joint architectural and
		Aside from corporations and limited liability	professional engineering) services through its officers, employees
		companies, including those formed to	and agents who are licensed or otherwise legally authorized to
		jointly practice or offer to practice any	render such professional services within Connecticut
		combination of architecture, professional	- Cannot engage in any business other than the rendering of the
		engineering, land surveying and landscape	professional services for which P.C. was specifically incorporated
		architecture, the Connecticut statutes and	Foreign P.C. must obtain a certificate of authority from the
		regulations governing licensure of	Secretary of State before transacting business in Connecticut
		professional engineers and land surveyors	- A domestic P.C. cannot merge with a foreign P.C.
		do not require registration or licensure at	

Firm License	Corporate Formation Requirements
the firm level for any other business organization (e.g. partnership, limited partnership, registered limited liability partnership, joint venture, joint enterprise, professional association, sole proprietorship) in which individual licensed professional engineers or land surveyors practice or offer to practice professional engineering or land surveying in Connecticut. The Connecticut statutes and regulations governing foreign corporations, foreign limited liability companies and foreign registered limited liability partnerships, however, do require such foreign business entities to obtain and/or file certificates of authority from and/or register with the Secretary of State to do business in Connecticut. Failure to do so exposes these foreign entities to penalties and other limitations, such as the inability to maintain an action, suit or proceeding in Connecticut court, payment of lapsed fees and taxes, and revocation of provisional authority to transact business in Connecticut.	 Limited Liability Companies (LLC): Must have certificate of registration issued by the Board A material part of the business must include engineering or land surveying Such personnel of such LLC as act in its behalf as engineers or land surveyors must be licensed or exempt from licensure under the licensing statutes If LLC practices or offers to practice architecture, professional engineering, landscape architecture and land surveying jointly, in any combination of such practices/services, (1) one or more persons licensed as architects, landscape architects, engineers or land surveyors must own at least 2/3 of voting interest of LLC and members of each profession forming LLC together must own at least 20% of voting interest of LLC, (2) the personnel in responsible charge of the practice of architecture, engineering, land surveying and landscape architecture shall be licensed in Connecticut, and (3) such LLC has been issued a joint certificate of registration by the Department of Consumer Protection at the direction of the Architectural Licensing Board, the State Board of Landscape Architects or the appropriate members of the State Board of Examiners for Professional Engineers and Land Surveyors. Each member of LLC formed to render professional engineering services or the joint services of architecture and professional engineering must be licensed or otherwise authorized by law in Connecticut to render professional engineering or jointly offer architectural and professional engineering services and services ancillary to them and may not engage in any other business other than rendering of professional services for which LLC was formed

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
			- May render professional engineering or joint architectural and
			engineering services through members, managers, employees and
			agents licensed or otherwise legally authorized to render such
			professional services in Connecticut
			- Domestic LLC formed to render professional services can only
			merge with another domestic LLC formed to offer same
			professional services
			- No merger of domestic LLC formed to render professional services
			with any foreign LLC or other foreign entity
			- Foreign LLC must register with Secretary of State before
			transacting business in Connecticut
			Professional Associations:
			- Three or more persons licensed or authorize to practice
			professional engineering in Connecticut may associate to practice
			the profession for profit if articles of association have three of
			following four attributes:
			(1) Continuity of life so that death, insanity, bankruptcy,
			retirement, resignation or expulsion of any member does not cause dissolution of association
			(2) Centralized management
			(3) Limited liability of members, with some conditions
			(4) Free transferability of members' interests
			Partnerships:
			- One or more licensed architect and one or more licensed
			professional engineer may form a partnership, joint enterprise or
			association, provided that at least half of the partners or principals
			are licensed architects and all announcements, cards, printed
			matter and listings indicate whether each member is an architect

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
			or professional engineer
			- Each member of a partnership engaged in the practice of
			professional engineering must be licensed
			- A foreign registered limited liability partnership must file a
			certificate of authority with the Secretary of State before
			transacting business in Connecticut

Delaware	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jason A. Lien, Esq. MASLON EDELMAN BORMAN & BRAND, LLP 3300 Wells Fargo Center, 90 South Seventh Street Minneapolis, MN 55402 (612) 672-8319 jason.lien@maslon.com	Architecture Board of Architects: http://dpr.delaware.gov/boards/ architects/index.shtml Licensing Statute – 24 Del. Code Ann. § 312A.	A business entity "authorized under Delaware law or the laws of another state" may practice architecture. Additionally, a business entity planning to practice architecture in Delaware must receive a certificate of authorization from the Board of Architects. 24 Del. Code Ann. § 312A. To receive a certificate of authorization, the entity must file an application, which the Board provides. The application must list the names, addresses and licenses or registration numbers for all directors, officers, partners, members or managers of the business entity. The entity should also provide information regarding the individual or individuals registered to practice architecture in Delaware. The Board will not issue a certificate of authorization unless the business entity shows that each office of the business entity that conducts architectural services is under the "charge and supervision of an individual holding a valid certificate of registration" to practice architecture. 24 Del. Code Ann. § 312A.	To practice architecture, the business entity must satisfy two criteria: (1) "One or more of the officers (if a corporation), partners (if a partnership), members or managers (if a limited liability company or publicly owned corporation)," must be registered in Delaware as an architect. One or more of the officers, partners, members or managers must also be "designated as being responsible for any services in the practice of architecture." (2) All employees of the business entity who act as architects in Delaware, or act as architects for clients located in Delaware, must be registered with the Board. 24 Del. Code Ann. § 312A.
	Engineering Delaware Association of Professional Engineers: https://www2.dape.org/	Any corporation or partnership offering to practice engineering must receive a certificate of authorization. To receive a certificate of authorization, the business entity must file the following information with the council of the Delaware Association of Engineers: a list of the	A corporation or partnership issued a certificate of authorization may practice engineering only if at least one of the officers or one of the employees of the business entity is (1) designated as responsible for the engineering activities of the business entity and (2) licensed

Design Professional Regulation	Firm License	Corporate Formation Requirements
Licensing Statute: 24 Del. Code	names and addresses of all officers, board	in Delaware as a professional engineer. All
Ann. § 2821.	members and principals; a list of any personnel	personnel of the business entity practicing
	authorized to practice engineering in Delaware	engineering must be licensed in Delaware as a
	who are also responsible for the engineering	professional engineer. 24 Del. Code Ann. § 2821.
	practices of the business entity; and proof of	
	compliance with the relevant state tax laws. 24	
	Del. Code Ann. § 2821.	
	Additionally, any individual licensed to practice	
	Licensing Statute: 24 Del. Code	Licensing Statute: 24 Del. Code Ann. § 2821. names and addresses of all officers, board members and principals; a list of any personnel authorized to practice engineering in Delaware who are also responsible for the engineering practices of the business entity; and proof of compliance with the relevant state tax laws. 24 Del. Code Ann. § 2821.

District of Columbia Design Pro	rofessional Regulation	Firm License	Corporate Formation Requirements
Andrew D. Ness, Esq. Elizabeth M. Walsh, Esq. JONES DAY 51 Louisiana Ave., N.W. Washington, D.C. 20001- 2113 (202) 879-7675 adness@jonesday.com ewalsh@jonesday.com Licensing (Individua) Licensing	ture nent of Consumer and Regulatory cra.dc.gov/ atute – D.C. Code, tit. 29, et. seq. of Columbia Board of Architecture and Design www.pearsonvue.com/dc/arch_intdes/ g Statute – D.C. Code § 47-2853.62	The D.C. Board of Architecture and Interior Design only provides professional licenses to individuals. Architectural firms must comply with the District of Columbia's general licensing and registration requirements for corporate entities, governed by the Department of Consumer and Regulatory Affairs. All foreign entities must register prior to doing business in D.C. D.C. Code § 29-105.02.	Professional Corporation A professional corporation can only be organized to render professional services through shareholders, directors, officers, employees and/or agents, who are each licensed to render the relevant professional service. While a professional corporation can employ persons who are not individually licensed, those individuals cannot perform services for which a license is required. D.C. Code § 29-505. The original shareholders and directors of a professional corporation named in the articles of incorporation must be licensed to render the relevant professional service. D.C. Code § 29-506. A person cannot be a shareholder, director, or officer of a professional corporation, or render professional services, unless that person is an individual licensed to render the relevant professional service for which the corporation was organized. There is an exception for corporations with only one shareholder. In that instance, the secretary of the corporation does not need to be licensed to perform professional services (and may not perform any professional services.) D.C. Code § 29-508.

District of Columbia	Design Professional Regulation	Firm License	Corporate Formation Requirements
			shareholder of the professional corporation or to the
			professional corporation. D.C. Code § 29-511.
			Professional Limited Liability Company
			A professional limited liability is organized under D.C. Code, tit. 29, ch. 8 governing limited liability companies generally, solely for the purpose of rendering services through its members, managers, employees or agents. D.C. Code § 29-101.02(38).
	Engineering	The D.C. Board of Professional Engineering only	Professional Corporation
	Description of Communication of Descriptions	provides licenses to individuals. Engineering	A supplication of a supplication can only be a supplicated to
	Department of Consumer and Regulatory Affairs	firms do not need to register with the DC Board	A professional corporation can only be organized to
	http://dcra.dc.gov/	of Professional Engineering. <i>See</i> Frequently Asked Questions, D.C. Board of Professional	render professional services through shareholders, directors, officers, employees and/or agents, who are
	intip.//dcra.uc.gov/	Engineering, available at	each licensed to render the relevant professional
	Entity Statute – D.C. Code, tit. 29, et. seq.	http://www.asisvcs.com/publications/pdf/6609	service. While a professional corporation can employ
	Entity Statute D.C. Code, Ht. 23, et. 3eq.	40.pdf	persons who are not individually licensed, those
	District of Columbia Board of Professional	10.00	individuals cannot perform services for which a license is
	Engineering	Engineering firms must comply with the District	required. D.C. Code § 29-505.
	http://www.pearsonvue.com/dc/engineers/	of Columbia's general licensing and registration	
		requirements for corporate entities, governed	The original shareholders and directors of a professional
	Licensing Statute – D.C. Code § 47-2853.132	by the Department of Consumer and Regulatory	corporation named in the articles of incorporation must
	(Individuals)	Affairs.	be licensed to render the relevant professional service.
			D.C. Code § 29-506.
	Licensing Regulations – D.C. Mun. Regs. tit.		
	17, ch. 15, et seq. (Individuals)		A person cannot be a shareholder, director, or officer of
			a professional corporation, or render professional
			services, unless that person is an individual licensed to

District of Columbia	Design Professional Regulation	Firm License	Corporate Formation Requirements
			render the relevant professional service for which the
			corporation was organized. There is an exception for
			corporations with only one shareholder. In that
			instance, the secretary of the corporation does not need
			to be licensed to perform professional services (and may
			not perform any professional services.) D.C. Code § 29-
			508.
			Generally, shares in the corporation may only be transferred to an individual who is eligible to be a shareholder of the professional corporation or to the professional corporation. D.C. Code § 29-511. Professional Limited Liability Company
			Troressional Elimited Elability Company
			A professional limited liability is organized under D.C.
			Code, tit. 29, ch. 8 governing limited liability companies
			generally, solely for the purpose of rendering services
			through its members, managers, employees or agents.
			D.C. Code § 29-101.02(38).

Florida Des	esign Professional Regulation	Firm License	Corporate Formation Requirements
Author Michael T. Kamprath, Esq. HILLSBOROUGH COUNTY AVIATION AUTHORITY - TAMPA INTERNATIONAL AIRPORT PO Box 22287 Tampa, Florida 33622 (813) 870 7833 mtk9@case.edu Lice	rchitecture orida Board of Architecture and aterior Design ttp://www.myfloridalicense.co n/DBPR/pro/arch/index.html censing Statute – Fla. Stat. Ch. 81, Pt I. censing Regulations – Fla. dmin. Code Ch. 61G1	If architectural services are offered in a name other than the full legal name of a sole proprietor, a certificate of authorization (also known as a business license) is required. Thus, licensure is required for the following: corporations, limited liability companies, partnerships, professional associations, professional corporations or fictitious names.	Corporate Formation Requirements Corporations, LLC's and Partnerships that practice professional architecture or interior design in Florida are governed by Section 481.219 Fla. Stat. One or more of the principal officers (president, vice-president, secretary or treasurer) of the corporation or limited liability company, or one or more partners of the partnership, and all personnel of the corporation, limited liability company, or partnership who act on its behalf in Florida must be registered and licensed architects or interior designers. Each office and branch office is required to have a Florida licensed architect in responsible supervisory control. The statute does not exempt individuals who sign and seal construction or interior design documents from individual liability for the professional services performed. The statute is not applicable to the erection, enlargement, or alteration of any building on a farm for use by any farmer, regardless of cost, any one family or two family residence, townhouse or domestic outbuilding regardless of cost or any other type of building costing less than \$25,000 with some minor exceptions. Note that Section 558.0035 Fla. Stat. provides limited protection to individual designers who comply with its requirements.

Florida	Design Professional Regulation	Firm License	Corporate Formation Requirements
			otherwise legally authorized to render the same professional
			services may organize and become a shareholder or shareholders of
			a professional corporation, or members of professional limited
			liability company for pecuniary profit for the sole and specific
			purpose of rendering professional service.
	Engineering	The following types of entities require firm	Business organizations that employ professional engineers who
		licensure to practice engineering:	practice engineering in Florida are governed by Chapter 417 Fla.
	Florida Board of Professional	(1) Partnership	Stat.
	Engineers ("FBPE")	(2) Corporation	
	http://www.fbpe.org/	(3) Business trust	Section 417.023 Fla. Stat. requires that the practice of engineering
		(4) Other legal entity	through a business organization through licensees as agents,
	Licensing Statute – Fla. Stat. Ch.	(5) Business organization	employees, officers or partners is only permitted if the business
	471		organization possesses a certificate of authorization issued by the
			Board of Professional Engineers. If an individual is practicing in his
	Licensing Regulations – Fla.		or her name, he or she does not need a certificate of authorization.
	Admin Code. Ch. CHAPTER		
	61G15-18		One or more of the principal officers of the business organization or
			one or more of the partners of the partnership and all personnel of
			the business organization who act on its behalf as engineers must
			be licensed.
			No individuals practicing engineering are relieved of responsibility
			for professional services performed by reason of his or her
			employment or relationship with a business organization. The
			personal liability of a shareholder or owner of a business
			organization, in his or her capacity as shareholder or owner, shall be
			no greater than that of a shareholder-employee of a corporation
			incorporated under Chapter 607 Fla. Stat.

Florida	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Note that Section 558.0035 Fla. Stat. provides limited protection to
			individual designers who comply with its requirements.
			See above regarding professional service companies and
			professional limited liability companies.

Georgia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Mike H. Shanlever, Esq. ALSTON & BIRD LLP One Atlantic Center 1201 W. Peachtree St. Atlanta, GA 30309-3424 (404) 881-7619 mike.shanlever@alston.com	Design Professional Regulation Architecture Georgia State Board of Architects and Interior Designers - http://sos.ga.gov/index.php/licensing/plb/2 Licensing Statute – O.C.G.A. § 43-4-1, et seq. Licensing Regulations – Ga. Comp. R. & Regs. r. 50-1, et seq. Georgia Professional Corporation Act – O.C.G.A. § 14-7-1, et seq.	No firm, sole proprietorship, partnership, limited liability company, corporation, or other similar organization shall be registered as architects. Firms, sole proprietorships, partnerships, limited liability companies, and corporations may practice architecture, as defined by this article, and perform the services heretofore enumerated common to the practice of architecture, provided that all such work and services are performed under the responsible control of an architect registered in this state who is a director, in the case of a corporation, or who is a partner, in the case of a limited liability company, or who is an employee with an ownership interest who has been designated in writing as holding a position of	Although it is not mandatory that an architectural firm operate as a professional corporation, if it elects to do so there are certain corporate governance requirements. Stockholders in a professional corporation must be licensees in the profession for which the professional corporation is authorized to perform services. Furthermore, at least one member of the board of the directors, and the president of the professional corporation must be a licensee. O.C.G.A. § 14-7-4.
	Regs. r. 50-1, et seq. Georgia Professional Corporation Act –	partner, in the case of a partnership, or who is a member, in the case of a limited liability company, or who is an employee with an ownership interest who	
		architect and bear the architect's individual signature and seal. O.C.G.A. § 43-4-10. Although the architectural licensing statute does not mention professional corporations, this is likely a drafting error. The Georgia Professional Corporation Code specifically authorizes the practice of	

Georgia	Design Professional Regulation	Firm License	Corporate Formation Requirements
		architecture in a professional corporation. O.C.G.A. §	
		14-7-2.	
	Engineering	The practice of or offer to practice professional	Although it is not mandatory that an
		engineering, as defined in this chapter, by individual	engineering firm operate as a professional
	Georgia Board of Professional Engineers	professional engineers registered under this chapter	corporation, if it elects to do so there are
	and Land Surveyors -	through a firm, corporation, professional corporation,	certain corporate governance requirements.
	http://sos.ga.gov/index.php/licensing/pl	partnership, association, or other entity	
	<u>b/22</u>	offering engineering services to the public or by a firm,	Stockholders in a professional corporation must
		corporation, professional corporation, partnership,	be licensees in the profession for which the
	Licensing Statute – O.C.G.A. § 43-15-1, et	association, or other entity offering engineering	professional corporation is authorized to
	seq.	services to the public through individual registered	perform services. Furthermore, at least one
		professional engineers as agents, employees,	member of the board of the directors, and the
	Licensing Regulations – Ga. Comp. R. &	officers, members, or partners is permitted subject to	president of the professional corporation must
	Regs. r. 180-1, et seq.	the provisions of this chapter; provided, however, that	be a licensee. O.C.G.A. § 14-7-4.
		one or more of the principals, officers, members, or	
		partners of said firm, corporation, professional	
		corporation, partnership, association, or other entity	
		and all personnel of such firm, corporation,	
		partnership, association, or entity who act in its behalf	
		as professional engineers in this state shall be	
		registered as provided in this chapter; and further	
		provided that said firm, corporation, professional	
		corporation, partnership, association, or entity has	
		been issued a certificate of authorization by the board	
		as provided in this chapter. O.C.G.A. § 43-15-12.	

Hawaii	Design Professional Regulation	Firm License	Corporate Formation Requirements
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	Engineering Hawaii Board of Engineers, Architects, Surveyors & Landscape Architects - http://cca.hawaii.gov/pvl/boards/engineer/ Licensing Statutes — Hawaii Revised Statutes Professional Engineers, Architects, Surveyors and Landscape Architects — Haw. Rev. Stat. Chapter 464	Business entities (corporation, limited liability company, partnership, etc.) do not require firm licensure to practice engineering in Hawaii as long as the person overseeing the professional work is a licensed professional engineer.	There are no specific requirements in Hawaii for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of business entities.

Hawaii	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Regulations –		
	Hawaii Administrative Rules		
	Title 16, Chapter 115, Professional		
	Engineers, Architects, Surveyors, and		
	Landscape Architects Chapter HAR 16-115		

Idaho	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	In Idaho licenses to practice	Architecture firms are authorized to organize as professional service
		architecture are issued in the	corporations (Idaho Code §30-1301 et seq.) or professional limited liability
Julie Stomper, Esq.	Idaho Board of Architectural	names of individuals only. Firms	companies (Idaho Code §30-6-101 et seq.). While no particular corporate
Beard St. Clair Gaffney PA	Examiners, Idaho Bureau of	or corporations are prohibited	form is required, the Idaho Board of Architectural Examiners has specified
2105 Coronado Street	Occupational Licenses	from licensure. Idaho Code Ann.	that "corporations organized to do general business in the state of Idaho
Idaho Falls, Idaho 83404	http://ibol.idaho.gov/IBOL/BoardPage	§ 54-307(1)	may not practice architecture in the State of Idaho". IDAPA 24.0101.400
(208) 523-5171	.aspx?Bureau=ARC		
<u>julie@beardstclair.com</u>			Professional Service Corporation:
	Licensing Statutes – Idaho Code § 54-		All shareholders of a professional service corporation (PC) must be natural
	301 et. seq.		persons licensed to render one or more of the same professional services
			as defined by Idaho Code § 30-1303(1).
	Licensing Regulations – Idaho		
	Administrative Procedure Act, Agency		PCs may render professional services only through its officers, employees
	24, Title 1, Chapter 1 (IDAPA		and agents who are duly licensed or otherwise legally authorized to render
	24.01.01.000 et seq.)		such professional services within Idaho. The term "employee" as used in
			the Act does not include clerks, secretaries, bookkeepers, technicians and
	Professional Service Corporation Act –		other assistants who are not usually and ordinarily considered by custom
	Idaho Code §30-1301 et seq.		and practice to be rendering professional services to the public for which a
			license or other legal authorization is required. § 30-1305.
	Idaho Uniform Limited Liability Act –		
	Idaho Code §30-6-101 et seq.		Professionals providing allied professional services may be shareholders of
			the same professional service corporation unless otherwise prohibited by
			Idaho law. Idaho Code Ann. § 30-1304.
			Foreign PCs may receive a certificate of authority to render architectural
			services in Idaho only if only if all the officers, employees, or agents who
			render the corporation's services in this state are licensed under the laws
			of Idaho to render such services. Idaho Code Ann. §30-1314.

Idaho	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Professional Limited Liability Company:
			The Idaho statutes governing Professional Limited Liability Companies, are found within the Idaho Uniform Limited Liability Act.
			All members of a professional limited liability company (PLLC) must be: (a) natural persons with a professional license as defined by Idaho Code § 30-6-102(20) and/or; (b) professional corporations, partnerships or limited liability companies, all of whose shareholders, partners or members are such licensed professionals. Idaho Code Ann. § 30-6-102 (19).
			PLLCs may render professional services only through its officers, employees and agents who are duly licensed or otherwise legally authorized to render such professional services within Idaho. The term "employee" as used in the PLLC Act does not include clerks, secretaries, bookkeepers, technicians and other assistants who are not usually and ordinarily considered by custom and practice to be rendering professional services to the public for which a license or other legal authorization is required.
			Foreign PLLCs will be governed by the Idaho Uniform Limited Liability Act. § 30-6-102(8).
			Professionals providing allied professional services may be members of the same PLLC unless otherwise prohibited by Idaho law. Idaho Code Ann. § 30-6-102(19).
			The term "allied professional services" means "professional services which are so related in substance that they are frequently offered in conjunction

Idaho	Design Professional Regulation	Firm License	Corporate Formation Requirements
			with one another as parts of the same service package to the consumer".
			Idaho Code Ann. §§30-6-102(1); 30-1303 (3).
			If a firm offering architecture and landscape architecture services must incorporate as a PC or a PLLC.
	Engineering	All types of business entities	Engineering firms are authorized, but not required, to organize as
		(corporation, limited liability	professional service corporations (Idaho Code §30-1301 et seq.) or
	Idaho Board of Professional Engineers	company, partnership, etc.)	professional limited liability companies (Idaho Code §30-6-101 et seq.).
	and Professional Land Surveyors	require a certificate of authority	
	(IPELS)	from IPELS to practice	Professional Service Corporation:
	http://ipels.idaho.gov	engineering as a firm in Idaho.	All shareholders of a professional service corporation must be natural
			persons licensed to render one or more of the same professional services
	Licensing Statutes - Idaho Code § 54-	In order to receive a certificate	as defined by Idaho Code § 30-1303(1).
	1201 et. seq.	of authority all engineers must	
		be individually licensed and the	PCs may render professional services only through its officers, employees
	Licensing Regulations – Idaho	firm must provide IPELS with (a)	and agents who are duly licensed or otherwise legally authorized to render
	Administrative Procedure Act, Agency	description of the professional	such professional services within Idaho. The term "employee" as used in
	10, Title 1, Chapter 1 (IDAPA	services to be performed by the	the Act does not include clerks, secretaries, bookkeepers, technicians and
	10.01.01.001 et seq.)	entity; and (b) the designated	other assistants who are not usually and ordinarily considered by custom
		licensed individual(s) who shall	and practice to be rendering professional services to the public for which a
	Professional Service Corporation Act –	be "in responsible charge" of the	license or other legal authorization is required. § 30-1305.
	Idaho Code §30-1301 et seq.	professional practice for the	
		entity. Idaho Code Ann. § 54-	Professionals providing allied professional services may be shareholders of
	Idaho Uniform Limited Liability Act –	1235(4).	the same professional service corporation unless otherwise prohibited by
	Idaho Code §30-6-101 et seq.		Idaho law. Idaho Code Ann. § 30-1304.
	Idaho Code §54-1235	The term "responsible charge"	
		means:	Foreign PCs may receive a certificate of authority to render architectural
		"the control and direction of	services in Idaho only if only if all the officers, employees, or agents who

Idaho	Design Professional Regulation	Firm License	Corporate Formation Requirements
		engineering work requiring initiative, professional skill,	render the corporation's services in this state are licensed under the laws of Idaho to render such services. Idaho Code Ann. §30-1314.
		independent judgment and professional knowledge of the content of relevant documents during their preparation." Idaho Code Ann. § 54-1202(14).	Professional Limited Liability Company: The Idaho statutes governing Professional Limited Liability Companies, are found within the Idaho Uniform Limited Liability Act. All members of a professional limited liability company (PLLC) must be: (a)
		A firm may not file organizational documents with the Idaho Secretary of State which include, "engineer," "engineering," or any derivation thereof as its organizational	natural persons with a professional license as defined by Idaho Code § 30-6-102(20) and/or; (b) professional corporations, partnerships or limited liability companies, all of whose shareholders, partners or members are such licensed professionals. Idaho Code Ann. § 30-6-102 (19). Foreign PLLCs will be governed by the Idaho Uniform Limited Liability Act.
		purpose or within its name unless IPELS has issued a certificate of authorization or a letter indicating the entity is eligible to receive such certificate.	§ 30-6-102(8). Professionals providing allied professional services may be members of the same PLLC unless otherwise prohibited by Idaho law. Idaho Code Ann. § 30-6-102(19).
		Idaho Code Ann. § 54-1235(5).	The term "allied professional services" means "professional services which are so related in substance that they are frequently offered in conjunction with one another as parts of the same service package to the consumer". Idaho Code Ann. §§30-6-102(1); 30-1303 (3).
			If a firm offers engineering and land surveying services the firm must incorporate as a PC or PLLC.

Illinois	Design Professional Regulation	Firm License	Corporate Formation Requirements
			directors/general partners/members (as
			the case may be) must be licensed under the laws
			of any state (to practice architecture, engineering
			or surveying); and a managing agent be a
			director/general partner/member (as the case may
			be), and hold a license under the IAPA.
	Professional Engineering and Structural	All types of entities require firm licensure	Professional Corporations and LLC's that practice
	Engineering Engineering and Structural	to practice engineering, whether it is a:	professional/structural engineering in Illinois are
	Engineering	(1) Professional Corporation ("P.C.")	governed by the Professional Service Corporation
	Illinois Department of Financial & Professional	(2) Limited Liability Company ("LLC")	Act ("PSCA") and the Limited Liability Company Act
	Regulation	(3) Corporation ("Inc.")	("LLCA") respectively. The PSCA requires the
	http://www.idfpr.com/profs/info/ProfEngineer.asp	(4) Registered Limited Liability Limited	engineering P.C. or LLC to be 100% owned by
	inttp://www.idipi.com/prois/imo/ProiEngineer.asp	Partnership	licensed engineers or other professionals
	Licensing Statute – 225 ILCS 325/1 et. seq.	(5) General Partnership	designated in the PSCA if the firm is also licensed to
	(Professional Engineering Practice Act)	(6) Limited Partnership	offer the services of the other professions (i.e.
	Licensing Statute – 225 ILCS 340/1 et. seq.	(7) Sole Proprietor*	architecture, surveying, etc.). Corporate entities
	(Structural Engineering Practice Act)	(7) Sole Froprietor	are not allowed to hold an ownership interest in
	Rules – Ill. Admin. Code Tit. 68, § 1380	* All businesses that offer or provide	the P.C. or LLC.
	Business Corporation Act of 1983 – 805 ILCS 5/1.01	professional design services must register	0.10 1.10 0.1 220
	et. seg.	with the Illinois Department of Professional	The PSC and LLCAA require all owners to be
	Professional Service Corporation Act – 805 ILCS	Registration as a Professional Design Firm.	licensed in Illinois.
	10/1 et. seg.	The only exception is a licensed sole	
	Limited Liability Company Act – 805 ILCS 180/1-1	proprietor who offers or provides design	The PSCA includes an exemption from its
	et. seq.	services in his or her individual name and is	requirements for corporations which prior to
		not employing other individuals to perform	September 15, 1969 were permitted by law to
		work for which professional licensure is	practice engineering.
		required.	
			The PSCA limits the non-professional services that
			the engineering P.C. may render to those

Illinois	Design Professional Regulation	Firm License	Corporate Formation Requirements
			"ancillary" to the practice of engineering.
			The Professional Engineering Practice Act ("PEPA") requires that the Professional Design Firm designate a managing agent and that the managing agent must have a valid, active license under the PEPA.
			Similarly, the Structural Engineering Practice Act ("SEPA") requires that the Professional Design Firm designate a managing agent and that the managing agent must have a valid, active license under the SEPA.

Indiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
			listed in the articles of incorporation. I.C. 23-1.5-2-8(a).
			A professional corporation must renew its certificate of
			registration each year before January 31. I.C. 23-1.5-2-10(a).
			A professional corporation must file a copy of its articles of
			incorporation certified by the secretary of state with the appropriate bureau. I.C. 25-1.5-3-6(a).
			The IPCA permits foreign professional corporations to practice in Indiana. I.C. 23-1.5-5-1.
			The Indiana Business Corporation Law (IBCL) applies to professional corporations organized under the IPCA, but the latter prevails to the extent there is inconsistency in the provisions of the IBCL and IPCA. I.C. 23-1.5-2-1.
	Engineering	Only an individual may be registered by the BRPE to practice engineering. Business entities,	Engineers may form professional corporations. See above regarding the Indiana Professional Corporation Act.
	Indiana State Board of Registration for	however, may engage in the practice of	regarding the indiana Professional Corporation Act.
	Professional Engineers (BRPE)	engineering so long as the regulated activities of	
	http://www.in.gov/pla/engineer.htm	the practicing entity are conducted under the supervision of a duly registered professional	
	Licensing Statute – I.C. §§ 25-31-1-1	engineer employed full-time by the business.	
	through 25-31-1-35	Engineering documents produced by the practicing entity must contain the seal of the	
	Licensing Regulations – 864 IAC 1.1	registered professional engineer who is responsible charge of the work. I.C. § 25-31-1-18.	
	Professional Corporation Act – P.L. 206-2005	responsible that ge of the work. I.e. 3 23 31 1 10.	

lowa	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Anthony L. Osborn, Esq. GOOSMANN LAW FIRM, PLC 410 5 th Street Sioux City, IA 51101 (712) 226-4000 Anthony@goosmannlaw.com	Architecture Iowa Architectural Examining Board - https://plb.iowa.gov/board/architects Licensing Statute – Iowa Code Chapter 544A Licensing Rules and Regulations – Iowa Code Chapter 272C	Business entities are not required to register or obtain licenses. However, any business entity which plans to practice architecture in lowa must receive an "authorization to practice architecture as a business entity" from the lowa Architectural Examining Board. Iowa Code § 544A.21. The process for obtaining authorization is outlined in Chapter 193B of the lowa Administrative Code. Any business entity which plans to practice architecture in lowa must perform the work under the direct supervision and responsible charge of one or more architects. Iowa Code §	lowa Code Chapter 490, the Iowa Business Corporation Act, applies to the formation of architectural firms just like any other business and professional corporations and companies. There is no law in Iowa which limits the type of entities which can perform architectural services. However, two-thirds of the directors (if a company) or two-thirds of the general partners (if a partnership) practicing architecture in Iowa must be qualified to perform either architectural or engineering services. Iowa Code § 544A.21. In addition, at least one-third of a corporation's directors (if a company) or one-third of the general partners (if a partnership) must be duly registered to practice with an authority recognized by the Iowa Board of Architectural Examiners. Id.
	Engineering lowa Engineering and Land Surveying Board - https://plb.iowa.gov/board/engineers- land-surveyors Licensing Statute – lowa Code Chapter 542B Licensing Rules and Regulations – lowa Code Chapter 272C	Business entities are not required to register or obtain licenses, or obtain any "certificate of authority" or similar authorization. If an entity designs buildings or works for a public interest, <i>all</i> of its principal designing or constructing engineers must hold licenses, unless the entity is engaged "solely in constructing buildings and works." Iowa Code § 542B.26.	lowa Code Chapter 490, the Iowa Business Corporation Act, applies to the formation of engineering firms just like any other business and professional corporations and companies. There is no law in Iowa which limits the type of entities which can perform engineering services. However, any business entity which plans to practice engineering in Iowa must be owned or managed by, or regularly employ, one or more licensed professional engineers who directly control and personally service all engineering work performed by the entity. Iowa Admin. Code Chapter 193C-8.5(3). This requirement is not satisfied through the hiring of an engineer on an as-needed, occasional or consulting basis. Id. at 193C-8.5(5).

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
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Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
			set forth as its purpose the type of professional service to
			be practiced through the professional corporation and
			shall otherwise meet the requirements of the general
			corporation code of the state of Kansas. A certificate by
			the regulating board of the profession involved that each
			of the incorporators is duly licensed to practice that
			profession, and that the proposed corporate name has
			been approved, shall be filed in the office of the secretary
			of state prior to issuance of the certificate of
			incorporation. When two or more types of professions are
			to be rendered by such corporation, as shown in the
			articles of incorporation, a certificate of each regulatory
			board shall be required.
			In general, a professional corporation may issue the shares
			of its capital stock only to a natural person licensed,
			registered or certified to practice the same type of
			profession which any professional corporation is
			authorized to practice.

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
Kansas	Engineering State Board of Technical Professions www.ksbtp.ks.gov Licensing Statute – Kan. Stat Ann. 74- 7001 et. seq. Professional Corporations – Kan. Stat Ann. 17-2706 et seq. Licensing Rules – Kan. Admin. Regs. §§ 66-6-1 et seq.	The licensing laws of Kansas are applicable to business entities as well as to natural persons. As defined by statute, "business entity" means any: (1) General Business Corporation (2) Professional Corporation (3) Limited Liability Company (4) Limited Liability Partnership (5) Corporate Partnership or (6) other legal entity created by law.	The entitlement of any business entity to a certificate of authority to practice engineering is tied to the licensing of one or more of its individual members or employees. A business entity may be organized for the practice of one or more of the technical professions if: 1. One or more of the principals is designated as responsible for the activities and decisions relating to the practice of such profession and is licensed to practice such profession by the Board and is a regular employee of and active participant in the business entity; 2. Each person engaged in the practice of the technical profession is licensed to practice such profession by the Board or is exempt from licensure under Kan. Stat. Ann. § 74-7031 through § 74-7035, or is exempt from exam for licensure in this state under Kan. Stat. Ann. § 74-7024; and 3. Such business entity has been issued a certificate of authorization by the Board.
			Although engineering firms are not required to operate as a professional corporation in Kansas, if the firm elects to do so there are additional corporate governance requirements. Under Kansas law, one or more natural persons, each of whom is licensed to render the same type of professional service within Kansas, may incorporate a

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
			professional corporation to practice that same type of
			professional service by filing articles of incorporation with
			the secretary of state. The articles of incorporation shall
			set forth as its purpose the type of professional service to
			be practiced through the professional corporation and
			shall otherwise meet the requirements of the general
			corporation code of the state of Kansas. A certificate by
			the regulating board of the profession involved that each
			of the incorporators is duly licensed to practice that
			profession, and that the proposed corporate name has
			been approved, shall be filed in the office of the secretary
			of state prior to issuance of the certificate of
			incorporation. When two or more types of professions are
			to be rendered by such corporation, as shown in the
			articles of incorporation, a certificate of each regulatory
			board shall be required.
			In general, a professional corporation may issue the shares
			of its capital stock only to a natural person licensed,
			registered or certified to practice the same type of
			profession which any professional corporation is
			authorized to practice.

Kentucky	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	No license is required for entities providing architectural services in KY.	There are no restrictions on the types of business entities that can provide architectural services in KY.
Steven M. Henderson, P.E., Esq. STITES & HARBISON PLLC 400 West Market Street, Suite 1800 Louisville, KY 40202-3352	Kentucky Board of Architects http://boa.ky.gov Licensing Statute – KRS 323.010 <i>et. seq.</i> Licensing Regulations – 201 Ky. Admin Reg.	Corporations can provide architectural services through full-time employees who are licensed to provide architectural services in KY.	*KY also allows for the formation of Professional Service Corporations (KRS 274 et seq.); however, the licensing statutes and regulations do not require that architectural services be provided through a PSC.
(502) 779-5826 shenderson@stites.com	19:100	Each office that prepares drawings, specifications, and other professional architectural work must have a licensed architect who is a regular employee who is in direct supervisory control of the work.	If a PSC is formed for the purpose of providing architectural services, KRS 274.015 requires that all shareholders, officers other than the secretary and treasurer, and not less than ½ of the directors be licensed to provide architectural services.
	Engineering Kentucky Board of Engineers & Land Surveyors ("KBE")	A business entity must obtain a permit from the KBE in order to practice engineering in KY. Application available at http://kyboels.ky.gov/Getting-	There are no restrictions on the type of business entities that can provide engineering services. Business entities may engage in the practice of
	http://www.kyboels.ky.gov Licensing Statute – KRS 322.010 et. seq.	Licensed/Pages/Getting-a-Firm-Permit.aspx In addition to paying a fee, a firm must submit the following to the KBE: the names	engineering provided that (1) at least one principal, officer, or designated employee is a professional engineer who is in responsible charge of
	Licensing Regulations – 201 Ky. Admin. Reg. 18:180	and addresses of all principals and officers; the licensed number of principals, officers, and employees who are professional engineers in responsible charge of the firm's practice of engineering in Kentucky; a list of locations of all offices in Kentucky at which the business entity offers professional	the engineering work and (2) the entity has a permit from the KBE. See KRS 323.060; see also 201 Ky. Admin. Reg. § 18:180 *KY also allows for the formation of Professional Service Corporations (KRS 274 et seq.); however, the licensing statutes and regulations do not require that

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Kentucky	Design Professional Regulation	Firm License	Corporate Formation Requirements
		engineering services; a statement of	engineering services be provided through a PSC.
		qualifications for the permit; and references	
		as required by KBE's administrative	If a PSC is formed for the purpose of providing
		regulations.	engineering services, KRS 274.015 requires that all
			shareholders, officers other than the secretary and
		Permits for business entities must be	treasurer, and not less than ½ of the directors be
		renewed every two years.	licensed to provide engineering services.

Louisiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
Mark W. Mercante, Esq. Matthew R. Emmons, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, P.C. No. 3 Sanctuary Boulevard Suite 201 Mandeville, Louisiana 70471 (985) 819-8400 mmercante@bakerdonelson.com memmons@bakerdonelson.com	Architecture Louisiana State Board of Architectural Examiners http://www.lastbdarchs.com Licensing Statute – La. R.S. 37:141 et. seq. Licensing Rules – http://www.lastbdarchs.com/pdf/Rules.pdf	The following types of business entities require firm licensure to practice architecture: (1) Louisiana Corporations formed pursuant to Louisiana Business Corporation Law (2) Louisiana Nonprofit Corporations (3) Louisiana Architectural-Engineering Corporations (4) Louisiana Limited Liability Companies (5) Foreign Corporations or LLCs	In addition to permitting an architectural firm to practice under the general business entity forms (i.e. corporation, LLC, etc.), Louisiana law also provides for the formation of a professional architectural corporation, which is defined as a corporation organized pursuant to La. R.S. 12:1086, et seq., for the practice of architecture as provided for by La. R.S. 37:141 through La. R.S. 37:158, or a corporation that is certified to be in compliance therewith by the Louisiana State Board of Architectural Examiners. See La. R.S. 12:1086, et seq. A majority of the outstanding shares of a professional architectural corporation must be held by one or more natural persons duly licensed to practice architecture in Louisiana. La. R.S. 12:1090(B). Further, architectural services rendered on behalf of a professional architectural corporation must be performed by or under the direct supervision of a natural person duly licensed to practice architecture in Louisiana. La. R.S. 12:1097. Professional architectural corporations are subject to specific regulation and discipline by the Louisiana State Board of Architectural Examiners. 46 La. Admin. Code, Part I, § 1701. Louisiana law also provides for the formation of an architectural-engineering corporation, which is defined as a corporation organized pursuant La. R.S. 12:1171, et seq., for the practice of architecture and engineering, and which is certified to be in compliance with Louisiana

Louisiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
			law governing the practice of architecture and
			engineering by the Louisiana State Board of
			Architectural Examiners and the Louisiana Professional
			Engineering and Land Surveying Board. Architectural
			services rendered on behalf of an architectural-
			engineering corporation must be performed by
			or under the direct supervision of a natural person duly
			licensed to practice architecture in Louisiana, and these
			architects are responsible to the Board for all acts and
			conduct of the corporation.
	Engineering	All types of business entities (corporation,	There are no specific requirements in Louisiana for the
		limited liability company, partnership, etc.)	corporate formation of an engineering firm. Engineering
	Louisiana Professional Engineering and	require firm licensure to practice engineering	firms are permitted to practice under all types of general
	Land Surveying Board ("LAPELS")	in Louisiana accept non-firm individual	business entity forms, including a partnership,
	www.lapels.com	proprietorships (sole proprietorships) which	association, cooperative,
		bear the full name of the owner who is a	
	Licensing Statutes –	licensed professional. Such sole	venture, corporation, limited liability company, limited
	Louisiana Revised Statutes	proprietorships are not required to be licensed	liability partnership, or
	Title 37. Professions and Occupations,	as engineering firms with the board (only	
	Chapter 8. Professional Engineering	individual licensure is required). Non-firm	any other business entity form authorized under
	and Professional Land Surveying (La.	individual proprietorships that do not bear the	Louisiana law.
	R.S. 37:681, et seq.)	full name of the owner who is a licensed	
		professional must be licensed with the board	Louisiana law does not provide for the creation of a
	Licensing Regulations –	as an engineering firm.	professional engineering corporation (as it does for
	Louisiana Administrative Code		architectural firms). However, Louisiana law does
	Title 46. Professional and Occupational	Steps for Firm Licensure:	provide for the formation of an architectural-
	Standards, Part LXI. Professional		engineering corporation, which is defined as a
	Engineers and Land Surveyors	1. Execute the paperwork to create the firm.	corporation organized pursuant La. R.S. 12:1171, et seq.,
		2. If the firm is a corporation or limited liability	for the practice of architecture and engineering, and

Louisiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
		company, obtain a certificate of incorporation	which is certified to be in compliance with Louisiana law
		or certificate of organization (domestic	governing the practice of architecture and engineering
		corporations and LLCs) or certificate of	by the Louisiana State Board of Architectural Examiners
		authority (foreign firms) from the Louisiana	and the Louisiana Professional Engineering and Land
		Secretary of State.	Surveying Board.
		3. Within thirty days after forming the firm or	
		obtaining the certificate from the Louisiana	
		Secretary of State, submit to the Board a	
		completed Application for Firm License along	
		with a copy of the firm's certificate from the	
		Louisiana Secretary of State.	
		Supervising Professionals:	
		Each firm licensed with the board must	
		designate one or more supervising	
		professionals. Each supervising professional	
		must be a licensed professional:	
		a. whose primary employment is with the	
		firm on a full-time basis; or	
		b. whose secondary employment is with	
		the firm, provided the supervising professional	
		is an owner of the firm.	
		The supervising professionals of an	
		engineering firm shall be professional	
		engineers.	

Maine	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Gavin G. McCarthy, Esq. PIERCE ATWOOD LLP Merrill's Wharf 254 Commercial Street, Portland, Maine 04101 (207) 791-1100 gmccarthy@pierceatwood.com	Architecture Maine Board of Licensure for Architects, Landscape Architects and Interior Designers: www.maine.gov/pfr/professionallicensing/professions/architects Licensing Statute – 32 M.R.S. § 220, et seq. Licensing Regulations – 02 C.M.R. 288,	A firm, corporation, company, partnership or limited liability company may not itself be licensed to practice architecture or landscape architecture. Rather, a license may only be issued to an individual, and licensure must be determined on individual and personal qualifications. See 32 M.R.S. § 220-C.	Because a firm may not itself be licensed, the corporate formation requirements are inapplicable. Should a group of licensed architects wish to form a non-licensed corporation, Title 13-C's general corporate formation rules would apply.
	Chapters 10-19		
	Engineering Maine Board of Licensure for Professional Engineers: www.maine.gov/professionalengineers Licensing Statute – 32 M.R.S. § 1251, et seq.	There is no provision for licensure of an engineering firm, and no avenue by which such an entity may become licensed as a practical matter, since the licensing statute has certain requirements that only a natural person could fulfill. See id. § 1352-A(1) (requiring, for example, a college degree). A firm, co-	Because a firm may not be itself be licensed, the corporate formation requirements are inapplicable. Should a group of licensed engineers wish to form a non-licensed corporation, Title 13-C's general corporate formation rules would apply.
	Licensing Regulations – 02 C.M.R. 322, Chapters 1-3	partnership, corporation or joint stock association may, however, engage in the practice of professional engineering in Maine, provided that the practice is carried on only by professional engineers licensed in the State. <i>See</i> 32 M.R.S. § 1253.	

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Joseph C. Kovars, Esq. Christopher C. Dahl, Esq. OBER KALER 100 Light Street Baltimore, MD 21202 (410) 685-1120 ickovars@ober.com ccdahl@ober.com	Architecture Maryland Board of Architects — www.dllr.state.md.us/license/arch Licensing Statute — Md. Code Ann., Bus. Occ. § 3-101 et seq. ("Maryland Architects Act") Licensing Regulations — COMAR 09.21 Maryland Professional Service Corporation Act — Md. Code Ann., Corps. & Ass'ns § 5-101 et seq.	The following types of business entities require a permit issued by the Maryland Board of Architects to provide architectural services: (1) Corporations; (2) Limited liability companies; and (3) Partnerships. A "permit" to provide architectural services is different from a "license" to practice architecture. Individuals are licensed. Business entities obtain permits.	In order for a corporation to qualify for a permit to provide architectural services, at least two-thirds of the directors of the corporation must be licensed in Maryland or another state to practice architecture, engineering, or landscape architecture. Likewise, in order for a partnership to qualify for a permit to provide architectural services, at least two-thirds of the partners must be licensed in Maryland or another state to practice architecture, engineering, or landscape architecture. If the partnership is a limited partnership, then at least two-thirds of the general partners of the limited partnership must be so licensed. Finally, in order for a limited liability company to qualify for a permit to provide architectural services, at least two-thirds of the members of the limited liability company must be licensed in Maryland or another state to practice architecture, engineering, or landscape architecture. Each of the above business entities operating under a permit to provide architectural services must appoint at least one "responsible member" who is (1) a Maryland-licensed architect and (2) a director of the corporation, member of the limited liability company, or partner of the partnership. The "responsible member" shall be in responsible charge of architecture practiced through the business entity.

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
			In addition, a corporation that provides architectural services may elect to be a professional corporation under the Maryland Professional Service Corporation Act, in which case it will be subject to the requirements of that Act. The election to organize as a professional corporation is not mandatory for an architecture firm in Maryland, however.
			Should a corporation that exclusively provides architectural services elect to organize as a professional corporation under the Maryland Professional Service Corporation Act, all of its stockholders must be licensed in Maryland or in another state to render architectural services. In addition to the licensing requirement that two-thirds of the directors of the professional corporation be Maryland-licensed architects, under the Maryland Professional Service Corporation Act all of the professional corporation's officers (except for the Secretary and Treasurer) must be licensed in Maryland or in another state to render architectural services.
	Engineering Maryland Board for Professional Engineers - www.dllr.state.md.us/license/pe Licensing Statutes - Md. Code Ann., Bus. Occs. § 14-101 et seq. ("Maryland Professional Engineers Act")	There is currently no requirement for an engineering firm to obtain a permit to practice engineering in Maryland. Under the Maryland Professional Engineers Act, a licensed professional engineer may practice engineering through: (1) a corporation as an officer, employee, or (2) agent of the corporation.	The new provisions of the Maryland Professional Engineers Act concerning permits, which will come in effect on October 1, 2015, do not set forth any special requirements for the governance of business entities through which engineering is practiced. Rather, to qualify for a permit, a corporation, limited liability company, or partnership must designate a Maryland-licensed professional engineer to serve as a

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Regulations – COMAR 09.23 Maryland Professional Service Corporation Act – Md. Code Ann., Corps. & Ass'ns § 5-101 et seq.	(3) a limited liability company as a member, employee, or agent of the limited liability company; or(4) a partnership as a partner, employee, or agent of the partnership.	"managing agent." The "managing agent" must be: (1) in a position to act on behalf of the business entity in matters related to the practice of or the offering of the practice of engineering; and (2) either an employee, owner, director, officer, member, or partner of the business entity. A professional engineer may not serve as
		Effective October 1, 2015, however, a corporation, limited liability company, or partnership that operates a business through which engineering is practiced shall be required to hold a permit issued by the Maryland Board for Professional Engineers. This is a new requirement, as formerly there was no separate licensing scheme for the practice of engineering through a business entity.	the "managing agent" for more than one business entity, unless the business entities are affiliates. In addition, a corporation that provides engineering services may elect to be a professional corporation under the Maryland Professional Service Corporation Act, in which case it will be subject to the requirements of that Act. The election to organize as a professional corporation is not mandatory for an engineering firm in Maryland, however.
			Should a corporation that exclusively provides engineering services elect to organize as a professional corporation under the Maryland Professional Service Corporation Act, all of its stockholders must be licensed in Maryland or in another state to render engineering services. In addition, the Maryland Professional Service Corporation Act would require that a majority of the professional corporation's directors, and all of its officers (except for the Secretary and Treasurer), be licensed in Maryland or in another state to render engineering services.

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture	Massachusetts's architect licensing statute does not require firms (either domestic or	Corporation, Partnership, or Joint Venture
R. Thomas Dunn, Esq.	Massachusetts Board of	foreign) to obtain licenses to practice. 231	Although not requiring express licensure with the Board, a partnership,
Katharine E. Kohm, Esq.	Registration of Architects –	C.M.R. §4.04.	joint venture, or corporation may practice architecture provided it
PIERCE ATWOOD LLP	http://www.mass.gov/ocabr/lic		meets certain formation and control requirements:
72 Pine Street	ensee/dpl-boards/ar	However, pursuant to the Rules of	
Providence, RI 02903		Professional Conduct, if the name or	(1) any agreement to perform such architecture services must be
(401) 490-3418	Licensing Statute – Mass. Gen.	business title of the firm is not the real	executed on behalf of the partnership or corporation by the partner or
rtdunn@PierceAtwood.com	Laws C. 112 §§ 60A through	name of the individual architects, then a	partners, or by the officer or officers who shall be the registered
kkohm@PierceAtwood.com	60P	fictional name must be registered. <u>See</u> 231	architect or registered architects exercising professional and supervisory
		C.M.R. §4.03.	control for the services. Mass. Gen. Laws C. 112 § 60L(8); 231 C.M.R.
	Licensing Regulations - 231		§4.04(2), (3), (6).
	C.M.R. §§ 2 through 4.05	Also, the practice of architecture by certain	
		entities such as corporations, LLPs, PCs, and	(2) at least one officer of the corporation (status established in the
		LLCs, have specific registration requirements	articles or by-laws), one partner of the partnership, or one member of
		pursuant to legislation other than the	the joint venture must be registered as an architect in Massachusetts;
		architect licensing statute. These entities	231 C.M.R. §4.04(2), (3), (6).
		must provide specific registration	
		information to the Secretary of State in	(3) All architectural services performed by that corporation, partnership,
		order to do business in Massachusetts	or joint venture must be performed by the Massachusetts-registered-
		including the practice of professional	architect officer, partner, or joint venture member, or by other
		services like architecture:	individuals who perform such work under the responsible control of the
		(4) 1: 1: 1: 1:1:	Massachusetts-registered-architect officer, partner, or joint venture
		(1) limited liability partnership (LLP)	member. 231 C.M.R. §4.04(2), (3), (6).
		(2) professional corporations (PC)	II Do and II Co
		(3) limited liability company (LLC)	LLPs and LLCs
		(4) corporations	Although not requiring everyose licensure with the Doord LLDs and LLCs
		Mass. Gen. Laws C. 108A §§ 45-47 (LLP); Mass. Gen. Laws C. 156A §§ 4(a), 7 (PC);	Although not requiring express licensure with the Board, LLPs and LLCs, at the time of registration and in each annual report, are required to
		IVIASS. GEII. LAWS C. 130A 99 4(a), / (PC);	at the time of registration and in each annual report, are required to

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Mass. Gen. Laws C. 156C §§ 6, 65 (LLC);	provide to the Secretary of State:
		Mass. Gen. Laws C. 156D § 11	
		(corporations); see also 231 C.M.R. §4.04	(1) the names of each of the partners or members who render
		(applicable to all entities practicing	professional service on behalf of the LLP or LLC in the Massachusetts
		architecture)	and their business addresses, Mass. Gen. Laws C. 108A § 45(7)(a), Mass.
			Gen. Laws c. 156C § 6, 231 C.M.R. §4.04(4), (5),
			(2) a certificate from the appropriate regulating board or boards that
			each of the partners or members who render professional service on
			behalf of the LLP or LLC is duly licensed to render such service, Mass.
			Gen. Laws C. 108A § 45(7)(b), Mass. Gen. Laws C. 156C § 6, 231 C.M.R.
			§4.04(4), (5),
			(3) certification that each of the partners or members who render
			professional services on behalf of the LLP or LLC is duly licensed to
			render such services. Mass. Gen. Laws C. 108A § 45(7)(c), Mass. Gen.
			Laws C. 156C § 6, 231 C.M.R. §4.04(4), (5),
			(3) certification that the LLP or LLC is maintaining required amounts of
			insurance or participation in a capital program. Mass. Gen. Laws C.
			108A § 45(8)(a), Mass. Gen. Laws c. 156C § 65, 231 C.M.R. §4.04(7).
			Out-of-state LLCs and LLPs architectural firms may provide services
			provided they meet the above requirements and also file a certificate
			with the Secretary of State to do business in-state. Mass. Gen. Laws C.
			108A § 47(4)-(6) (LLPs); Mass. Gen. Laws C. 156C § 48(LLCs).

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
			PCs
			Although not requiring express licensure with the Board, PCs, at the time of registration in the articles and in the annual report must provide to the Secretary of State:
			(1) corporate purposes indicating the professional services to be rendered, Mass. Gen. Laws c. 156A § 7(a),
			(2) a certificate by the appropriate regulating board or boards that each of the incorporators, the president and any vice presidents, a majority of the directors and each shareholder is duly licensed to render a professional service permitted by the articles of organization of the corporation. Mass. Gen. Laws C. 156A §§ 7(c), 9.
			Out-of-state PC architectural firms may provide services provided they meet the above requirements and file also a certificate with the Secretary of State to do business in Massachusetts. Mass. Gen. Laws C. 156A §§ 7, 17.
			Exception
			There is a limited exception to the requirement of individual (and presumably an individual on behalf of a firm) registration: An architect who is not a resident of Massachusetts, but holds a certificate to practice in his/her home state may hold him/herself out to perform services in Massachusetts provided that before any services actually are performed, the out of state architect is registered. Mass. Gen. Laws C. 112 § 60L(9).

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	Massachusetts's engineer licensing statute does not require firms to obtain licenses to	Corporation, Partnership, or Joint Venture
	Massachusetts Board of Registration of Professional Engineers and Professional Land Surveyors - http://www.mass.gov/ocabr/lic ensee/dpl-boards/en/ Licensing Statute – Mass. Gen. Laws C. 112 § § 81D through	practice. Mass. Gen. Laws C. 112 § 81R(f) ("co-partnership, corporation or joint stock association"); 250 C.M.R. §5.05; 250 C.M.R. 2.09 ("Business Entity is any sole proprietorship, partnership, corporation, association, limited liability company, limited liability partnership, or other organization or entity, whether for profit or not for profit, which provides or offers to	Although not requiring express licensure with the Board, a copartnership, corporation or joint stock association may practice engineering provided that the person in direct charge, control, and supervision of the practice is a professional engineer holding a Massachusetts certificate of registration and is an active participant in the contracting, reporting, publishing, scheduling, etc. of professional services being offered by the firm. Mass. Gen. Laws C. 112 § 81R(f); 250 C.M.R. 5.02.
	81T	provide engineering services.").	LLPs and LLCs
	Licensing Regulations – 250 C.M.R. 2.00 through 7.00	That said, the practice of engineering by certain entities such as LLPs, LLCs, PCs, and corporations, may have specific registration requirements pursuant to legislation other	Although not requiring express licensure with the Board, LLPs and LLCs, at the time of registration and in each annual report, are required to provide to the Secretary of State:
		than the engineer licensing statute. The following types of entities must provide specific registration information to the Secretary of State in order to do business in the state like practice professional services	(1) the names of each of the partners or members who render professional service on behalf of the LLP or LLC in the Massachusetts and their business addresses, Mass. Gen. Laws C. 108A § 45(7)(a), Mass. Gen. Laws C. 156C § 6.
		like engineering:	(2) a certificate of the appropriate regulating board or boards that each of the partners or members who render professional service on behalf
		(1) limited liability partnership (LLP)(2) professional corporations (PC)(3) limited liability company (LLC)	of the LLP or LLC is licensed, Mass. Gen. Laws C. 108A § 45(7)(b), Mass. Gen. Laws C. 156C § 6.
		(4) corporations Mass. Gen. Laws C. 108A §§ 45-47 (LLP);	(3) certification that each of the partners or members who render professional services on behalf of the LLP or LLC is licensed to render

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Mass. Gen. Laws C. 156A §§ 7 (PC); Mass. Gen. Laws C. 156C §§ 6, 65 (LLC); Mass. Gen.	such services. Mass. Gen. Laws C. 108A § 45(7)(c), Mass. Gen. Laws C. 156C § 6.
		Laws C. 156 § 11 (corporations).	(3) certification that the LLP or LLC is maintaining required amounts of insurance or participation in a capital program. Mass. Gen. Laws C. 108A § 45(8)(a), Mass. Gen. Laws C. 156C § 65.
			Out-of-state LLC and LLP engineering firms may provide services provided they meet the above requirements and also file a certificate with the Secretary of State to do business in Massachusetts. Mass. Gen. Laws C. 108A § 47(4)-(6) (LLPs); Mass. Gen. Laws C. 156C § 48(LLCs)
			PCs
			Although not requiring express licensure with the Board, PCs, at the time of registration in the articles and in the annual report must provide the Secretary of State:
			(1) corporate purposes indicating the professional services to be rendered, Mass. Gen. Laws C. 156A § 7(a),
			(2) a certificate by the appropriate regulating board or boards that each of the incorporators, the president and any vice presidents, a majority of the directors and each shareholder is duly licensed to render a professional service permitted by the articles of organization of the corporation. Mass. Gen. Laws C. 156A § 7(c); 9.
			Out-of-state PC engineering firms may provide services provided they meet the above requirements and also file a certificate with the

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Secretary of State to do business in Massachusetts. Mass. Gen. Laws C.
			156A §§ 7, 17.
			Exception
			There is a limited exception to the requirement of individual (and presumably an individual on behalf of a firm) registration: The practice of engineering by a person who is not a resident of Massachusetts or who does not have an established place of business in Massachusetts is permissible if (1) that practice does not exceed more than thirty (30) consecutive days in any calendar year, (2) the person is legally qualified by registration to practice engineering in his or her own state or country, (3) the person never was licensed in Massachusetts, and (4) the person applies for, receives, and pays a fee for a temporary permit.
			Mass. Gen. Laws C. 112, § 81R(b), (c); 250 C.M.R. 3.09.

Michigan	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors James R. Case, Esq.	Architecture and Engineering Michigan Department of Licensing and	Michigan only licenses individuals to practice professional engineering or architecture. Firms are not licensed	Licensing requirements for design professionals limit, to some extent, a design professional's scope of practice. MCLA 339.2010(1) limits the ability of a
Jason C. Yert. Esq.	Regulatory Affairs	to practice professional engineering	"firm" to practice architecture, professional
KERR RUSSELL AND WEBER, PLC	Togethere, The same	or architecture.	engineering, or professional surveying unless two-
500 Woodward Ave.,	Architects -		thirds of the "principals" of the firm are licensed.
Suite 2500	http://www.michigan.gov/lara/0,4601,7-		"Firm" is defined as a "sole proprietorship,
Detroit, MI 48226	154-35299 61343 35414 60647 35452		partnership, corporation, or limited liability company
(313) 961-0200	<u>,00.html</u>		through which a person licensed under this article
<u>jcase@kerr-russell.com</u>			offers or provides a service to the public" [MCLA
jyert@kerr-russell.com	Engineers -		339.2001(b)].
	http://www.michigan.gov/lara/0,4601,7-		
	<u>154-35299 61343 35414 60647 35472</u>		However, a non-licensed design principal and his/her
	<u>,00.html</u>		firm can apply and receive approval from the
	Licensing Statute – MCLA 339.2001, et. seq.		Michigan Department of Consumer and Industrial Services to engage in design practices if the conduct
	Licensing Statute – WCLA 339.2001, et. 3eq.		of the firm and its principals comply with rules
			promulgated by the department. MCLA 339.2010(2)].
			The Michigan Professional Service Corporation Act,
			MCL 450.221, et seq. is applicable to corporations
			offering architectural and professional engineering
			services. Each corporation organized under this act
			may only offer architectural and engineering services
			through individuals who are licensed or legal
			authorized to render the service.

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Kristine A. Kubes, Esq. KUBES LAW OFFICE, PLLC International Market Square 275 Market Street Suite 566 Minneapolis, MN 55405 (612) 333-3262 kristine@kubeslaw.com	Architecture Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience & Interior Design ("AELSLAGID") http://mn.gov/aelslag/ Licensing Statute: Minn. Stat. § 326.01-326.15 Licensing Rules: Minn. Rules 1800 and 1805	MN does not license firms. Licenses are individually held by persons who meet the qualifications for licensure — education, examination, and experience. Only persons meeting the qualifications for licensure may practice, or offer to practice, architecture in the state of Minnesota. Minn. Stat. § 326.02, subd. 1. "Architect" is a protected term in Minnesota and may not be used except by a licensed architect. Requirements and procedures are set forth in Minn. Stat. Ch. 326 and in Minn. Rules 1800.1000-1300 and et seq. All licensees are required to comply with the Code of Professional Conduct at Minn. Rule 1805.0100-1600.	Because MN licenses individuals, not companies, it has authorized certain licensed professionals to form entities as professional firms. See Minn. Stat. § 326.14 and Minn. Stat. § 319B.02, subd. 5 (both allowing a corporation, partnership, or limited liability company to provide architectural services). In addition, the licensing statute requires that the person(s) connected with that entity, and in responsible charge of such work, be licensed to practice. Minn. Stat. § 326.14. In order to operate in MN as a firm offering professional services (such as architecture), a resident business entity must first be created under the MN Business Corporations Act (Minn. Stat. Ch. 302A); the MN Nonprofit Corporation Act (Minn. Stat. Ch. 317A); the MN Limited Liability Company Act (Minn. Stat. Ch. 323B); or the MN Limited Partnership Act (Minn. Stat. Ch. 323A). For requirements related to foreign business entities, see Minn. Stat. Ch. 303 (foreign corporations), Ch. 322B (LLCs), and Ch. 323A (LLPs). Then the firm must elect to be governed by the MN Professional Firms Act, Minn. Stat. Ch. 319B ("PFA"). Minn. Stat. § 319B.03, subd. 1. Where a term of the PFA conflicts with a term of the applicable business entity statute, the PFA controls. Minn. Stat. § 319B.03, subd. 4. Firms providing professional services and formed under the PFA must register annually with the Board that governs their conduct (here AELSLAGID) and pay an annual registration fee to the Secretary of State. Minn. Stat. § 319B.11, subds. 3, 4.

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Individual members of professional firms are subject to the
			requirements of their respective licensing boards. Minn. Stat. §§
			319B.11, .14.
			Firms providing professional services and formed under the PFA
			must use a firm name that complies with statutory requirements.
			See Minn. Stat. 319B.05.
			As a general rule, professional service firms must be <i>owned</i> by
			persons or companies of persons who are authorized to furnish at
			least one category of the professional firm's pertinent professional
			services. See Minn. Stat. § 319B.07 for all categories/
			requirements. An owner may not sell, gift, assign, or transfer
			ownership to another unless that person/entity meets the
			requirements of ownership as laid out in Section 319B.07.
			As a general rule, professional service firms must be governed by
			one or more professionals, each of whom is licensed to furnish at
			least one category of the pertinent professional services; or by a
			surviving spouse of a deceased professional who was the sole
			owner of the professional firm, while the surviving spouse owns
			and controls the firm, but only during the period of time ending
			one year after the death of the professional. See Minn. Stat. §
			319B.09.
	Engineering	MN does not license firms. Licenses are	Because MN licenses individuals, not companies, it has authorized
	Liigineeiiiig	individually held by persons who meet the	certain licensed professionals to form entities as professional
	Minnesota Board of Architecture,	qualifications for licensure – education,	firms. See Minn. Stat. § 326.14 and Minn. Stat. § 319B.02, subd. 5
	Engineering, Land Surveying,	examination, and experience.	(both allowing a corporation, partnership, or limited liability
	Landscape Architecture,	examination, and experience.	company to provide architectural services). In addition, the
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Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Geoscience & Interior Design	Only persons meeting the qualifications for	licensing statute requires that the person(s) connected with that
	("AELSLAGID")	licensure may practice, or offer to practice,	entity, and in responsible charge of such work, be licensed to
	http://mn.gov/aelslag/	engineering in the state of Minnesota.	practice. Minn. Stat. § 326.14.
		Minn. Stat. § 326.02, subd. 1.	
	Licensing Statute:		In order to operate in MN as a firm offering professional services
	Minn. Stat. § 326.01-326.15	"Professional Engineer," "PE" and "P.E." are	(such as engineering), a resident business entity must first be
		protected terms in Minnesota and may only	created under the MN Business Corporations Act (Minn. Stat. Ch.
	Licensing Rules:	be used by a licensed professional engineer.	302A); the MN Nonprofit Corporation Act (Minn. Stat. Ch. 317A);
	Minn. Rules 1800 and 1805	Minn. Stat. § 326.02, subd. 3.	the MN Limited Liability Company Act (Minn. Stat. Ch. 322B); or
			the MN Limited
		Requirements and procedures are set forth in	
		Minn. Stat. Ch. 326 and in Minn. Rules	Partnership Act (Minn. Stat. Ch. 323A). For requirements related
		1800.2500-2900 and et seq.	to foreign business entities, see Minn. Stat. Ch. 303 (foreign
			corporations), Ch. 322B (LLCs), and Ch. 323A (LLPs).
		All licensees are required to comply with the	
		Code of Professional Conduct at Minn. Rule	Then the firm must elect to be governed by the MN Professional
		1805.0100-1600.	Firms Act, Minn. Stat. Ch. 319B ("PFA"). Minn. Stat. § 319B.03,
			subd. 1. Where a term of the PFA conflicts with a term of the
			applicable business entity statute, the PFA controls. Minn. Stat. §
			319B.03, subd. 4.
			Figure 2 and 1 diversion front and a second forward and a state DEA
			Firms providing professional services and formed under the PFA
			must register annually with the Board that governs their conduct
			(here AELSLAGID) and pay an annual registration fee to the
			Secretary of State. Minn. Stat. § 319B.11, subds. 3, 4.
			Individual members of professional firms are subject to the
			requirements of their respective licensing boards. Minn. Stat. §§
			319B.11, .14.
			3130.11, .14.

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Firms providing professional services and formed under the PFA must use a firm name that complies with statutory requirements. See Minn. Stat. 319B.05.
			As a general rule, professional service firms must be <i>owned</i> by persons or companies of persons who are authorized to furnish at least one category of the professional firm's pertinent professional services. See Minn. Stat. § 319B.07 for all categories/ requirements. An owner may not sell, gift, assign, or transfer ownership to another unless that person/entity meets the requirements of ownership as laid out in Section 319B.07.
			As a general rule, professional service firms must be <i>governed</i> by one or more professionals, each of whom is licensed to furnish at least one category of the pertinent professional services; or by a surviving spouse of a deceased professional who was the sole owner of the professional firm, while the surviving spouse owns and controls the firm, but only during the period of time ending one year after the death of the professional. See Minn. Stat. § 319B.09.

Mississippi	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Cable M. Frost, Esq. Marlena Pickering, Esq. BAKER, DONELSON, BEARMAN,	Architecture Mississippi Board of Architecture http://www.archbd.state.ms.us	Firms are not permitted to hold licenses to practice architecture. Licensure is issued to individuals only. Miss. State Bd. of Arch. Rule 3.2.12 (2014).	An architect can practice through a professional corporation or association or through a professional limited liability company. Professional architectural corporations or associations are
CALDWELL & BERKOWITZ, PC Meadowbrook Office Park 4268 I-55 North Jackson, MS 39211 (601) 351-2400 cfrost@bakerdonelson.com	Licensing Statute – Miss. Code Ann. § 73- 1-1 et. seq. Licensing Regulations – Miss. State Board of Architecture Rules and Regulations (2014); Title 30, Part 201;	"No stock company, corporation, professional corporation or professional limited liability company shall be entitled to a certificate to practice architecture." Miss. Code Ann. § 73-1-19.	governed by Mississippi's Professional Corporations Act ("PCA"), subject to the following requirements: 1. At least one active stockholder must hold a certificate to practice architecture in Mississippi; and 2. Each stockholder, director and officer must hold a licensure for architecture or engineering in his or her
mpickering@bakerdonelson.	Professional Corporation Act – Miss. Code Ann. § 73-10-1 et. seq. Professional Limited Liability Company	An architect can practice as a sole proprietor, or through a partnership, a professional corporation, a professional association, or a professional limited liability company.	state of residence; and 3. Only licensed individuals are permitted to own stock in the professional architectural corporation or association.
	Act - Miss. Code Ann. § 79-29-901 et. seq. Uniform Partnership Act - Miss. Code	An individual architect must provide information to the State Board of Architecture as to the business entity	Professional architectural limited liability companies are governed by Mississippi's Professional Limited Liability Company Act ("PLLCA"), subject to the following
	Ann. § 79-13-101 et. seq.	through which the architect practices architecture in Mississippi.	additional requirements: 1. At least one owner of a membership interest in the PLLC must hold a certificate to practice architecture in Mississippi; and 2. Each owner of a membership interest and any managers of the PLLC must hold certificates to practice architecture in that person's state or 3. residence; and
			Only licensed architects or licensed engineers practicing with a licensed architect are permitted to own

Mississippi	Design Professional Regulation	Firm License	Corporate Formation Requirements
			membership interests in or be a manager of a PLLC
			offering architectural services in Mississippi.
			Architectural partnerships are governed by Mississippi's
			Uniform Partnership Act of 1997 (UPA), subject to the
			following additional requirements:
			 At least one active partner must hold a certificate
			to practice architecture in Mississippi; and
			2. Only licensed architects or licensed engineers
			practicing with a licensed architect are permitted to be
			active members of the partnership.

Mississippi	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	The following types of entities require firm	To qualify to hold an Engineering Certificate of
		licensure to practice engineering:	Authority, each Professional Service
	Mississippi Board of Licensure for	(1) A Professional Service Corporation;	Corporation, Corporation, General Partnership,
	Professional Engineers & Surveyors	(2) A Corporation;	Limited Partnership, Limited Liability
	http://www.pepls.state.ms.us/pepls/web.nsf	(3) A General Partnership;	Partnership, and Limited Liability Company
		(4) A Limited Partnership;	must designate at least one Mississippi-licensed
	Licensing Statute – Miss. Code Ann. § 73-13-	(5) A Limited Liability Partnership;	Professional Engineer who is either a principal
	1 et. seq.	(6) A Limited Liability Company.	officer, partner, or the designated principal
		Miss. Code Ann. § 73-13-3.	engineer of the firm with management
	Licensing Regulations – Miss. Bd. of		responsibility for the firm's practice, and who
	Licensure for Prof. Eng. and Surveyors Rules	A sole proprietorship owned and operated by a	makes significant technical and/or contractual
	and Regulations of Procedures (2014); Title	licensed engineer is not required to obtain a	judgments on behalf of the firm which would
	30, Part 901	license.	affect the firm's professional reputation and
			liability.
	Professional Corporation Act – Miss. Code	A professional association of licensed	
	Ann. § 73-10-1 et. seg.	professional engineers is not required to obtain	A licensee who renders occasional, part-time or
	,	a license.	consulting services to a firm may not be
	Professional Limited Liability Company Act -		designated as being responsible for the
	Miss. Code Ann. § 79-29-901 et. seq.	Both the licensed sole proprietor and the	professional services of the firm.
	,	licensees within a professional association are	See PCA requirements above.
	Uniform Partnership Act - Miss. Code Ann. §	to maintain their individual licenses in active	'
	79-13-101 et. seg.	status and only offer the professional services	
		for which they are licensed and qualified to	
		provide.	
		Miss. Code Ann. § 73-13-3.	

Missouri	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author G. Edgar "Eddie" James, Esq. Ryan Manies, Esq. POLSINELLI PC 900 W. 48th Place, Suite 900 Kansas City, MO 64112 (816) 395-0661 ejames@polsinelli.com rmanies@polsinelli.com	Architecture Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects http://pr.mo.gov/apelsla.asp Licensing Statute – R.S.Mo. 327.091 et. seq. Licensing Rules – www.sos.mo.gov/adrules/csr/curren t/20csr/20csr.asp#20-2030	Missouri's licensing laws are applicable to corporations as well as to natural persons. As used in Missouri's licensing statutes, the term "corporation" means any: (1) General Business Corporation (2) Professional Corporation (3) Limited Liability Company Any licensed architect may also practice through the medium of a partnership.	The entitlement of any business entity to a certificate of authority to practice architecture is tied to the licensing of one or more of its individual members or employees. Any domestic or foreign corporation, having as one of its corporate purposes the practice of architecture, may render architectural services in Missouri if: (1) the directors of the corporation have assigned responsibility for the proper conduct of all architectural activities in Missouri to an architect licensed and authorized to practice in Missouri; (2) the person(s) who is personally in charge of and supervises the architectural activities of the corporation in Missouri is a duly licensed architect in Missouri; and (3) the corporation obtains and pays for a certificate of authority. Professional corporations may render professional services
			only through its officers, employees, and agents who are duly licensed to render that professional service. Unlike corporations, partnerships are not required to secure certificates of authority.
	Engineering Missouri Board for Architects, Professional Engineers, Professional	Missouri's licensing laws are applicable to corporations as well as to natural persons. As used in Missouri's licensing statutes, the term "corporation" means any:	The entitlement of any business entity to a certificate of authority to practice architecture is tied to the licensing of one or more of its individual members or employees.

Missouri	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Land Surveyors, and Landscape Architects	(1) General Business Corporation(2) Professional Corporation	Any domestic or foreign corporation, having as one of its corporate purposes the practice of architecture, may render
	http://pr.mo.gov/apelsla.asp	(3) Limited Liability Company	architectural services in Missouri if:
	Licensing Statute – R.S.Mo. 327.181 et. seq. Licensing Rules – www.sos.mo.gov/adrules/csr/curren t/20csr/20csr.asp#20-2030	Any licensed engineering may also practice through the medium of a partnership.	 (1) the directors of the corporation have assigned responsibility for the proper conduct of all architectural activities in Missouri to an architect licensed and authorized to practice in Missouri; (2) the person(s) who is personally in charge of and supervises the architectural activities of the corporation in Missouri is a duly licensed architect in Missouri; and (3) the corporation obtains and pays for a certificate of authority.
			Professional corporations may render professional services only through its officers, employees, and agents who are duly licensed to render that professional service.
			Unlike corporations, partnerships are not required to secure certificates of authority.

Montana	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Anna M. Bidegaray, Esq. TARLOW & STONECIPHER, PLLC 1705 West College Street Bozeman, MT 59715-4913 (406) 586-9714 ABidegaray@lawmt.com	Architecture Montana Board of Architects and Landscape Architects ("MTBALA") www.architect.mt.gov Licensing Statute: Mont. Code Ann. § 37-65-301 et. seq. Licensing Regulations:	Pursuant to § 37-65-302 Mont. Code Ann.: No firm, company, partnership, association, corporation, or other similar organization shall be licensed as an architect. Only individuals shall be licensed as architects, but a number of architects constituting a firm may use the collective title "architects" or "licensed architects".	None.
	ARM 24.114 et. Seq.		
	Engineering	Pursuant to § 37-67-320 Mont. Code Ann.: (1) A sole proprietorship, firm, partnership,	None.
	Montana Board of Professional Engineers and Professional Land Surveyors ("MTBELS") www.engineer.mt.gov	or corporation: (a) May engage in the practice of engineering in this state if the sole proprietor or any member of the firm, partnership, or corporation	
	Licensing Statute: Mont. Code Ann. § 37-67-301 et. seq.	who is in responsible charge* of engineering performed in this state is a professional engineer, as	
	Licensing Regulations: ARM 24.183 et. seq.	appropriate: (b) must have a professional engineer in residence who is in responsible charge of the engineering conducted in the office or place of engineering; and	

Montana	Design Professional Regulation	Firm License	Corporate Formation Requirements
		(c) shall obtain a certificate of	
		authorization from the board before	
		engaging in the practice of	
		engineering.	
		(2) The provisions of subsection (1) do	
		not apply to sole proprietorships,	
		firms, partnerships, or corporations	
		that are engaged in the practice of	
		engineering for their own benefit	
		and do not practice or offer	
		engineering services to others.	
		*Responsible Charge is defined in ARM	
		24.183.301	

Nebraska	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture and Engineering	Any organization (partnership, limited	There are no specific requirements in
Bruce Gerhardt, Esq.	Nebraska Board of Engineers and	liability company, corporation, professional corporation, or other form of business	Nebraska for the corporate formation of an architecture or engineering firm. Firms
HDR, Inc.	Architects	entity but not including public service	are permitted to practice under all types
8404 Indian Hills Dr.	http://www.ea.nebraska.gov	providers) that practices or offers to	of business entities.
Omaha, NE 68114		practice for others architecture or	
(402) 399-1055	Licensing Statute – Neb. Rev. Stat. § 81-	engineering through individuals licensed	Although architectural or engineering
bruce.gerhardt@hdrinc.com	3436.	under the Engineers and Architects	firms are not required to operate as a
		Regulation Act must obtain a certificate of	professional corporation in Nebraska,
	Licensing Rules – Neb. Admin. Code § 110,	authorization by the Board of Engineers	there are additional corporate governance
	Ch. 7.	and Architects.	requirements if they elect to do so. A
			professional corporation must have a
	Professional Corporation Act – Neb. Rev.		certificate of registration from the
	Stat. § 21-2201.		regulating board for the profession, only
			licensed professionals may be
			shareholders, and a professional
			corporation may only be formed to
			provide one type of professional service.

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	All types of business entities (partnership,	Architects may join or form a partnership,
		corporation, limited-liability company or other	corporation, limited-liability company or other
Michael W. Wadley, Esq.	Nevada State Board of Architecture,	forms of business organization or association)	business organization or association with registrants
HOLLAND & HART LLP	Interior Design and Residential Design	must obtain firm licensure before practicing	and licensees outside of their field of practice, or with
9555 Hillwood Drive	http://www.nsbaidrd.state.nv.us	architecture in Nevada. NRS 623.349.	persons who are not registered or licensed, if control
Las Vegas, NV 89134			and two-thirds ownership of the business
(702) 669-4600	Licensing Statute –	Steps for licensure are as follows:	organization or association is held by persons
mwwadley@hollandhart.com	Nevada Revised Statutes, Chapter 623		registered or licensed in the State of Nevada. NRS
		1. Demonstrate to the Board that the	623.349.
	Licensing Regulations-	applying entity is in compliance with all	
	Nevada Administrative Code, Chapter	provisions of NRS 623.349.	Each office or place of business in Nevada of any
	623	2. Pay the fee for a certificate of	partnership, corporation, limited-liability company or
		registration pursuant to NRS 623.310.	other forms of business organization or association
	Adopted Regulations of the State Board	3. Qualify to do business in the State of	that engages in the practice of architecture must
	of Architecture, interior Design and	Nevada.	have an architect who is a resident of the State of
	Residential Design –	4. If the applying entity is a corporation,	Nevada and who holds a certificate of registration
	http://nsbaidrd.state.nv.us/uploads/R07	register with the Board and furnish to the Board	issued by Nevada regularly working in the office of
	<u>6-13A.pdf</u>	a complete list of all stockholders when the	place of business and having responsible control for
		company first files with the Board and annually	the architectural work conducted in the office or
	Licensing Rules —	thereafter.	place of business. NRS 623.350(1).
	http://www.nsbaidrd.state.nv.us/upload	5. If the applying entity is a partnership,	
	s/register-architects/Arch-Rules-of-	limited-liability company or other form of	The requirement in NRS 623.350(1) does not apply to
	Conduct-08-09.pdf	business organization or association, register	partnerships, corporations, limited-liability companies
		with the Board and furnish to the Board a	or other forms of business organizations or
	Professional Entities and Associations	complete list of members of the applying entity	associations that engage in the practice of
	Act, NRS, Chapter 89	when the entity first files with the Board and	architecture at offices established for construction

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
Nevada	Design Professional Regulation	Firm License annually thereafter. NRS 623.349.	Corporate Formation Requirements administration. NRS 623.350(1). Architects may organize a professional entity in the manner provided for organizing a professional entity or association pursuant to chapter 89 of NRS. A professional entity may be organized to render a professional service relating to: architecture, interior design, residential design, engineering and landscape architecture, or any combination thereof. Except as otherwise provided by law, a professional association may render professional service only through its members and employees who are licensed or otherwise authorized by law to render the professional service.

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering Nevada State Board of Professional Engineers & Land Surveyors - http://www.nvboe.org/ Licensing Statutes — Nevada Revised Statute, Chapter 625 Licensing Regulations- Nevada Administrative Code, Chapter 625 Professional Entities and Associations	All types of business entities (corporation, limited liability company, partnership, etc.) must obtain firm licensure before practicing engineering in Nevada. NAC 625.425. Steps for Firm Licensure: The steps required for obtaining firm licensure are as follows: 1. File an application for registration with the Board. 2. Pay a fee of \$50.	Engineering firms are permitted to practice under all types of business entities. A firm engaged in the practice of engineering must employ at least one professional engineer at each place of business where the work will be performed. All engineering work done at a place of business must be performed under a professional engineer, who has been placed in responsible charge of the work and who is employed full-time at that particular place of business. NRS 625.407(1). Professional engineers may join or form a partnership, corporation, limited-liability company or
	Act, NRS, Chapter 89	Responsible Charge Professional: Each firm licensed with the board must designate one professional engineer who is in responsible charge of the work provided by the firm (he is not required to be a principal of the firm). NAC 625.425(2).	other business organization or association with registrants and licensees outside of their field of practice, or with persons who are not registered or license. NRS 625.407(4). The requirements in NRS 625.407 do not apply to a firm, partnership, corporation or other person who: (1) practices professional engineering for his or her benefit and does not engage in the practice of professional engineering or offer professional engineering series to other persons; and (2) is

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
			engaged in the practice of professional engineering in offices established for limited or temporary purposes, including offices established for the convenience of filed survey crews or for inspecting construction. NRS 625.407(5).
			Engineers may organize a professional entity in the manner provided for organizing a professional entity or association pursuant to chapter 89 of NRS. A professional entity may be organized to render a professional service relating to: architecture, interior design, residential design, engineering and landscape architecture, or any combination thereof. Except as otherwise provided by law, a professional association may render professional service only through its members and employees who are licensed or otherwise authorized by law to render the professional service.

New Hampshire	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Patricia A. Harris, Esq. ZETLIN & DE CHIARA LLP 801 2nd Avenue New York NY 10017 (212) 682-6800 pharris@zdlaw.com	Design Professional Regulation Architecture New Hampshire Secretary of State, Corporation Division, http://www.sos.nh.gov/corporate/Forms.html State of New Hampshire Board of Architects, http://www.nh.gov/jtboard/arch.htm Architect Licensing Statute – N. Ha. Code RSA §310-A:28, et seq. Administrative Rules – N. Ha. Admin. Rules, Arch 100 - 500	Architectural services may be offered by: (1) Sole Proprietorship (2) Limited Partnership – Domestic or Foreign (3) Registered Limited Liability Partnership – Domestic or Foreign (4) Limited Liability Company – Domestic or Foreign (5) Professional Limited Liability Company ("PLLC") – Domestic or Foreign (6) Corporation – Domestic or Foreign (7) Professional Corporation – Domestic or Foreign ("PC") Entity Organization:	At least one officer of a corporation or at least one general partner or associate must be designated as responsible for architectural activities and decisions of the business organization and such individual must be licensed in New Hampshire, N. Ha. Code RSA §310-A:42-a (I)(a). Specific PLLC rules: All members of domestic PLLCs must be (i) natural persons authorized by N. Ha., some other state, territory, or any foreign country to render professional services or (ii) certain other business organizations with proscribed ownership structures, N. Ha. Code RSA §304-D:7. Each manager and all officers, other than the secretary/asst. secretary and treasurer/asst.
		Domestic and foreign architecture firms must obtain a Certificate of Authorization from the Board of Architects, N. Ha. Code RSA §310-A:42-a (I) (domestic), N. Ha. Code RSA §310-A:42-a (V) (foreign) and then file organizational documents with the New Hampshire Secretary of State.	 treasurer must be qualified persons, N. Ha. Code RSA §304-D:12. All members and managers of a foreign PLLC who practice in N. Ha. must be qualified persons, N. Ha. Code RSA §304-D:16, §304-D:1(VII). Specific PC rules: All members of domestic PCs must be (i) natural persons authorized by N. Ha. or some other state or territory to render professional services or (ii)

New Hampshire	Design Professional Regulation	Firm License	Corporate Formation Requirements
			 certain other business organizations with proscribed ownership structures, N. Ha. Code RSA §294-A:8.
			 Not less than ½ of the directors and all officers, other than the secretary/asst. secretary and treasurer/asst. treasurer must be qualified persons, N. Ha. Code RSA §294-A:20 (domestic), RSA §294-A:25 (foreign).
	Engineering	Engineering/land surveying services may be offered by:	At least one officer of a corporation or at least one general partner or associate must be designated as responsible for
	New Hampshire Secretary of State, Corporation Division,	(1) Sole Proprietorship	engineering activities and decisions of the business organization and such individual must be licensed in New
	http://www.sos.nh.gov/corporate/Forms.html	(2) Limited Partnership – Domestic or Foreign	Hampshire, N. Ha. Code RSA §310-A:20 (I)(a).
	Engineering Licensing Statute – N. Ha. Code RSA §310-A:1, et seq. Joint Board of Licensure	(3) Limited Liability Partnership – Domestic or Foreign	Specific PLLC rules:
	and Certification; Administrative Rules – N. Ha. Admin. Rules, Eng 100 - 500	(4) Limited Liability Company – Domestic or Foreign(5) Professional Limited Liability Company	 All members of domestic PLLCs must be (i) natural persons authorized by N. Ha., some other state, territory, or any foreign country to render
	State of New Hampshire Board of Professional Engineers, http://www.nh.gov/jtboard/pe.htm	("PLLC") – Domestic or Foreign(6) Corporation – Domestic or Foreign(7) Professional Corporation – Domestic	professional services or (ii) certain other business organizations with proscribed ownership structures N. Ha. Code RSA §304-D:7.
		or Foreign	
		Domestic and foreign engineering firms must obtain a Certificate of Authorization from the Board of Professional Engineers, N. Ha. Code RSA §310-A:20 (III) (domestic),	 Each manager and all officers, other than the secretary/asst. secretary and treasurer/asst. treasurer must be qualified persons, N. Ha. Code RSA §304-D:12.

New Hampshire	Design Professional Regulation	Firm License	Corporate Formation Requirements
		N. Ha. Code RSA §310-A:20 (V) (foreign) and then file organizational documents with the New Hampshire Secretary of State.	 All members and managers of a foreign PLLC who practice in N. Ha. must be qualified persons, N. Ha. Code RSA §304-D:16, §304-D:1(VII).
			Specific PC rules:
			 All members of domestic PCs must be (i) natural persons authorized by N. Ha. or some other state or territory to render professional services or (ii) certain other business organizations with proscribed ownership structures N. Ha. Code RSA §294-A:8.
			 Not less than ½ of the directors and all officers, other than the secretary/asst. secretary and treasurer/asst. treasurer must be qualified persons, N. Ha. Code RSA §294-A:20 (domestic), RSA §294-A:25 (foreign).

New Jersey	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	Architectural services may be offered by:	"Closely allied professionals" or "CAPs" are licensed architects, professional engineers, land surveyors,
Eric Morgenweck, Esq.	New Jersey State Board of Architects	(1) Sole Proprietorship	professional planners, licensed landscape architects, and
ZETLIN & DE CHIARA LLP	("NJ Arch. Board")	(2) Partnership	persons that provide space planning services, interior design
801 2nd Avenue	http://www.njconsumeraffairs.gov/arch/	(3) Professional Corporation ("PC")	services or the substantial equivalent thereof. (N.J.A.C. §
New York NY 10017		(4) Limited Liability Partnership ("LLP")	13:21-3.1).
212.682.6800	N.J. Administrative Code ("N.J.A.C."),	(5) Limited Liability Company ("LLC")	
emorgenweck@zdlaw.com	Title 13, Law and Public Safety, Chapter	(6) Corporation ("Corp.")	All shareholders of a PC must be individually licensed
	27, New Jersey State Board of Architects		architects, or comprised of CAPs (N.J.S.A. § 14A:17-5).
	,	Corporate Filings are done through the NJ	Foreign architectural PCs are not permitted.
	Licensing Statute – N.J. Statutes	Department of Treasury	
	Annotated ("N.J.S.A."), Title 45,		All partners in a LLP must be NJ licensed architects, or
	Professions and Occupations, Chapter 3,	The entity is formed first with the NJ Treasury	comprised of CAPs (N.J.S.A. § 14A:17-5)
	Architects	Department. Once a Corp. or LLC is formed, it	
		must obtain a certificate of authorization	A LLC practicing architecture requires at least: (a) 2/3rds of
	Professional Service Corporation Act -	("COA") from the NJ Arch. Board before it can	the directors are licensed architects; and (b) 2/3rds of the
	N.J.S.A. § 14A, Chapter 17	practice architecture.	members are licensed architects. At least one NJ licensed
			architect must serve as the architect in "responsible charge."
	Uniform Partnership Act (Partnerships		Can also be formed by an architect and CAPs, where at least
	and Limited Liability Partnerships) –		(a) 2/3rds of the directors are licensed architects or CAPs,
	N.J.S.A. § 42:1A-1 et seq.		with at least one director a licensed architect; and (b) 2/3rds
			of the members are licensed architects or CAPs. A minimum
	Revised Uniform Limited Liability		of 20% of the membership interest must be owned by
	Company Act – N.J.S.A. § 42:2C-1 et seq.		licensed architects. Needs to obtain a COA.
	Corporations, General – N.J.S.A. § 14A:1-		
	1 et seq.		A Corp. practicing architecture requires that: (a) 2/3rds of
			the directors are licensed architects; and (b) 2/3rds of the
			shareholders are licensed architects. At least one NJ
			licensed architect must serve as the architect in "responsible

New Jersey	Design Professional Regulation	Firm License	Corporate Formation Requirements
			charge." Can also be formed by an architect with CAPs, with
			at least (a) 2/3rds of the directors are licensed architects and
			CAP, with at least one director a licensed architect; and (b)
			2/3rds of the shareholders are licensed architects or CAPs. A
			minimum of 20% of the membership interest must be
			owned by licensed architects. Needs to obtain a COA.
	Engineering	Engineering services may be offered by:	"Closely allied professionals" ("CAPs") are licensed
	Liigineering	Engineering services may be offered by.	architects, professional engineers, land surveyors, landscape
	New Jersey State Board of Professional	(1) Sole Proprietorship	architects, and professional planners. (N.J.A.C. § 13:40-1.3).
	Engineers and Land Surveyors ("NJ Eng.	(2) Partnership	dienteets) and professional planners. (14.5.7 t.e. 3 15.40 1.5).
	Board")	(3) Professional Corporation ("PC")	All shareholders of a PC must be individually licensed
	30010 /	(4) Limited Liability Partnership ("LLP")	architects in NJ, or comprised of CAPs (N.J.S.A. § 14A:17-5).
	http://www.njconsumeraffairs.gov/pels/	(5) Limited Liability Company ("LLC")	Foreign engineering PCs are not permitted.
		(6) Corporation ("Corp.")	and the second s
	N.J. Administrative Code ("N.J.A.C."),	(-)	All partners in a LLP must be individually licensed engineers
	Title 13, Law and Public Safety, Chapter	Corporate Filings are done through the NJ	in NJ, or comprised of CAP (N.J.S.A. § 14A:17-5).
	40, State Board of Professional Engineers	Department of Treasury	
	and Land Surveyors	,	A LLC practicing engineering requires that the LLC must
		The entity is formed first with the NJ Treasury	have: (a) at least one of the LLC's full-time employees is a
	Licensing Statute – N.J. Statutes	Department. Once a Corp. or LLC is formed, it	licensed engineer in "responsible charge" of the professional
	Annotated ("N.J.S.A."), Title 45,	must obtain a certificate of authorization	services; or (b) the LLC has entered into a written contract
	Professions and Occupations, Chapter 8,	("COA") from the NJ Eng. Board before it can	with a NJ professional engineer to be in responsible charge
	Engineers, Professional, and Land	practice engineering.	before the LLC can offer to provide the services. Can also be
	Surveyors		formed by an engineer and CAPs. Needs to obtain a COA.
	Professional Service Corporation Act -		A Corp. practicing engineering requires that the Corp. must
	N.J.S.A. § 14A, Chapter 17		have: (a) at least one of the Corp.'s full-time employees is a
	, ,		licensed engineer in responsible charge of the professional
			services; or (b) the Corp. has entered into a written contract

New Jersey	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Uniform Partnership Act (Partnerships		with a NJ professional engineer to be in responsible charge
	and Limited Liability Partnerships) –		before the Corp. can offer to provide the services. Can also
	N.J.S.A. § 42:1A-1 et seq.		be formed by an engineer with CAPs. Needs to obtain a COA.
	Revised Uniform Limited Liability Company Act – N.J.S.A. § 42:2C-1 et seq. Corporations, General - – N.J.S.A. §		
	14A:1-1 et seg.		

New Mexico	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Michael F. Menicucci, Esq. CALVERT MENICUCCI, P.C. 8900 Washington St. NE Ste. "A" Albuquerque, NM 87113 (505) 247-9100 mmenicucci@hardhatlaw.net	Architecture New Mexico Board of Examiners for Architects ("NMBEA") http://www.nmbea.org Licensing Statute – N.M. Stat. Ann. § 61-15-1 et seq.	No sole proprietorship, partnership, corporation or other entity may be registered under the Architectural Act. A registered architect may practice through a partnership, provided at least one of the partners is a registered architect, and all plans, designs, drawings, or specifications issued by the partnership are stamped by a registered architect who is responsible for the work. Any other type of business entity may provide architectural services, provided the registered architect who is responsible for the work is an employee of the business entity with authority to bind the business entity. All plans and designs must bear the seal of the registered architect, and the architect in responsible charge of the business entity must provide the NMBEA with an affidavit reflecting the authority necessary under the Architectural Act.	As no business entity may be registered to practice architecture, the form of business entity is not regulated, and a firm may organize as a sole proprietorship, partnership, corporation, limited liability company or professional corporation. While not required, a firm may elect to incorporate as a professional corporation pursuant to the Professional Corporation Act ("PCA"). The PCA places significant additional limitations on the ownership and transfer of shares in the corporation. The PCA requires that a P.C. or P.A. be incorporated for a single purpose for which professional licensure is required. The P.C. or P.A. may only provide that professional service and "ancillary services", although the P.C. or P.A. may own real and personal property necessary for the provision of the professional service. The PCA provides that shares in a P.C. or P.A. may only be held by individuals licensed and registered to perform the professional service. If a firm wishes to provide architectural services, but allow for the ownership of an interest in the firm by other than registered architects, it must be organized as a partnership, business corporation or limited liability company.

New Mexico	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering New Mexico State Board of Licensure for	No firm, partnership, corporation or joint stock association may be registered or licensed to perform engineering in the State	As no business entity may be registered to practice engineering, the form of business entity is not regulated, and a firm may organize as a sole
	Professional Engineers and Surveyors ("SBLPES")	of New Mexico.	proprietorship, partnership, corporation, professional corporation or joint stock association.
	http://www.sblpes.state.nm.us	A professional engineer may engage in the practice of engineering through a	While not required, a firm may elect to incorporate as a
	Licensing Statute – N.M. Stat. Ann. § 61-23-1 et. seq.	partnership, provided at least one of the partners is a professional engineer and all	professional corporation pursuant to the Professional Corporation Act ("PCA"). The PCA places significant
	Licensing Regulations – 16 NMAC Chapter	plans, designs, drawings, specifications or reports are stamped by a professional engineer in responsible charge of the work.	additional limitations on the ownership and transfer of shares in the corporation.
	Professional Corporation Act – N.M. Stat. Ann. § 53-6-1 et. seq.	A corporation or joint stock association may offer engineering services, provided that the person in responsible charge is a professional engineer who has authority to bind the entity and that all plans, drawings, designs, specifications or reports are	The PCA requires that a P.C. or P.A. be incorporated for a single purpose for which professional licensure is required. The P.C. or P.A. may only provide that professional service and "ancillary services", although the P.C. or P.A. may own real and personal property necessary for the provision of the professional service.
		stamped by a professional engineer in responsible charge of the work.	The PCA provides that shares in a P.C. or P.A. may only be held by individuals licensed and registered to perform the professional service. If a firm wishes to provide engineering services, but allow for the ownership of an interest in the firm by other than registered engineers, it must be organized as a partnership, business corporation or joint stock association.

New York	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Eric Morgenweck, Esq. ZETLIN & DE CHIARA LLP 801 2nd Avenue New York NY 10017 212.682.6800 emorgenweck@zdlaw.com	Architecture New York State Education Department, Office of the Professions, Architectural Board ("Ed. Dept.") http://www.op.nysed.gov/prof/arch/ Licensing Statute – N.Y. Education Law, Article 147, Architecture et seq. ("Ed. Law")	The following types of entities require Ed. Dept. approval prior to the practice of architecture and filing of formation documents with the NY Secretary of State: (1) Professional Corporation ("PC") (2) Professional Service Limited Liability Company ("PLLC") (3) Registered Limited Liability Partnership ("LLP") (4) Design Professional Service Corporation ("DPC") The following types of practices do not require Ed. Dept. approval prior to practice of architecture: (1) Sole Proprietor (2) General Partnership (3) Limited Partnership	A PC that practices professional architecture in NY is governed by the Business Corporations Law, Article 15 ("BCL"). The BCL requires that in an architectural PC all shareholders, officers and directors must be NY licensed architects. Under BCL Article 15-A, a foreign PC that wants to qualify in NY requires that only the individual (a shareholder) providing the professional services in NY be licensed in NY, however, each shareholder, officer and director must be licensed in some jurisdiction. Only individuals are permitted to be shareholders. A PLLC that practices professional architecture in NY is governed by the Limited Liability Company Law ("LLC Law"), Article 12. The LLC Law requires that in an architectural PLLC all members and managers must be NY licensed architects. Under LLC Law, Article 13, a foreign PLLC can qualify to provide professional architectural services in NY provided that all members and managers are licensed in NY and original jurisdiction. A LLP that practices professional architecture in NY is governed by the NY Partnership Law, Article 8-B ("Part. Law"). The Part. Law requires that in an architectural LLP all partners must be NY licensed architects. A foreign LLP can qualify to provide professional architectural services in NY provided that all partners are NY licensed architects.
			A DPC that practices professional architecture in NY is governed by the Business Corporations Law, Article 15

New York	Design Professional Regulation	Firm License	Corporate Formation Requirements
			("BCL"). The BCL requires that in an architectural DPC greater
			than 75% of the shareholders, officers and directors must be
			NY licensed architects, and less than 25% can be unlicensed
			employees. Only individuals are permitted to be
			shareholders.
			The Ed. Law. includes an exemption from its requirements for
			"grandfathered" corporations which prior to April 12, 1929
			were permitted by law to practice architecture and
			continually in operation.
			The Ed. Dept. requires that the name of the PC, DPC, PLLC, or
			LLP include some form of the word "architecture" in its name.
	Engineering	The following types of entities require Ed.	A PC that practices professional engineering in NY is governed
	2.18.11.60.11.18	Dept. approval prior to practice of engineering	by the Business Corporations Law, Article 15 ("BCL"). The BCL
	New York State Education	and filing of formation documents with the NY	requires that in an engineering PC all shareholders, officers
	Department, Office of the	Secretary of State:	and directors must be NY licensed engineers. Under BCL
	Professions, Engineering Board ("Ed.	,	Article 15-A, a foreign PC that wants to qualify in NY requires
	Dept.")	(1) Professional Corporation ("PC")	that only the individual (a shareholder) providing the
	http://www.op.nysed.gov/prof/pels/	(2) Professional Service Limited Liability	professional services in NY be licensed in NY, however, each
	pelaw.htm	Company ("PLLC")	shareholder, officer and director must be licensed in some
		(3) Registered Limited Liability Partnership	jurisdiction. Only individuals are permitted to be
	Licensing Statute – N.Y. Education	("LLP")	shareholders.
	Law, Article 145, Engineering et. seq.	(4) Design Professional Service Corporation	
	("Ed. Law")	("DPC")	A PLLC that practices professional engineering in NY is
			governed by the Limited Liability Company Law ("LLC Law"),
			Article 12. The LLC Law requires that in an engineering PLLC
			all members and managers must be NY licensed engineers.
			Under LLC Law, Article 13, a foreign PLLC can qualify to

New York	Design Professional Regulation	Firm License	Corporate Formation Requirements
New York	Design Professional Regulation	The following types of practices do not require Ed. Dept. approval prior to practice of engineering: (1) Sole Proprietor (2) General Partnership (3) Limited Partnership • After filing the formation documents with the NY Secretary of State, a Partnership, PC, DPC, PLLC, and LLP (domestic & foreign) must also obtain a Certificate of Authority from the Ed. Dept. prior to being permitted to practice engineering.	provide professional engineering services in NY provided that all members and managers are licensed in NY and original jurisdiction. A LLP that practices professional engineering in NY is governed by the NY Partnership Law, Article 8-B ("Part. Law"). The Part. Law requires that in an engineering LLP all partners must be NY licensed engineers. A foreign LLP can qualify to provide professional engineering services in NY provided that all partners are NY licensed engineers. A DPC that practices professional engineering in NY is governed by the Business Corporations Law, Article 15 ("BCL"). The BCL requires that in an engineering DPC greater than 75% of the shareholders, officers and directors must be NY licensed engineers, and less than 25% can be unlicensed employees. Only individuals are permitted to be shareholders. The Ed. Law. includes an exemption from its requirements for "grandfathered" corporations which prior to April 15, 1935 were permitted by law to practice engineering and continually in operation.
			The Ed. Dept. requires that the name of the PC, DPC, PLLC, or LLP include some form of the word "Engineering" in its name.

Douglas P. Jeremiah, Esq. North Carolina Board of Architecture archi	ne following types of entities require firm	Corporations and LLC's that practice professional
3141 John Humphries Wynd Suite 100 Raleigh, NC 27612 (919) 789-9242 djeremiah@cgspllc.com Licensing Statute – N.C. Gen. Stat. § 83A-1 et. seq. Licensing Regulations – 21 NCAC Chapter 2 Professional Corporation Act – N.C. Gen. Stat. § 55B-1 et. seq. (2) For example 1 (2) For example 2 (3) For example 2 (4) For example 3 (5) For example 3 (6) For example 3 (7) For example 3 (8) For example 3 (9) For exam	egistration with NCBA to practice rechitecture: 1) Professional Corporation ("PC") 2) Professional Limited Liability Company ("PLLC") The following types of entities are authorized to practice architecture but do not require firm registration: 1) Sole Proprietor 2) Registered Limited Liability Partnership 3) General Partnership	architecture in North Carolina are governed by the Professional Corporation Act ("PCA"). The PCA requires the architectural PC or PLLC to be owned a minimum 2/3 by professional architects or other professionals designated in the PCA if the firm is also licensed to offer the services of the other profession (i.e. engineering, surveying, etc.). The other 1/3 of the PC or PLLC may be owned by non-licensed employees. Corporate entities are not allowed to hold an ownership interest in the PC or PLLC. The PCA requires at least one licensee <i>must</i> be a NC licensee and an Officer, Director (Board of Directors) and Shareholder of the corporation or a Member and Manager of the Limited Liability Company. The PCA includes an exemption from its requirements for corporations or limited liability companies which prior to June 5, 1969 were permitted by law to practice architecture in any state. However, the NCBA has enacted a regulation that requires the exemption to have been applied for before October 1, 1979. The PCA limits the non-professional services that the architectural PC or PLLC may render to those "ancillary"

North Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering North Carolina Board of Examiners for Engineers & Surveyors ("NCBEES") http://www.ncbels.org Licensing Statute — N.C. Gen. Stat. § 89C-1 et. seq. Licensing Regulations — 21 NCAC Chapter 56 Professional Corporation Act — N.C. Gen. Stat. § 55B-1 et. seq. Limited Liability Company Act — N.C. Gen. Stat. § 57D-1 et. seq.	The following types of entities require firm licensure with NCBEES to practice engineering: (1) Professional Corporation ("PC") (2) Professional Limited Liability Company ("PLLC") (3) Sole Proprietor (only if practicing under a name other than the licensee's name) (4) Registered Limited Liability Partnership (5) General Partnership (6) Limited Partnership (7) Exempt Corporations or LLCs http://www.ncbels.org/FirmLicensurechart.ht ml Each office offering professional engineering services within an entity subject to firm licensure must have a resident P.E. (licensed in NC) in responsible charge of the NC projects.	Corporations and LLC's that practice professional engineering in North Carolina are governed by the Professional Corporation Act ("PCA"). The PCA requires the engineering PC or PLLC to be owned a minimum 2/3 by professional engineers or other professionals designated in the PCA if the firm is also licensed to offer the services of the other profession (i.e. architecture, surveying, etc.). The other 1/3 of the PC or PLLC may be owned by non-licensed employees. Business entities are not allowed to hold an ownership interest in the PC or PLLC. The PCA requires at least one licensee (in each profession authorized or that will be authorized (e.g engineering, surveying, architecture, etc.) <i>must</i> be a NC licensee and an Officer, Director (Board of Directors) and Shareholder of the corporation or a Member and Manager of the Limited Liability Company. The PCA includes an exemption from its requirements for corporations or limited liability companies which prior to June 5, 1969 were permitted by law to practice engineering. These "pre-1969" entities may still apply to NCBEES for the exemption and must obtain firm licensure. The PCA also includes an exemption for contractor entities licensed under Articles 1 (general), 2 (plumbing

North Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
			and heating), 4 (electrical), or 5 (refrigeration) of
			Chapter 87 of the General Statutes, which offer design
			(engineering)/build services, but the design/builder may
			not offer stand-alone engineering services. These
			Chapter 87 entities must still apply to NCBEES for the
			exemption and to obtain firm licensure.
			The PCA limits the non-professional services that the
			engineering PC or PLLC may render to those "ancillary"
			to the practice of engineering. NCBEES determines
			what services qualify as ancillary and offers the
			following guidance: It needs to be a service that is
			related to, subordinate to (less important than the
			primary service of engineering), utilizes the resources
			that the company has for its engineering services
			or the specific knowledge required of engineers, and is
			not recognized as a separate field of service or
			profession (such as a separate license is required).
			Examples of services that are not considered ancillary:
			construction, law, selling used cars. Examples of
			possible ancillary services: drafting, CAD work, word
			processing, model building, renderings, Home
			Inspector Services (even though may require that
			additional license).

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jason A. Lien, Esq. MASLON EDELMAN BORMAN & BRAND, LLP 3300 Wells Fargo Center, 90 South Seventh Street Minneapolis, MN 55402 Phone: (612) 672-8319 jason.lien@maslon.com	Architecture North Dakota State Board of Architecture: http://www.ndsba.net/ Licensing Statute — N.D. Cent. Code § 43-03; N.D. Cent. Code § 10-31. Licensing Rules — N.D. Admin. Code 8-03-01.	There are no specific licensure requirements. "[R]egistration is granted to a person. Accordingly, registration cannot be granted to a firm, corporation, or other entity." N.D. Admin. Code 8-03-01. Yet, North Dakota law still requires a business entity practicing architecture to satisfy the following conditions: (1) Any business entity may practice architecture if and only if a registered architect supervises and controls the architectural services. N.D. Admin. Code 8-	There are no specific requirements for the formation of corporations that practice architecture in North Dakota. North Dakota, however, allows the formation of professional corporations, professional limited liability companies, and professional limited liability partnerships. Only owners with minority interests may form a professional organization. An owner "may not simultaneously be an executive or owner of more than one professional organization rendering the same professional service." N.D. Cent. Code § 10-31. A professional corporation renders a particular
		architectural services. N.D. Admin. Code 8-03-01. (2) All individuals in control of the business entity must be registered architects. N.D. Admin. Code 8-03-01. (3) If a partnership, each partner must register as an architect with the board. An architect forming a partnership with individuals from other professions may do	A professional corporation renders a particular professional service and requires that all shareholders be licensed in North Dakota to practice the professional service. When filing the articles of incorporation with the secretary of state, the incorporator must also provide (1) the profession that the corporation will practice, (2) the names and addresses of all the shareholders and (3) certificates from the board regulating the profession showing that the shareholders are registered to practice
		so only if the individuals are registered "in North Dakota in their respective professions." N.D. Admin. Code 8-03-02.	the profession. The name of the corporation must include one of the following: "chartered," "Ltd.," "professional corporation," "P.C.," "PC," "professional association," "P.A." or "PA." N.D. Cent. Code § 10-31.

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
			A professional limited liability company renders a particular professional service and requires that all members be licensed in North Dakota to practice the professional service. When filing the articles of organization with the secretary of state, the members must also provide (1) the profession that the company will practice, (2) the names and addresses of all the members and (3) certificates from the board regulating the profession showing that the members are registered to practice the profession. The name of the company must include one of the following: "professional limited liability company," "P.L.C.," "PLC," "P.L.C.," "PLC," "L.L.C.," or "LLC." N.D. Cent. Code § 10-31.
			A professional limited liability partnership renders a particular professional service and requires that all partners be licensed in North Dakota to practice the professional service. When filing the registration with the secretary of state, the partners must also provide (1) the profession that the partnership will practice, (2) the names and addresses of all the partners and (3) certificates from the board regulating the profession showing that the partners are registered to practice the profession. The name of the partnership must include

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
			one of the following: "professional limited liability
			partnership," "P.L.L.P.," "PLLP," "L.L.P.," or "LLP." N.D.
			Cent. Code § 10-31

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering North Dakota State Board of Registration	To practice engineering, any business organization must satisfy five criteria:	There are no specific requirements for the formation of corporations that practice engineering in North Dakota.
	for Professional Engineers and Land Surveyors: http://ndpelsboard.org/	First, "all officers, employees, and agents" of the business "who will perform the practice of engineering" in North Dakota must	North Dakota, however, allows the formation of professional corporations, professional limited liability companies, and professional limited liability partnerships.
	Licensing Statute – N.D. Cent. Code § 43-19.1-27; N.D. Cent. Code § 10-31.	register as engineers in North Dakota. N.D. Cent. Code § 43-19.1-27.	Only owners with minority interests may form a professional organization. An owner "may not simultaneously be an executive or owner of more than
	Licensing Rules – N.D. Admin. Code 28- 02.1-07-02 (2010).	Second, "each person in responsible charge" of activities constituting the practice of engineering must be registered as an	one professional organization rendering the same professional service." N.D. Cent. Code § 10-31.
		engineer in North Dakota. N.D. Cent. Code § 43-19.1-27.	A professional corporation renders a particular professional service and requires that all shareholders be licensed in North Dakota to practice the professional
		Third, the organization must receive a certificate of commercial practice. To obtain a certificate of commercial practice, the	service. When filing the articles of incorporation with the secretary of state, the incorporator must also provide (1) the profession that the corporation will practice, (2) the
		organization must (1) pay a fee, (2) "file a written application with the board setting forth the names and addresses of all	names and addresses of all the shareholders and (3) certificates from the board regulating the profession showing that the shareholders are registered to practice
		partners, officers, directors, managers, or governors, if any," and (3) provide "the names and addresses of all employees who	the profession. The name of the corporation must include one of the following: "chartered," "Ltd.," "professional corporation," "P.C.," "PC," "professional
		are duly registered to practice" engineering	association," "P.A." or "PA." N.D. Cent. Code § 10-31.

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
		in North Dakota and who will practice	A professional limited liability company renders a
		engineering. N.D. Cent. Code § 43-19.1-27.	particular professional service and requires that all
		The organization must annually renew this	members be licensed in North Dakota to practice the
		certificate and must notify the board within	
			professional service. When filing the articles of
		thirty days of making any changes to the	organization with the secretary of state, the members
		"officers, directors, partners, business	must also provide (1) the profession that the company
		addresses" or registered engineers. N.D.	will practice, (2) the names and addresses of all the
		Admin. Code 28-02.1-07-02 (2010); N.D.	members and (3) certificates from the board regulating
		Cent. Code § 43-19.1-27. The organization	the profession showing that the members are registered
		must also "[k]eep and maintain its annual	to practice the profession. The name of the company
		filing requirements with the secretary of	must include one of the following: "professional limited
		state's office current and provide a copy to	liability company," "limited liability company," "P.L.C.,"
		the board office." N.D. Admin. Code 28-	"PLC," "P.L.L.C.," "PLLC," "L.L.C.," or "LLC." N.D. Cent.
		02.1-07-02 (2010).	Code § 10-31.
		Fourth, "each organization or individual	A professional limited liability partnership renders a
		operating under" the business organization	particular professional service and requires that all
		must be "jointly and severally responsible"	partners be licensed in North Dakota to practice the
		for all professional engineering activities.	professional service. When filing the registration with the
		N.D. Cent. Code § 43-19.1-27.	secretary of state, the partners must also provide (1) the
			profession that the partnership will practice, (2) the
		Fifth, "[a]II final drawings, specifications,	names and addresses of all the partners and (3)
		plans, reports, or other engineering or land	certificates from the board regulating the profession
		surveying papers or documents involving the	showing that the partners are registered to practice the
		practice of engineeringmust be dated and	profession. The name of the partnership must include

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North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
		bear the seals and signatures of the	one of the following: "professional limited liability
		professional engineers" who prepared the	partnership," "limit liability company," "P.L.L.P.," "PLLP,"
		materials. N.D. Cent. Code § 43-19.1-27.	"L.L.P.," or "LLP." N.D. Cent. Code § 10-31

Ohio	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Michael D. Tarullo, Esq. Andrew R. Fredelake, Esq. McDonald Hopkins 250 West Street Suite 550 Columbus, OH 43215 (614) 484-0700 afredelake@mcdonaldhopkins.com mtarullo@mcdonaldhopkins.com	Architecture Ohio Architects Board Ohio Landscape Architects Board http://www.arc.ohio.gov/ Architect Laws — Ohio Revised Code Chapter 4703. Architect Rules Ohio Administrative Code Chapter 4703	The following types of business entities require firm licensure to practice architecture: (1) Corporations Organized under Ohio Revised Code Chapter 1701 (2) Professional Association Organized Under Ohio Revised Code Chapter 1785 (3) Limited Liability Company Organized under Ohio Revised Code Chapter 1705 (4) Sole Proprietor (only if practicing under a name other than the licensee's name)	Firms formed for the purpose of providing architectural services must meet the following requirements: (1) More than 50% of the partners, members or shareholders, more than 50% of directors in the case of a corporation or professional association, more than 50% of the managers in the case of an LLC, and more than 50% of the trustees in the case of an employee stock ownership plan, shall be professional engineers, surveyors, architects or landscape architects, or any combination thereof who are registered in Ohio or any other state; (2) More than 50% of the interest or shares shall be held by professional engineers, surveyors, architects or landscape architects, or a combination thereof; (3) The firm shall designate one or more architects as being in responsible charge of the architectural activities and decisions and shall file a notarized affidavit setting forth this responsibility; (4) If the firm is formed as a professional association under Chapter 1785 of the Ohio Revised Code, then it must meet the requirements set forth therein in addition to the rules promulgated under Revised Code Chapter 4703. "Professional Association" means an association organized under Chapter 1785 for the sole purpose of rendering one of the authorized professional services (including architecture). A Professional Association may render a particular professional service only through officers,

Ohio	Design Professional Regulation	Firm License	Corporate Formation Requirements
			employees, and agents who are themselves duly licensed,
			certificated, or otherwise legally authorized to render the
			professional service within the state.
			Firms meeting the above qualifications are also required to
			apply for an obtain a certificate of authorization from the Architects Board.
	Engineering	Any individual, firm, partnership, association, limited liability company, or	There are no specific requirements in Ohio for the corporate formation of an engineering firm as there are
	Ohio Engineers and Surveyors	corporation may provide professional	with architectural firms. Engineering firms are permitted to
	Board http://www.peps.ohio.gov	engineering or professional surveying services in this state as long as the services	practice under all types of business entities.
	Licensing Statutes –	are provided only through natural persons	It is noted that Ohio law does provide that each firm,
	Ohio Revised Code Chapter 4733	registered to provide those services in Ohio.	partnership, association, limited liability company, or
			corporation through which professional engineering or
	Licensing Regulations –	Corporations, partnerships, associations,	professional surveying services are offered or provided in
	Ohio Administrative Code –	limited liability companies, or firms	Ohio shall designate one or more full-time partners,
	Chapter 4733	organized under the laws of another state	managers, members, officers, or directors as being
		or country wishing to provide professional	responsible for and in responsible charge of the
		engineering or professional surveying	professional engineering or professional surveying activities
		services shall obtain a certificate of	and decisions, and those designated persons shall be
		authorization and meet the applicable	registered in Ohio.
		requirements of Ohio Revised Code Chapter	
		4733.	See Professional Association requirements above.

Architecture Brian L. Mitchell, Esq. NEUENS MITCHELL PLLC Lewis Center Building 2021 S. Lewis Avenue, Ste 660 Tulsa, Oklahoma 74104 (918) 749-9334 bmitchell@neuensmitchell.com Mitchell@neuensmitchell.com Architecture Oklahoma Professional Entity Act, 18 Okla. St. § 801 et seq. The following require firm licensure to practice architecture in Oklahoma is governor by the State Architectural and Registered Interior Design Act (the "Act"). The Board shall provide a Certificate of Authority to an authorized entity to practice or offer to practice architecture. (1) Sole Proprietor (2) Partnership (3) Firm (4) Association (5) Corporation (6) Limited Liability Company; or (7) Limited Liability Partnership (collectively "Entity"). Oklahoma Professional Entity Act, 18 Okla. St. § 801 et seq.
Persons who have been licensed to practice in states other than Oklahom provided that the state or county has a similar reciprocal provision to authorize the issuance of licenses to persons who have been licensed in t state. The entity must file with the Board an application for a certificate of authority for each office location performing work on Oklahoma projects a form approved by the Board which shall include the names, addresses, state of licensure and license number of all partners, directors, officers, members, managers or principals of the entity responsible for the entity's practice.

Oklahoma Design Professional Regulati	on Firm License	Corporate Formation Requirements
Engineering Oklahoma State Board of Licensure for Professional Engineers and Land Surveyor (the "Board") http://www.ok.gov/pels Licensing Statute – 59 O.S. § 475.1 et. seq. Licensing Regulations – Oklahoma Administrative Cod ("OAC") Title 245 Chapter 15 Oklahoma Professional Entity Act, 18 Okla. St. § 801 et seq.	The following require firm licensure to practice engineering: (1) Any form of business entity (2) A private practitioner employing other licensed engineers; or (3) Any person or entity using one or more fictitious names (collectively "Entity").	Any Entity seeking licensure as a practicing firm in Oklahoma is governed by the OAC Title 245 Chapter 15. Firms practicing, engineering services in the State of Oklahoma shall obtain a Certificate of Authorization issued by the Board. The Board may grant a Certificate of Authorization to practice through individual licensees to those firms meeting the following criteria: (1) An application is filed and approved by the Board or its designee. (2) At least one individual in responsible charge of the services and personnel performing services on behalf of the firm in this state is licensed to practice engineering or land surveying in the State of Oklahoma. A licensee must be a full-time employee of a firm to qualify as a person designated in responsible charge. (3) The firm's practice of engineering or land surveying in any main office or branch office is under the direct control and personal supervision of a duly licensed engineer or land surveyor in responsible charge. In the case of an out-of-state firm authorized to perform engineering services in Oklahoma, the firm may have one or more branch offices located in Oklahoma only if the firm has a professional engineer designated responsible and in charge of the firm's professional practice in the state. The professional engineer designated for this purpose shall be required to spend a majority of normal business hours at one or more branch offices located in Oklahoma and be duly licensed as a professional engineer in Oklahoma. (4) All documents relating to engineering or surveying work shall be sealed, signed, and dated by the Professional Engineer or Land Surveyor in responsible charge of the work.

Oklahoma	Design Professional Regulation	Firm License	Corporate Formation Requirements
			EXCEPTIONS:
			A firm whose business does not consist, either wholly or in part, of the rendering of engineering or land surveying services to the public is allowed without obtaining a Certificate of Authorization, providing they are also in compliance with OAC 245:15-19-7.
			The work of a person rendering engineering or land surveying services to a firm as an employee of the firm, when the services are rendered in carrying on the general business of the firm and the general business does not consist, either wholly or in part, of the rendering of engineering or land surveying services to the public, is allowed under the provision of O.S. Title 59, Section 475.1 et seq.
			Failure to properly file a Certificate of Incorporation or authentication and maintain same with the Secretary of State may result in revocation of the Certificate of Authorization and disciplinary action pursuant to the Rules of this Chapter.
			See above regarding Oklahoma's Professional Entity Act

Oregon	Design Professional Regulation	Firm License	Corporate Formation Requirements
John F. Purcell, Esq. James M. Walker, Esq. MILLER NASH LLP 3400 U.S. Bancorp Tower 111 S.W. Fifth Avenue Portland, Oregon 97204 (503) 224-5858 John.Purcell@MillerNash.com James.Walker@MillerNash.com	Architecture Oregon Board of Architect Examiners http://orbae.com/ Licensing Statute – ORS 671.020 et. seq. Licensing Regulations – OAR 800-010-0010 et. seq.	All types of business entities (e.g. corporations, limited liability companies, and partnerships) require firm licensure to practice architecture in Oregon. Every firm must register with the State Board of Architect Examiners before the firm may provide architectural services. A firm must file a renewal of the registration as provided by rule of the board.	Domestic private corporations, foreign private corporations, domestic cooperative corporations, foreign cooperative corporations, domestic professional corporations and foreign professional corporations must satisfy the following requirements to perform architectural services: (1) At least two-thirds of the board of directors are registered or licensed as architects or as engineers in any jurisdiction recognized by rule of the State Board of Architect Examiners or the State Board of Examiners for Engineering and Land Surveying; (2) At least one-third of the board of directors are architects registered or licensed in any jurisdiction recognized by rule of the State Board of Architect Examiners; (3) All persons in charge of the practice of architecture in this state for the firm are (a) members of the board of directors or owners of the firm, (b) regularly employed in the office of the firm that directs and has supervisory control of the practice of architecture in this state, and (c) registered as architects under ORS 671.010 to 671.220; (4) The corporate or assumed business name does not include the surname of an individual who is not presently or was not previously associated in the practice of architecture or engineering in this state or in any jurisdiction recognized by rule of the State Board of Architect Examiners or the State Board of Examiners for Engineering and Land Surveying with the named entity or with the members or predecessors of the named entity; and

Oregon	Design Professional Regulation	Firm License	Corporate Formation Requirements
			(5) The corporate or assumed business name identifies the firm as
			being engaged in the provision of architectural services
			All other firm types (e.g. limited liability companies and partnerships) must satisfy the following requirements to conduct architectural services under its corporate name or an assumed business name:
			(1) Owners having at least a two-thirds ownership interest are registered or licensed as architects or as engineers in any jurisdiction recognized by rule of the State Board of Architect Examiners or the State Board of Examiners for Engineering and Land Surveying;
			(2) At least one-third of owners having at least a one-third ownership interest in the firm are architects registered or licensed in any jurisdiction recognized by rule of the State Board of Architect Examiners;
			(3) All persons in charge of the practice of architecture in this state for the firm are (a) members of the board of directors or owners of the firm, (b) regularly employed in the office of the firm that directs and has supervisory control of the practice of architecture in this state, and (c) registered as architects under ORS 671.010 to 671.220;
			(4) The corporate or assumed business name does not include the surname of an individual who is not presently or was not previously associated in the practice of architecture or engineering in this state or in any jurisdiction recognized by rule of the State Board of Architect Examiners or the State Board of Examiners for Engineering and Land Surveying with the named entity or with the members or

Oregon	Design Professional Regulation	Firm License	Corporate Formation Requirements
			predecessors of the named entity; and
			(5) The corporate or assumed business name identifies the firm as
			being engaged in the provision of architectural services.
	Engineering Oregon Board of Examiners for Engineering and Land Surveying http://www.oregon.gov/OSBEELS/Pages/index.aspx	All types of business entities (e.g. corporations, limited liability companies, and partnerships) must be registered and hold a valid certificate to practice engineering in accordance with ORS 672.002 to 672.325.	A firm, partnership, corporation, limited liability company, joint stock company, or other organization cannot advertise for, offer to perform, or perform professional services for which a license or certificate is required unless the licensee or organization has a full-time partner, manager, officer or employee licensed and certified to practice in the discipline for which a license or certificate is
	Licensing Statute – ORS 672.002 et. seq.	Every firm must register with the State Board of Examiners for Engineering and Land Surveying before the firm may	required. A "full-time partner, manager, officer or employee" refers to a person who:
	Licensing Regulations – OAR 820-010-0010 et. seq.	provide engineering services. This requirement is, however, subject to a number of exceptions set forth in ORS 672.060.	(1) Is physically present at least one half of the person's working time in the offices of the licensee or organization during normal business hours unless the full-time partner's, manager's, officer's or employee's professional duties require that the person be elsewhere; and
			(2) Is not working for the licensee or organization under a contract or as a consultant for specific projects.

Pennsylvania	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	The Pennsylvania State Architects Licensure Board must provide written	A corporate entity may not use the words "architect" or "architecture" in its name unless one of its directors is licensed to
Jason A. Lien, Esq.	Pennsylvania State Architects	approval before any of the following seven	practice architecture in Pennsylvania. Further, before using the words
Maslon Edelman Borman &	Licensure Board:	business forms may practice architecture:	"architect" or "architecture," the business must submit
Brand, LLP	http://www.dos.state.pa.us/portal/s	(1) a sole proprietorship, (2) a partnership,	documentation showing the license of one of its directors. 15 Pa.
3300 Wells Fargo Center,	erver.pt/community/state architects	(3) a professional association, (4) a	Const. Stat. § 1303.
90 South Seventh Street	licensure board/12503	professional corporation, (5) a limited	
Minneapolis, MN 55402	·	liability company, (6) a limited liability	To form a professional association or a general or limited liability
(612) 672-8319	Licensing Statute: 63 Pa. Cons. Stat.	partnership or (7) a business corporation.	partnership, the ownership structure of the business must satisfy the
jason.lien@maslon.com	§§ 34.1-34.17.	49 Pa. Code § 9.163.	following three criteria: (1) "at least two-thirds of the partners or members [must be] licensed in a state to practice architecture,
	Licensing Regulations: 49 Pa. Code	An entity seeking Board approval must	engineering, or landscape architecture"; (2) "at least one-third of the
	§§ 9.1-9.177.	complete and submit the Board	partners or members [must be] licensed in a state to practice
		application. With the application, the	architecture"; and (3) "at least one partner or member [must be] a
		entity must provide:	licensee of the Board." 63 Pa. Cons. Stat. § 34.13; 49 Pa. Code § 9.162.
		(1) A copy of the Fictitious Name	The business must also comply with the general formation
		Application, the Articles of Incorporation,	requirements for professional associations and partnerships under 15
		the Articles of Association, the Partnership	Pa. Const. Stat. §§ 82, 83, 93.
		Agreement, the Certificate of Authority or	
		any other relevant documents of	To form a professional corporation, the ownership structure of the
		association or agreement. If the business	business must satisfy the following two criteria: (1) "every
		entity involves none of these documents,	shareholder [must be] licensed in a state to practice
		the business entity must then comply with	architecture, engineering or landscape architecture" and (2) "at least
		the following requirements for	one shareholder [must be] a licensee of the Board." 63 Pa. Cons. Stat.
		documentation.	§ 34.13; 49 Pa. Code § 9.162. The business must also comply with the
		(2) A copy of the proposed letterhead,	general formation requirements for professional corporations under
		along with the names of the principals and	15 Pa. Const. Stat. § 29.
		their credentials. The name or subtitle of	

Pennsylvania	Design Professional Regulation	Firm License	Corporate Formation Requirements
		the business must include the word	To form a business corporation, the ownership structure must satisfy
		"architect" or some derivation of the word	the following five criteria: (1) "at least two-thirds of the directors
		"architect." At least one of the principals	[must be] licensed in a state to practice architecture, engineering or
		must be a licensee of the Board.	landscape architecture," (2) "at least one-third of the directors [must
		(3) A list of all parties with ownership	be] licensed in a state to practice architecture," (3) "at least one
		interests. The list should include (a) the	director [must be] a licensee of the Board," (4) "at least two-thirds of
		percentage of each party's ownership, (b)	each class of voting stock" must be owned by architects, engineers or
		each party's profession, (c) whether each	landscape architects, and (5) "at least one-third of each class of voting
		party is licensed, (d) the state of licensure,	stock" must be owned by "individuals licensed in a state to practice
		and (e) verification of licensure.	architecture." 63 Pa. Cons. Stat. § 34.13; 49 Pa. Code § 9.162. The
		(4) A written certification that the	business must also comply with the general formation requirements
		owners will inform the Board before	for business corporations under 15 Pa. Const. Stat. §§ 11-19.
		altering the ownership structure of the	
		business. The Board must review and	To form a LLC, the business structure of the LLC must satisfy the
		approve any changes to the ownership	following five criteria: (1) "at least two-thirds of the members if
		structure. 49 Pa. Code § 9.163.	managed by members or at least two-thirds of the managers if
			managed by managers [must be] licensed" in any state to practice
			architecture, engineering or landscape architecture, (2) "at least one-
			third of the members if managed by members or at least one-third of
			the managers if managed by managers" must be licensed in any state
			to practice architecture, (3) "at least one member or manager [must
			be] a licensee of the Board," (4) "at least two-thirds of all classes of
			voting membership" must be owned by an individual licensed in any
			state to practice architecture, engineering or landscape architecture
			and (5) "at least one-third of all classes of voting membership" must
			be owned by an individual licensed in any state to practice
			architecture. 63 Pa. Cons. Stat. § 34.13; 49 Pa. Code § 9.162. The
			business must also comply with the general formation requirements
			for LLCs under 15 Pa. Const. Stat. § 89.

Pennsylvania	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	No business entity may practice	Before submitting the articles of incorporation or the fictitious name
		engineering, or use the words "engineer"	registration to the Corporation Bureau of the Department of State, a
	State Registration Board of	or "engineering" in its name, "unless the	business entity practicing engineering must provide an application for
	Professional Engineers, Land	directing heads and employees of such	certificate of approval of fictitious name or corporate name to the
	Surveyors and Geologists:	firm or corporation in responsible charge	Board. 49 Pa. Code § 37.71.
	http://www.dos.state.pa.us/portal/s	of its activities in the practice of such	
	erver.pt/community/state registrati	profession are licensed and registered in	The application to the Board must include a nonrefundable fee. "The
	on board for professional enginee	conformity with the requirements of this	Board will approve the use of a fictitious name or corporate name, if
	rs, land surveyors and geologists/1	act, and whose name, seal and signature,	at least one of the persons filing for the use of the name, one of the
	<u>2510</u>	along with the date of signature, [are]	incorporators of a proposed corporation, or one of the directors of an
		stamped on all plans, specifications, plats	existing corporation, has been properly registered as a professional
	Licensing Statute: 63 Pa. Const. Stat.	and reports issued by such firm or	engineer" in Pennsylvania. When the Board approves the application,
	§ 153; 15 Pa. Const. Stat. § 1303.	corporation." In other words, to practice	it will issue a certificate of approval to the Corporation Bureau of the
		engineering as a business entity, all	Department of State. 49 Pa. Code § 37.71. A corporate entity may not
	Licensing Regulation: 49 Pa. Code	individual "directing heads" and practicing	use the words "engineer" or "engineering" in its name unless one of
	§§ 37.16-37.71	employees must be licensed engineers in	its directors is licensed to practice engineering in Pennsylvania.
		the state of Pennsylvania. Further, all	Further, before using the words "engineer" or "engineering," the
		"directing heads" and practicing	business must submit documentation showing the license of one of its
		employees must follow the seal	directors.15 Pa. Const. Stat. § 1303.
		requirements. 63 Pa. Const. Stat. § 153.	

Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors R. Thomas Dunn, Esq.	Architecture State of Rhode Island Division	The following types of entities require firm licensure (called the certificate of authorization) to practice architecture:	In order to qualify for firm licensure under the Architect Licensing Statute, R.I. Gen. Laws § 5-1-15.1, the firm (sole proprietorship, partnership, limited liability partnership, corporation, or limited liability company) must
Katharine E. Kohm, Esq.	of Design Professionals - Board	,	meet certain requirements in their corporate composition and control:
PIERCE ATWOOD LLP	of Examination and	(1) sole proprietorship	
72 Pine Street	Registration of Architects	(2) partnership	(1) Two-thirds (2/3) of the partners (if a partnership or limited liability
Providence, RI 02903	http://www.bdp.state.ri.us/arc	(3) limited liability partnership	partnership), two-thirds (2/3) of the directors and officers (or shareholders
(401) 490-3418	hitects/	(4) corporation	if there are no directors, if a corporation), or two-thirds (2/3) of the
rtdunn@PierceAtwood.com		(5) limited liability company	managers (or members if there are no managers, if a limited liability
kkohm@PierceAtwood.com	Licensing Statute – R.I. Gen. Laws § 5-1-1 et. seq.	R.I. Gen. Laws § 5-1-7; -15.1.	company) must be registered under the laws of any state or any reciprocal jurisdiction as defined by the National Council of Architectural Registration
		Although the Architect Licensing Statute is	Boards to practice architecture <u>or</u> engineering;
	Licensing Regulations – R.I.	silent as to firm-certification requirements	
	Admin. Code R. 5-2-1 et. seq	for professional corporations (e.g. P.C. or PLLC), the Professional Services	(2) One-third (1/3) of the partners (if a partnership or limited liability partnership) or one-third (1/3) of the directors and officers (or
	Professional Service	Corporations Statute expressly authorizes	shareholders if there are no directors, if a corporation), or one-third (1/3)
	Corporations – R.I. Gen. Laws §	architects to practice under this corporate	of the managers (or members if there are no managers, if a limited liability
	7-5.1-1 et. seq.	form. R.I. Gen. Laws § 7-5.1-1; -2. It also mandates that all provisions of the Rhode	company) must be registered under the laws of any state or reciprocal jurisdiction as defined by the National Council of Architectural Registration
		Island Business Corporation Act apply to entities organized as professional services	Boards to practice architecture; and
		corporations. R.I. Gen. Laws § 7-5.1-2. Accordingly, a professional corporation is	(3) The person having the firm's practice of architecture in his or her direct control and who exercises responsible control of all personnel who act in
		considered a "corporation" for purposes of	behalf of the firm in professional and technical matters, is himself or
		architect firm licensure. <u>See</u> R.I. Gen. Laws §	herself a partner (if a partnership or limited liability partnership), a
		5-1-7; -15.1.	director or officer (or shareholders if there are no directors, if a
			corporation), or a manager (or members if there are no managers, if a
			limited liability company), and is registered to practice architecture in Rhode Island.

Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Note that separate from the architect licensing statute, the professional corporation statute additionally requires that "every officer, director, and shareholder of the corporation is an individual authorized to practice the profession and is employed by the corporation in that practice." R.I. Gen. Laws § 7-5.1-3.
			Before receiving final approval for firm licensure, corporations, limited liability companies, and limited liability partnerships (but not partnerships or sole proprietorships) must obtain a "Certificate of Good Standing" from the Rhode Island Secretary of State.
			Every certificate of authorization is valid for a period of two (2) years and expires on the last day of December of each even numbered year following its issuance.
			In the event of ownership transition or change in the responsible control of a firm, the board may permit a six (6) month grace period to allow a Rhode Island registered architect to continue to practice until a new certificate of authorization is issued.
	Engineering State of Rhode Island Division of Design Professionals - Board of Registration for Professional Engineers http://www.bdp.state.ri.us/engineers/	The following types of entities require firm licensure (called the certificate of authorization) to practice engineering: (1) sole proprietorship (2) partnership (3) limited liability partnership (4) corporation (5) limited liability company (6) joint stock company	In order to qualify for firm licensure under the Engineer Licensing Statute, R.I. Gen. Laws § 5-8-24, the firm (sole proprietorship, partnership, limited liability partnership, corporation, or limited liability company) must meet certain requirements with respect to control. An individual or individuals must take direct control of the practice, exercise personal supervision of all personnel who act on behalf of the firm in professional and technical matters, work no less than 20 hours per week, and be jointly and severally liable with the firm. The individual or individuals in responsible charge must be registered to practice engineering in Rhode Island.

Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Statute – R.I. Gen.	R.I. Gen. Laws § 5-8-20(b); -21; -24.	Also, in order to obtain firm licensure, limited liability companies and
	Laws § 5-8-1 et. seq.		corporations (but not partnerships and sole proprietorships) must submit
		Although the Engineer Licensing Statute is	evidence that they are a corporation in good standing with the Rhode
	Licensing Regulations – R.I.	silent as to firm-certification requirements	Island Secretary of State's Office or, in the alternative, if the firm is located
	Admin. Code R. 51-1-1 et. seq	for professional corporations (e.g. P.C. or	outside the State of Rhode Island, the firm must provide evidence that
		PLLC), the Professional Services	they have registered with the Rhode Island Secretary of State's Office as a
	Professional Service	Corporations Statute expressly authorizes	foreign business corporation. R.I. Admin. Code 51-1-1:V-1. Note, that
	Corporations – R.I. Gen. Laws §	engineers to practice under this corporate	rather than a "Certificate of Good Standing," a limited liability partnership
	7-5.1-1 et. seq.	form. R.I. Gen. Laws § 7-5.1-1; -2. It also	must submit a "Certificate of Legal Existence" also obtained from the
		mandates that all provisions of the Rhode	Secretary of State Office. A professional corporation additionally requires
		Island Business Corporation Act apply to	that "every officer, director, and shareholder of the corporation is an
		entities organized as professional services	individual authorized to practice the profession and is employed by the
		corporations. R.I. Gen. Laws § 7-5.1-2.	corporation in that practice." R.I. Gen. Laws § 7-5.1-3.
		Accordingly, a professional corporation is	
		considered a "corporation" for purposes of	Upon the termination of any designation of the engineer in responsible
		engineer firm licensure. <u>See</u> R.I. Gen. Laws §	charge, the registrant must give notification within ten (10) days in writing.
		5-8-20(b); -24.	Until such time as said notice is received, the designated individual shall
			remain in responsible charge and shall be held accountable for the work
			performed by that firm, partnership, or sole proprietorship. At that point,
			the certificate of authorization is suspended for a period of thirty (30) days
			pending submission of the name and new affidavit of a Professional
			Engineer registered in the State of Rhode Island who has agreed to serve
			in "responsible charge" of the engineering work of that "firm." If, at the
			end of the thirty (30) days, no such information and affidavit are furnished
			to the Board in proper form, the certificate of authorization shall be
			immediately revoked by the Board without further hearing and/or notice.
			There is a limited exception to the requirement of individual (and
			presumably firm) registration: The practice of engineering by a person
			who is not a resident of Rhode Island or who does not have an established

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Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
			place of business in Rhode Island permissible if (1) that practice does not
			exceed in the aggregate more than thirty (30) days in any calendar year,
			(2) the person is legally qualified by registration to practice engineering in
			his or her own state or country, and (3) the person applies for, receives,
			and pays a fee for a temporary certificate of registration for a definite
			period of time to do a specific job. R.I. Gen. Laws. 5-8-21.

South Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	All types of business entities (corporations, partnerships, limited liability companies, etc.) require firm licensure to	South Carolina does not have any restrictions on the corporate
L. Franklin Elmore, Esq. ELMORE GOLDSMITH 55 Beattie Place, Suite 1050 Greensville, SC 29601 (864) 255-9500 felmore@elmoregoldsmith.com	SC Board of Architectural Examiners - http://www.llr.state.sc.us/POL/Architects/ Licensing Statute - S.C. Code § 40-3-5 et seq.	practice architecture in South Carolina except for individual sole proprietorships which bear an individual architect's name. A sole proprietorship is not required to be licensed by the Board, only the licensed individuals who form the proprietorship must be licensed.	formation of an architectural firm. Architectural firms are permitted to practice under all types of business entities.
	Licensing Regulations — S.C. Code of Reg. § 11-1 et seq. Firm Requirements — S.C. Code § 40-3-30; 40-3-270; S.C. Code of Reg. § 11-10	The licensing statute defines a sole proprietorship as a business in which one or more registered architects are engaged as employees. The statute requires that a sole proprietorship must be conducted under the name registered with the Board as an individual (ie. John Smith, Architect). Any other practice name (i.e., John Smith & Associates) requires a certificate of authorization issued by the Board. A firm must have a certificate of authorization issued by the Board and must employ one or more architects registered in S.C. who are designated as being in full authority and responsible charge of the architectural practice. All personnel of the firm who act in its behalf as architects in S.C. must be registered and must hold a current registration. If there is a change in ownership, management, or the architect in responsible charge during the year, the change must be filed	
		with the Board within 30 days. If a firm or out of state firm registers with the Board under a name referring to persons rather than a trade name, the persons in the firm's name must be licensed.	

South Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	All types of business entities (corporations, partnerships,	South Carolina does not have any
		limited liability companies, etc.) require firm licensure to	restrictions on the corporate
	SC State Board of Registration for	practice engineering in South Carolina except for sole	formation of an engineering firm.
	Professional Engineers and Surveyors	proprietorships where the ownership is held by a single	Engineering firms are permitted to
	http://www.llr.state.sc.us/POL/Engineers/	individual who is licensed, where there is no stock ownership in	practice under all types of business
		the firm, and where the practice name is identical to that in	entities.
	Licensing Statute - S.C. Code § 40-22-2 et	which the individual registration is held.	
	seq.	In order to practice engineering as a firm three requirements	
		must be satisfied:	
	Licensing Regulations – S.C. Code of Reg. §	(1) One or more of the corporate officers, in the case of a	
	49-100 et seq.	corporation, or one or more of the principal owners, or a full-	
		time employee, in the case of other firms, must be licensed by	
	Firm Requirements – S.C. Code § 40-22-	the Board and must be designated as being responsible for the	
	250; S.C. Code of Reg. § 49-205	engineering services regulated by the Board.	
		2) All personnel of the firm who act on behalf of the firm as	
		professional engineers must be licensed in South Carolina.	
		(3) The firm must have a certificate of authorization by the	
		Board.	

South Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Anthony L. Osborn, Esq. GOOSMANN LAW FIRM, PLC 410 5 th Street Sioux City, IA 51101 (712) 226-4000 Anthony@goosmannlaw.com	Architecture South Dakota State Board of Technical Professions http://dlr.sd.gov/bdcomm/btp/architects.aspx Licensing Statute — SDCL 36-18A et seq. Licensing Rules — ARSD Article 20:38	Any business entity which plans to practice architecture in South Dakota must register with the Board of Technical Professions and obtain a certificate of authorization, absent an exception as outlined in SDCL 36-18A-9 and 36-18A-1(1). All officers, agents "in responsible charge," or employees who will practice architecture in South Dakota must be licensed in South Dakota. "Responsible charge" means the provision of professional services under the "immediate and responsible direction by a licensed professional who has exercised personal direction, guidance, and control over the design, preparation of documents, construction administration, and other professional services and has exercised professional judgment in all matters relating to those services." SDCL 36-18A-1(27). A licensee who renders occasional part-time or consulting services to or for an entity may not be designated as the person "in responsible charge." SDCL 36-18A-48.	There are no specific requirements in South Dakota for the corporate formation of an architectural firm. Architecture may be practiced by an individual or business entity, including a corporation, partnership, limited liability partnership, limited liability company, or sole proprietorship.
	Engineering South Dakota State Board of Technical Professions http://dlr.sd.gov/bdcomm/btp/engineers.aspx Licensing Statute — SDCL 36-18A et seq.	Any business entity which plans to practice engineering in South Dakota must register with the Board of Technical Professions and obtain a certificate of authorization, absent an exception as outlined in SDCL 36-18A-9 and 36-18A-1(1). All officers, agents "in responsible charge," or employees who will practice engineering in South	There are no specific requirements in South Dakota for the corporate formation of an engineering firm. Engineering may be practiced by an individual or business entity, including a corporation, partnership, limited liability partnership, limited liability company, or sole proprietorship.

South Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Rules – ARSD Article 20:38	Dakota must be licensed in South Dakota. "Responsible	
		charge" means the provision of professional services	
		under the "immediate and responsible direction by a	
		licensed professional who has exercised personal	
		direction, guidance, and control over the design,	
		preparation of documents, construction administration,	
		and other professional services and has exercised	
		professional judgment in all matters relating to those	
		services." SDCL 36-18A-1(27). A licensee who renders	
		occasional part-time or consulting services to or for an	
		entity may not be designated as the person "in	
		responsible charge." SDCL 36-18A-48.	

Tennessee	Design Professional Regulation	Firm License	Corporate Formation Requirements
Tennessee Author Vic L. McConnell, Esq. SMITH CASHION & ORR, PLC 231 Third Avenue North Nashville, TN 37201-1603 (615) 742-8580 VMcConnell@smithcashion.com	Architecture and Engineering Tennessee Board of Architectural and Engineering Examiners (the "Board") http://www.tn.gov/regboards/ae/ Licensing Statute – Tenn. Code Ann. § 62-2-101 et seq. Rules of the State Board of Architectural and Engineering Examiners – Chapter 0120-0601 through 0120-0604 The Tennessee Professional Corporation Act, Tenn. Code Ann. § 48-101-601 et seq. Tennessee Professional Limited Liability Companies statute, Tenn. Code Ann. § 48-248-101 et seq.	A firm disclosure form is required for corporations, partnerships, and firms (such as LLCs, LPs and LLPs) practicing or offering to practice architecture or engineering in Tennessee in accordance with Tenn. Code Ann. § 62-2-601 and 602, and Chapter 0120-06 of the Rules of the State Board of Architectural and Engineering Examiners. The disclosure form lists the names and addresses of all principals and officers, as well as the principals or officers duly registered to practice architecture, engineering or landscape architecture in Tennessee who are in responsible charge of the Tennessee practice. Corporations, partnerships, and firms maintaining a place of business in Tennessee must have one Tennessee registrant in responsible charge of the firm's Tennessee practice. An individual practicing as a sole proprietorship is not required to submit a disclosure form.	A corporation, partnership, or firm offering architectural or engineering services to the public may engage in the practice of architecture or engineering in Tennessee; provided, that at least one (1) of the principals (an individual capable of making independent design decisions) or officers of such corporation, partnership, or firm is in responsible charge of such practice, maintains active Tennessee registration as an architect or engineer, and is employed full-time for a minimum of thirty (30) hours per week. A principal is not required to be an officer in the firm. A person may be in responsible charge of more than one firm only if the firms are at the same physical location. A registrant who renders occasional, part-time, or consulting services to or for a firm may not be designated as an officer or principal in responsible charge, a firm cannot provide or offer design services to the public until such time as a new officer or principal in responsible charge is identified. The Tennessee Professional Corporation Act, Tenn. Code Ann. § 48-101-601 et seq.("PCA") applies to both domestic and foreign professional service corporations. The Board does not require a specific corporate form in order to practice or offer to practice architecture, engineering, and/or landscape architecture in Tennessee. Thus, a corporation providing design professional services is subject to the PCA only if incorporating as a
			professional corporation or elects professional corporation status. Similarly, a limited liability company providing design

Tennessee	Design Professional Regulation	Firm License	Corporate Formation Requirements
			professional services is subject to the Tennessee Professional
			Limited Liability Companies statute, Tenn. Code Ann. § 48-248-
			101 et seq., only if incorporates as a PLLC or elects such status.
			A professional corporation (or PLLC) may be a promoter, general partner, member, associate or manager of a partnership, joint venture, trust or other entity only if the entity is engaged solely in rendering professional services or in carrying on business authorized by the professional corporation's charter (or the PLLC's articles).
			A domestic or foreign corporation (or LLC) may render professional services in Tennessee only through individuals licensed or otherwise authorized to render the services. However, this does not (1) require an individual employed by a professional corporation (or PLLC) to be licensed to perform services for the corporation (or PLLC) if a license is not otherwise required; (2) prohibit a licensed individual from rendering professional services in such person's individual capacity although such person is a shareholder, director, officer, employee or agent (or member, manager, employee, or agent) of a domestic or foreign professional corporation (or PLLC); or (3) prohibit an individual licensed in another state from rendering professional services for a domestic or foreign professional corporation (or PLLC) in Tennessee if not prohibited by the Board.
			A professional corporation (or PLLC) may not render any professional service or engage in any business other than the professional service and business authorized by its charter (or

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Tennessee	Design Professional Regulation	Firm License	Corporate Formation Requirements
			articles), though this does not prohibit the professional
			corporation (or PLLC) from investing its funds in real estate,
			mortgages, securities or any other type of investment.

Texas	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Betty Quintana Richmond, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, PC 1301 McKinney Street, Suite 3700 Houston, Texas 77010 713-210-7440 brichmond@bakerdonelson.com	Architecture Texas Board of Architectural Examiners (the "Board") http://www.tbae.state.tx.us/ Texas Engineering Practices Act Occupations Code, Title 6. Regulation of Engineering, Architecture, Land Surveying and Related Practices 22 Tex. Admin. Code, Part 1	An architectural firm or other business entity that offers or provides architectural services in Texas must annually register information regarding the firm or business entity with the Board. Such an architectural firm, business entity, or association may not continue to offer or provide architectural services unless it employs or contracts with an Architect to offer or provide service and updates its registration within 30 days. 22 Tex. Admin. Code § 1.124. An Architect who is a sole practitioner doing business under his/her name, which is registered with the Board, is exempt from this requirement.	Tex. Occ. Code Ann. §1051.701: "A firm, partnership, corporation, or association, including a firm, partnership, corporation, or joint stock association engaged in the practice of engineering under Section 1001.405, may engage in the practice of architecture, represent to the public that the entity is engaged in the practice of architecture or is offering architectural services, or use the word "architect" or "architecture" in any manner in its name only if any practice of architecture or architectural service performed on behalf of the entity is performed by or through a person registered as an architect under this chapter."
			Architects are allowed to form a professional corporation under the provisions of the Texas Business Organizations Code (BOC).
	Engineering Texas Board of Professional Engineers http://www.tbpe.state.tx.us/	Texas requires that any entity offering engineering services to the public of Texas must register with the Texas Board of Professional Engineers. An entity is defined as a sole proprietorship, firm, partnership,	There are no specific requirements in Texas for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of business entities.
	Texas Engineering Practices Act Occupations Code, Title 6. Regulation of Engineering, Architecture, Land Surveying and Related Practices; Tex. Occ. Code Ann. § 1001.001 et seq.	corporation or joint stock association. To register an entity, a firm must complete the form "Firm Application for Registration" and provide the following:	Under 22 Tex. Admin. Code § 131.81, the Texas Board of Professional Engineers will issue a Certificate of Registration (defined as the annual certificate issued by the board to a firm offering or providing professional engineering services to the

Texas	Design Professional Regulation	Firm License	Corporate Formation Requirements
	22 Tex. Admin. Code, Part 6	(1) the name, address, and communication number of the firm offering to engage or engaging in the practice of professional engineering for the public in Texas; (2) the name, position, address, and communication numbers of each officer or director; (3) the name, address, and current active Texas professional engineer license number of each engineer employee performing engineering for the public in Texas on behalf of the firm; (4) the name, location, and communication numbers of each subsidiary or branch office offering to engage or engaging in the practice of professional engineering for the public in Texas, if any; (5) the federal employer identification number (EIN) for the firm (unless the firm is a sole practitioner); (6) a signed statement attesting to the correctness and completeness of the application; and (7) a registration fee as established by the board.	public in Texas). "Firms" are defined by the Section as "[a]ny entity that engages or offers to engage in the practice of professional engineering in this state. This includes sole practitioners, sole proprietorships, firms, co-partnerships, corporations, partnerships, or joint stock associations." Under Section 137.77, a firm shall provide that at least one full-time active license holder is employed with the entity and that the active license holder performs or directly supervises all engineering work and activities that require a license that is performed in the primary, branch, remote, or project office(s). Engineers are allowed to form a professional corporation under the provisions of the Texas Business Organizations Code (BOC).

Utah	Design Professional Regulation	Firm License	Corporate Formation Requirements
D. Scott DeGraffenried, Esq. HOLLAND & HART, LLP 222 South Main Street, Suite 2200	Oesign Professional Regulation Architecture Utah Division of Occupational and Professional Licensing http://www.dopl.utah.gov/licensing/archi	Business organizations (e.g., sole proprietorships, partnerships, limited liability companies, or corporations) cannot be licensed under the Architects Licensing Act ("ALA").	Because business organizations cannot be licensed to practice architecture, the type of business entity formation is not regulated. Therefore, an organization may establish itself as a sole proprietorship, partnership, limited liability company, corporation, or professional
Salt Lake City, Utah 84101	tecture.html	, ,	corporation.
(801) 799-5700 dsdegraffenried@hollandhart.com	Licensing Statute – Utah Code Ann. § 58-3a-101 et seq. (Architects Licensing Act) Licensing Regulations – Utah Administrative Code R156-3a-101 et seq. Professional Corporation Act – Utah Code Ann. § 16-11-1 et seq.	An organization may, however, engage in the practice of architecture if the organization employs a "principal" and all individuals employed by the organization who practice architecture are licensed or otherwise exempt from licensure. For purposes of the ALA, a principal is defined as a licensed architect who has "responsible charge" of an organization's architectural practice. Responsible charge means direct control and management of an organization's architectural practice.	Though not required, an organization can incorporate as a professional corporation ("PC") pursuant to the Professional Corporation Act ("PCA"). The PCA places unique requirements on the corporate structure. The PCA requires that a PC be incorporated for a single purpose for which the subject licensure is required. The PC can provide "ancillary" services to the designated professional service. The PC may also own real and personal property necessary for rendering the professional service.
			Under the PCA, a PC may only issue stock to individuals who are licensed to perform the designated professional service or to those otherwise allowed under any applicable licensing act. Therefore, if an organization intends to perform architectural services but also allow non-licensed individuals to have ownership, they must organize under a different structure.

Utah	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering Utah Division of Occupational and Professional Licensing http://www.dopl.utah.gov/licensing/engineerland-surveying.html	Business organizations (<i>e.g.</i> , sole proprietorships, partnerships, limited liability companies, or corporations) cannot be licensed under the Professional Engineers and Professional Land Surveyors Licensing Act (the "Act").	Because business organizations cannot be licensed to practice professional engineering or surveying, the type of business entity formation is not regulated. Therefore, an organization may establish itself as a sole proprietorship, partnership, limited liability company, corporation, or professional corporation.
	Licensing Statute – Utah Code Ann. § 58-22-101 et seq. (Professional Engineers and Professional Land Surveyors Licensing Act)	An organization may, however, engage in the practices of professional engineering, structural engineering or professional land surveying if the organization employs a "principal" and all individuals employed by	Though not required, an organization can incorporate as a professional corporation ("PC") pursuant to the Professional Corporation Act ("PCA"). The PCA places unique requirements on the corporate structure.
	Licensing Regulations – Utah Administrative Code R156-22-101 et seq. Professional Corporation Act – Utah Code Ann. § 16-11-1 et seq.	the organization who practice such professions are licensed or otherwise exempt from licensure. For purposes of the Act, a principal is defined as a licensed professional engineer, professional structural engineer or professional land surveyor who has "responsible charge" of an	The PCA requires that a PC be incorporated for a single purpose for which the subject licensure is required. The PC can provide "ancillary" services to the designated professional service. The PC may also own real and personal property necessary for rendering the professional service.
		organization's engineering or surveying practice. Responsible charge means being assigned to and personally accountable for an organization's production of specified professional engineering, professional structural engineering or professional land surveying projects.	Under the PCA, a PC may only issue stock to individuals who are licensed to perform the designated professional service or to those otherwise allowed under any applicable licensing act. Therefore, if an organization intends to perform professional engineering or surveying services but also allow non-licensed individuals to have ownership, they must organize under a different structure.

Vermont	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	The right to practice architecture is a personal right based on the qualities of the	Licensed architects may form a professional corporation under Vt. Stat. Ann. Tit. 11 § 801, et seq. Only licensed
William Alexander "Sandy" Fead,	Vermont Board of Architects	individual. There is no firm licensure.	architects may own shares of the capital stock in the
Esq.	http://governor.vermont.gov/boards		corporation, and the officers and directors must be licensed
FEAD CONSTRUCTION LAW, PLC	and commissions/architects	A corporation, limited liability company,	architects. The Board does not require a specific corporate
East O'Lake House, Suite 300		partnership (including a limited liability	form in order to practice or offer to practice architecture,
1233 Shelburne Road	https://www.sec.state.vt.us/professio	partnership), association, or individual	engineering, and/or landscape architecture in Vermont.
South Burlington, VT 05403	<u>nal-</u>	proprietorship may furnish architectural	Thus, a corporation providing design professional services is
(802) 863-5808	regulation/professions/architects.asp	services provided a member or employee	subject to the PCA only if incorporates as a professional
FCL@Feadlaw.com	<u>x</u>	thereof is a licensed architect and is in	corporation or elects professional corporation status.
		responsible charge of the architectural	
	Licensing Statute – Vt. Stat. Ann. tit.26	services, and provided all plans and	Licensed architects may also form a professional limited
	§ 121 et. seq.	specifications are signed and sealed by the	liability company under Vt. Stat. Ann. Tit. 11 § 3001, et seq.,
	http://www.leg.state.vt.us/statutes/f	licensed architect in responsible charge. The	with similar restrictions on membership and management.
	ullchapter.cfm?Title=26&Chapter=003	business entity is jointly and severally liable	
		with the licensed architect.	Professional corporations and limited liability companies
	Licensing Regulations – CVR 04-030-		organized for the purpose of providing architectural services
	020		are prohibited from engaging in any other business.
	https://www.sec.state.vt.us/professio		Similarly, a limited liability company providing design
	nal-		professional services is subject to Vt. Stat. Ann. Tit. 11 § 801,
	regulation/professions/architects/stat		et seq., only if incorporates as a PLLC or elects such status.
	utes-rules.aspx		
			Nothing in the law prevents regular business corporations
			from furnishing architectural services, so long as the
			employee in responsible charge of the architectural services
			is a licensed architect and he or she signs and seals all plans
			and specifications.

Vermont	Design Professional Regulation	Firm License	Corporate Formation Requirements
Vermont	Engineering Vermont Board of Professional Engineers http://governor.vermont.gov/boards and commissions/engineering https://www.sec.state.vt.us/professio nal- regulation/professions/engineering.as px Licensing Statute – Vt. Stat. Ann. tit.26 § 1161 et. seq. http://www.leg.state.vt.us/statutes/f ullchapter.cfm?Title=26&Chapter=020 Licensing Regulations – CVR 04-030- 100 https://www.sec.state.vt.us/media/1 49062/ENG Rules.pdf	Licensure as a professional engineer is available only to individuals, based upon the education and experience of that individual. There is no firm licensure. Corporations created after July 1, 1984 and all limited liability companies formed completely or in part for the practice of engineering must incorporate as a professional corporation or organize as a professional limited liability company. Licensed engineers may form partnerships, including limited liability partnerships, for the practice of professional engineering. Although not a settled question, presumably all the partners must be licensed engineers.	Professional corporations created for the practice of engineering are formed under Vt. Stat. Ann. Tit. 11 § 801, et seq. The Board does not require a specific corporate form in order to practice or offer to practice architecture, engineering, and/or landscape architecture in Vermont. Thus, a corporation providing design professional services is subject to the PCA only if incorporates as a professional corporation or elects professional corporation status. Only licensed engineers may own shares of the capital stock in the corporation, and the officers and directors must be licensed engineers. Licensed engineers may also form a professional limited liability company under Vt. Stat. Ann. Tit. 11 § 3001, et seq., with similar restrictions on membership and management. Similarly, a limited liability company providing design professional services is subject to Vt. Stat. Ann. Tit. 11 § 801, et seq., only if incorporates as a PLLC or elects such status. Professional corporations and limited liability companies organized for the purpose of providing engineering services are prohibited from engaging in any other business. Regular business corporations created prior to July 1, 1984 may provide engineering services but all plans, specifications, plats and reports must be stamped with the seal of and signed by a licensed engineer.

Vermont	Design Professional Regulation	Firm License	Corporate Formation Requirements
			A corporation or limited liability company engaged in both engineering and land surveying may allow a licensed land surveyor to exercise the rights and duties of an engineer in
			Professional corporations and professional limited liability companies organized for the purpose of providing professional engineering services are prohibited from engaging in any other business.

Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture and Engineering	The following types of entities require firm licensure or registration to practice	<u>Professional Corporations</u>
Andrew D. Ness, Esq. Elizabeth M. Walsh, Esq. JONES DAY 51 Louisiana Ave., N.W. Washington, D.C. 20001-2113 (202) 879-7675 adness@jonesday.com ewalsh@jonesday.com	Virginia Board for Architects, Professional Engineers, Land Surveyors, Certified Interior Designers and Landscape Architects http://www.dpor.virginia.gov/Boards/APELS/ Licensing Statute – Va. Code, Title 54.1,	architecture: (1) Professional Corporation (2) Professional Limited Liability Company (3) Partnership (4) Corporation (5) Limited Liability Company (6) All other entities	Stock: At least 2/3 of the professional corporation's capital stock must be issued to individuals licensed to render the relevant professional services. The remainder of the stock may only be issued to employees of the corporation (e.g. through an employee stock ownership plan), or to a partnership, where each of the partners is licensed to render the same professional services for which the corporation was incorporated. Cumulative voting is prohibited.
ewaisn@jonesday.com	Chapter 4, et. seq. Licensing Regulations – 18 Va. Admin. Code 10-20, et. seq. Entity Statutes – Va. Code § 13.1, et.	Professional corporations must hold a certificate of authority, issued by the Board for Architects, Professional Engineers, Land Surveyors, Certified Interior Designers and Landscape Architects, or be incorporated in Virginia. 18 Va. Admin. Code 10-20-530.	The professional corporation's bylaws must include these requirements. Va. Code § 13.1-549; 18 Va. Admin. Code 10-20-530. Joint ownership of stock is also prohibited. 18 Va. Admin. Code 10-20-530.
	seq.	Professional Limited Liability Companies must hold a certificate of organization in Virginia, or (for foreign entities) a certificate of registration to conduct business from the State Corporation Commission, pursuant to Va. Code § 13.1-1105. 18 Va. Admin. Code 10-20-590.	Board of Directors: The board of directors must consist of at least 2/3 of individuals licensed to render the relevant professional services. The remainder of the board may consist of employees of the corporation, whether or not they are licensed. Va. Code § 13.1-553; 18 Va. Admin. Code 10-20-530. At least one director for each profession practiced or offered must be a resident at the business to provide supervision. 18 Va. Admin. Code 10-20-530.
		All persons and entities practicing architecture and/or engineering must register with the Board Architects,	The bylaws must state that non-licensed or non-certified individuals will not have "a voice or standing" in matters affecting the practice

Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Professional Engineers, Land Surveyors, Certified Interior Designers and Landscape Architects. There is an exception, however,	that require professional expertise and/or in matters "constituting professional practice." 18 Va. Admin. Code 10-20-530.
		for professional corporations holding a certificate of authority issued under Va.	Foreign Professional Corporations
		Code § 13.1-549, professional limited liability companies holding a certificate of authority issued in accordance with Va. Code § 13.1-1111, and sole proprietorships that do not employ other individuals for which licensing is required. Va. Code §	The corporation's bylaws must state that the corporation's activities in Virginia are limited to rendering the relevant professional services. Though foreign corporations are generally required to comply with all the provisions above, foreign corporations are not required to comply with the requirement that 2/3 their stockholders be licensed or certified to perform the professional
		54.1-411; 18 Va. Admin. Code 10-20-640.	service in Virginia. 18 Va. Admin. Code 10-20-550. <u>Professional Limited Liability Companies</u>
			At least 2/3 of a company's membership interests must be held by individuals who are licensed, or professional business entities legally authorized, to render the relevant service. The remaining membership interests may be held only by individuals who are employees of the company. The company's articles of organization or the operating agreement must contain this requirement. 18 Va. Admin. Code 10-20-590.
			The articles of organization must also state that all members, managers, employees and agents who render the relevant professional services are licensed and certified to provide such services. 18 Va. Admin. Code 10-20-590.
			For companies managed by managers, the manager(s) must be licensed or otherwise legally authorized to render the relevant

Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
			professional services. Only members or managers licensed to provide services in Virginia can supervise / direct the provision of professional services in Virginia. 18 Va. Admin. Code 10-20-590.
			Foreign Professional Limited Liability Companies
			A foreign company must meet the same requirements, except for the requirement that 2/3 of the members and managers be licensed or certified to perform the relevant professional service in Virginia. Its articles of organization or operating agreement must state that its activities in Virginia are limited to rendering the relevant professional services. 18 Va. Admin. Code 10-20-610.
			Partnerships/Limited Partnerships
			The partnership agreement must state that all professional services of the partnership or under "the direct control and personal supervision" of a licensed or certified professional. 18 Va. Admin. Code 10-20-640.
			For any legal entity, if it maintains a place of business from where it offers professional services in Virginia, it must name, for each professional service offered, a resident who will be the responsible person. This person must hold a current Virginia license or certification in the relevant profession. 18 Va. Admin. Code 10-20-780.

Washington	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture	All business entities, including sole proprietorships, require firm licensure—through	Generally, professional corporations and professional limited liability companies must be wholly owned by
Douglas S. Oles, Esq.	Washington State Board for Architects	a certificate of authorization—to practice	individuals licensed to render the same professional service
Melia Preedy, Esq.	http://www.dol.wa.gov/business/architec	architecture in Washington.	as the corporation or company. WASH. REV. CODE §§
Oles Morrison Rinker &	ts/architectboard.html	WASH. REV. CODE § 18.08.420(1).	18.100.090, 25.15.045. However, "registered architects and
Baker, LLP			registered engineers may own stock in and render their
701 Pike Street, Ste	Licensing Statute – WASH. REV. CODE ch.	For an architecture firm to obtain a certificate of	individual professional services through one professional
1700	18.08	authorization, it must appoint a designated	service corporation." WASH. REV. CODE § 18.100.050(2).
Seattle, WA 98101		architect; the designated architect must be a	
(206) 623-3427	Licensing Regulations – WASH. ADMIN. CODE	general partner (if a partnership or limited	For architecture firms organized as an LLC or PLLC, the
oles@oles.com	ch. 308-12	liability partnership), a manager (if a limited	principal purpose and business of the firm must be the
preedy@oles.com	Desferational Continue Consequent	liability company), or a director (if a business	provision of architectural services. WASH. REV. CODE §§
	Professional Service Corporation Act:	corporation or professional service	18.190.010(1), 25.15.045. Similarly, a professional service
	WASH. REV. CODE §§ 18.100.010160	corporation), and must be personally registered to practice architecture in Washington. WASH.	corporation shall not "engage in any business other than the rendering of the professional services for which it was
		REV. CODE § 18.08.420.	incorporated" Wash. Rev. Code § 18.100.080.
			meer per decemin through the second of
	Engineering	The following types of entities require firm licensure—through a certificate of	Generally, professional corporations and professional limited liability companies must be wholly owned by
	Washington State Board of Registration	authorization—to practice engineering:	individuals licensed to render the same professional service
	for Professional Engineers and Land	(1) Corporations	as the corporation or company. WASH. REV. CODE §§
	Surveyors	(2) Joint Stock Associations	18.100.090, 25.15.045. However, "registered architects and
	http://www.dol.wa.gov/business/enginee	(3) Limited Liability Companies	registered engineers may own stock in and render their
	<u>rslandsurveyors/board/html</u>	The following types of entities do not require	individual professional services through one professional
		firm licensure to practice engineering:	service corporation." WASH. REV. CODE § 18.100.050(2).
	Licensing Statute – Wash. Rev. Code ch.	(1) Professional Service Corporations	
	18.43	(2) Professional Limited Liability Companies	For engineering firms organized as an LLC or PLLC, the
	Licensing Regulations – WASH. ADMIN. CODE	Wash. Admin. Code § 196-25-005.	principal purpose and business of the firm must be the
	tit. 196		provision of engineering services. WASH. REV. CODE §§

Washington	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Professional Service Corporation Act:	Engineering firms applying for a certificate of	18.190.010(1), 25.15.045. Similarly, a professional service
	WASH. REV. CODE §§ 18.100.010160	authorization must appoint a designated	corporation shall not "engage in any business other than
		engineer. The designated engineer must be an	the rendering of the professional services for which it was
		employee of the firm and may not serve as the	incorporated" WASH. REV. CODE § 18.100.080.
		professional in responsible charge for any other	
		firm or business in the state of Washington.	
		WASH. REV. CODE § 18.43.130	

West Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Patricia A. Harris, Esq. ZETLIN & DE CHIARA LLP 801 2nd Avenue New York NY 10017 (212) 682-6800 pharris@zdlaw.com	Architecture West Virginia Secretary of State, Forms for Business and Licensing, http://www.sos.wv.gov/business-licensing/Pages/FormIndexforBusinessFilings.aspx West Virginia Board of Architects, http://www.wvbrdarch.org/ Architect Licensing Statute – W. Va. Code Chapter 30, Article 12	Architectural/landscape architecture services may be offered by: (1) Sole Proprietorship (2) Limited Partnership – Domestic or Foreign (3) Limited Liability Partnership ("LLP") – Domestic or Foreign (4) Limited Liability Company – Domestic or Foreign (5) Professional Limited Liability Company ("PLLC") – Domestic or Foreign (6) Corporation – Domestic, Foreign or Foreign Professional Corporation Entity Organization: File organizational documents with the West Virginia Secretary of State. All entities must obtain a business franchise certificate from the Department of Tax & Revenue before doing business in West Virginia. The West Virginia Board of Architects registers licensed individuals but not entities.	Any architecture entity shall carry out its practice under the direct supervision of a W. Varegistered architect, W. Va. Code §30-12-12(e). Specific LLP rule: Required to carry \$1MM in professional liability insurance, W. Va. Code §47B-10-5. Specific PLLC rules: All members must be licensed, W. Va. Code §31B-13-1302. W. Va. Code §31B-13-1304 provides the relevant regulatory board shall propose procedures for approving PLLCs. At present, neither the Board of Architects nor the Board of Landscape Architects has an approval process in place. Required to carry \$1MM in professional liability insurance, W. Va. Code §31B-13-1305.
		Despite the language in the Landscape Architect Licensing Statute, W. Va. Code §30-22-19, the State Board of Landscape Architects does not issue a Certificate of Authorization as part of the entity organization process.	

West Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	Engineering services may be offered by:	The Certificate of Authorization to be issued by the Board of Registration for Professional Engineers
	West Virginia Secretary of State, Forms	(1) Sole Proprietorship	required designation of a W. Va. licensee to be in
	for Business and Licensing,	(2) Limited Partnership – Domestic or Foreign	responsible charge of the practice.
	http://www.sos.wv.gov/business-	(3) Limited Liability Partnership ("LLP") – Domestic or	
	licensing/Pages/FormIndexforBusinessFili	Foreign	Specific LLP rule:
	ngs.aspx	(4) Limited Liability Company – Domestic or Foreign(5) Professional Limited Liability Company ("PLLC") –	 Required to carry \$1MM in professional liability insurance, W. Va. Code §47B-10-5.
	West Virginia State Board of Registration	Domestic or Foreign	
	for Professional Engineers,	(6) Corporation – Domestic, Foreign or Foreign	Specific PLLC rules:
	http://www.wvpebd.org/	Professional Corporation	 All members must be licensed, W. Va. Code §31B-13-1302.
	Engineering Licensing Statute – W. Va. Code Chapter 30, Article 13	Engineering firms must procure a Certificate of Authorization from the Board of Registration for Professional Engineers, W. Va. Code §30-13-17, in advance of filing organizational documents with the West Virginia Secretary of State. All entities must obtain a business franchise certificate from the Department of Tax & Revenue before doing business in West Virginia.	 W. Va. Code §31B-13-1304 provides the relevant regulatory board shall propose procedures for approving PLLCs. At present, neither the Board of Architects nor the Board of Landscape Architects has an approval process in place. Required to carry \$1MM in professional liability insurance, W. Va. Code §31B-13-1305.

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture	The practice of architecture pertaining to the internal	There are no specific requirements in Wisconsin for the
Kim A. Hurtado, Esq. Bryan T. Kroes, Esq.	Wisconsin Department of Safety and Professional Services	operations of a firm, partnership or corporation may be performed by employees if the architectural services are performed by or under the direct supervision of architects	corporate formation of an architectural firm. Architectural firms are permitted to practice under all types of business entities, however, Wisconsin makes the
HURTADO ZIMMERMAN SC 10700 Research Drive, Suite Four,	http://dsps.wi.gov/Licenses- Permits/Architect	registered under Wis. Ch. 443, or under persons who are exempt under Wis. Stat. § 443.14. (Wis. Stat. §443.08(1)(a))	Service Corporation business entity available for individuals who render professional or other personal services for which a license, certificate, registration or
Wauwatosa, WI 53226 (414) 727-6250 khurtado@hzattys.com bkroes@hzattys.com	Licensing Statutes – Wisconsin Statutes Chapter 440: Department of Safety and Professional Services	Exempt persons include: 1) an employee of a person holding a certification of registration under Wis. Stat. §443.10 who is engaged in the practice of architecture	other legal authorization is required. (Wis. Ch. 180, Subchapter XIX). A shareholder, director, officer or employee of the Service Corporation is not personally liable for the debts or other contractual obligations of the
	Wisconsin Statutes Chapter 443: Examining Board of Architects,	and an employee of a person temporarily exempted from registration in architecture under Wis. Ch. 443, if the practice of the employee does not include responsible	Service Corporation, nor for the omissions, negligence, wrongful acts, misconduct and malpractice of any person who is not under his or her actual supervision and control
	Engineers, Designers, and Professional Land Surveyors	charge of architecture practice; 2) officers and employees of the federal government while engaged within the state of Wisconsin in the practice of architecture or landscape architecture for the federal government; 3) any person	in the specific activity in which the omissions, negligence, wrongful acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915)
	<u>Licensing Regulations</u> – Wisconsin Administrative Code Chapter	who practices architecture exclusively as a regular employee of a private company or corporation, so long as the person is actually and exclusively employed, and if the	No person may offer to practice architecture or landscape architecture, or use in connection with the person's name or otherwise assume, use or advertise any title or
	A-E 1 et. seq.: Architects, Engineers, Designers and Surveyors	company or corporation has at least one architect who is registered under Wis. Ch. 443 in responsible charge of the company or corporation's architectural work in	description that conveys the impression that the person is an architect or landscape architect, or advertise to furnish architectural or landscape architectural services unless the
	Wisconsin Administrative Code Chapter SPS 1 et. seq.: Safety and Professional Services	Wisconsin; or 4) a person engaged in the manufacture of a product or unit, including a laboratory research affiliates of the person, where the services performed are the	person has been duly registered under Wis. Stat. §443.10(1)(d). (Wis. Stat. 443.02(3))
		design, assembly, manufacture, sale or installation of a product or unit and the product or unit does not include a	No firm, partnership or corporation may engage in the practice of or offer to practice architecture or designing in

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
		building. (Wis. Stat. §443.14 (1)(a), (2), (4)(a) & (5))	Wisconsin, or use in connection with its name, or
			otherwise assume, use or advertise any title or description
		No individual architect registered under Wis. Stat. Ch. 443	tending to convey the impression that it is engaged in the
		may practice or offer to practice architecture, as a	practice of architecture or designing, nor may it advertise
		principal, officer, employee, or agent of a firm,	or offer to furnish an architectural or designing service,
		partnership, or corporation unless: 1) all personnel who	unless the firm, partnership, or corporation has complied
		practice or offer to practice in its behalf as architects are registered under Wis. Stat. Ch. 443 and 2) the firm,	with Wis. Ch. 443. (Wis. Stat. §443.08(5)(a)&(c))
		partnership, or corporation has been issued a certificate	Any firm, partnership, or corporation using the word
		of authorization under Wis. Stat. § 443.3(a)(1). (Wis. Stat.	"engineering" or any of its derivatives in its name prior to
		§ 443.08(2)(a))	April 24, 1964, shall be permitted to continue to do so and shall be permitted to use such word in any new firm,
		A firm, partnership, or corporation desiring a certificate of	partnership or corporation formed as a result of a
		authorization shall submit an application to the	reorganization of the firm, partnership or corporation, if
		department on forms provided by the department, listing	the firm, partnership or corporation does not practice or
		the names and addresses of all officers and directors, and	offer to practice architecture, professional engineering or
		all individuals in its employment registered to practice	designing unless it complies with all other applicable
		architecture or designing in Wisconsin who will be in	provisions of Wis. Ch. 443. (Wis. Stat. §443.08(6))
		responsible charge of architecture or designing being	
		practiced in this state through the firm, partnership, or	
		corporation and other relevant information required by	
		the architect or designer section of the examining board.	
		If there is a change in any of these persons, the change	
		shall be reported on the same type of form, and filed with	
		the department within 30 days after the effective date of	
		the change. The architect or designer section shall grant a	
		certificate of authorization to a firm, partnership, or	
		corporation complying with this subsection upon payment	
		of the initial credential fee determined by the department	
		under Wis. Stat. §440.03(9)(a). (Wis. Stat. §443.08(3)(a)(1)	

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
		& (3))	
		Exempt buildings from the architectural licensing requirements exist for persons who make plans and specifications for, or supervise the erection, enlargement or alteration of: 1) dwellings for single families, and outbuildings in connection with single-family dwellings, including, but not limited to, barns and private garages; 2) apartment buildings used exclusively as a residence of not more than two families; 3) buildings used exclusively for agricultural purposes; 4) temporary buildings or sheds used exclusively for construction purposes, not exceeding two stories in height, and not used for living quarters or 5) any new building containing less than 50,000 cubic feet total volume or addition to a building which results in the	
		building containing less than 50,000 cubic feet total volume. (Wis. Stat. §443.15(1) & (2))	
	Engineering	The practice of professional engineering pertaining to the internal operations of a firm, partnership or corporation	There are no specific requirements in Wisconsin for the corporate formation of a professional engineering firm.
	Wisconsin Department of Safety and Professional Services http://dsps.wi.gov/Licenses-Permits/Engineer	may be performed by employees if the professional engineering services are performed by or under the direct supervision of professional engineers registered under Wis. Ch. 443, or under persons who are exempt under Wis. Stat. § 443.14. (Wis. Stat. § 443.08(1)(b))	Professional engineering firms are permitted to practice under all types of business entities, however, Wisconsin makes the Service Corporation business entity available for individuals who render professional or other personal services for which a license, certificate, registration or
	Licensing Statutes – Wisconsin Statutes Chapter 440: Department of Safety and Professional Services	Exempt persons include: 1) an employee of a person holding a certification of registration under Wis. Stat. §443.10 who is engaged in the practice of professional engineering and an employee of a person temporarily	other legal authorization is required. (Wis. Ch. 180, Subchapter XIX). A shareholder, director, officer or employee of the Service Corporation is not personally liable for the debts or other contractual obligations of the Service Corporation, nor for the omissions, negligence,

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Wisconsin Statutes Chapter 443:	exempted from registration in professional engineering under Wis. Ch. 443, if the practice of the employee does	wrongful acts, misconduct and malpractice of any person who is not under his or her actual supervision and control
	Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and	not include responsible charge of professional engineering practice; 2) officers and employees of the federal government while engaged within the state of Wisconsin	in the specific activity in which the omissions, negligence, wrongful acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915)
	Professional Land Surveyors	in the practice of professional engineering for the federal government; 3) a public service company and its regular employees acting in its behalf where the professional	No person may offer to practice professional engineering, or use in connection with the person's name or otherwise
	<u>Licensing Regulations</u> – Wisconsin Administrative Code	engineering services rendered are in connection with its facilities which are subject to regulation, supervision, and	assume, use or advertise any title or description that conveys the impression that the person is a professional
	Chapter	control by a commission of the state of Wisconsin or the	engineer, or advertise to furnish professional engineering
	A-E 1 et. seq.: Architects, Engineers,	federal government; 4) any person who practices	services unless the person has been duly registered under
	Designers and Surveyors	professional engineering exclusively as a regular employee of a private company or corporation, so long as the person	Wis. Stat. §443.10(1)(d). (Wis. Stat. 443.02(3))
	Wisconsin Administrative Code Chapter SPS 1 et. seq.: Safety and Professional Services	is actually and exclusively employed, and if the company or corporation has at least one professional engineer who is registered under Wis. Ch. 443 in responsible charge of the company or corporation's professional engineering work in Wisconsin; or 5) a person engaged in the manufacture of a product or unit, including a laboratory research affiliates of the person, where the services performed are the design, assembly, manufacture, sale or installation of a product or unit and the product or unit does not include a building. (Wis. Stat. §443.14 (1)(b), (2), (3), (4)(b) & (5))	No firm, partnership or corporation may engage in the practice of or offer to practice professional engineering in Wisconsin, or use in connection with its name, or otherwise assume, use or advertise any title or description tending to convey the impression that it is engaged in the practice of professional engineering, nor may it advertise or offer to furnish a professional engineering service, unless the firm, partnership, or corporation has complied with Wis. Ch. 443. (Wis. Stat. §443.08(5)(b)) Any firm, partnership, or corporation using the word "engineering" or any of its derivatives in its name prior to
		No individual professional engineer registered under Wis. Stat. Ch. 443 may practice or offer to practice professional engineering, as a principal, officer, employee, or agent of a firm, partnership, or corporation unless: 1) all personnel	April 24, 1964, shall be permitted to continue to do so and shall be permitted to use such word in any new firm, partnership or corporation formed as a result of a reorganization of the firm, partnership or corporation, if

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
Wisconsin	Design Professional Regulation	who practice or offer to practice in its behalf as professional engineers are registered under Wis. Stat. Ch. 443 and 2) the firm, partnership, or corporation has been issued a certificate of authorization under Wis. Stat. § 443.3(a)(2). (Wis. Stat. § 443.08(2)(b)) A firm, partnership, or corporation desiring a certificate of authorization shall submit an application to the department on forms provided by the department, listing the names and addresses of all officers and directors, and all individuals in its employment registered to practice professional engineering in Wisconsin who will be in responsible charge of a professional engineering being practiced in this state through the firm, partnership, or corporation and other relevant information required by the professional engineer section of the examining board. If there is a change in any of these persons, the change shall be reported on the same type of form, and filed with the department within 30 days after the effective date of the change. The professional engineer section shall grant a certificate of authorization to a firm, partnership, or corporation complying with this subsection upon payment of the initial credential fee determined by the department under Wis. Stat. §440.03(9)(a). (Wis. Stat. §443.08(3)(a)(2))	the firm, partnership or corporation does not practice or offer to practice architecture, professional engineering or designing unless it complies with all other applicable provisions of Wis. Ch. 443. (Wis. Stat. §443.08(6))
		Exempt buildings from the professional engineering licensing requirements exist for persons who make plans and specifications for, or supervise the erection, enlargement or alteration of: 1) dwellings for single	

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
		families, and outbuildings in connection with single-family	
		dwellings, including, but not limited to, barns and private	
		garages; 2) apartment buildings used exclusively as a	
		residence of not more than two families; 3) buildings used	
		exclusively for agricultural purposes; 4) temporary	
		buildings or sheds used exclusively for construction	
		purposes, not exceeding two stories in height, and not	
		used for living quarters or 5) any new building containing	
		less than 50,000 cubic feet total volume or addition to a	
		building which results in the building containing less	
		than 50,000 cubic feet total volume. (Wis. Stats.	
		§443.15(1) & (2))	

Wyoming	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Holly B. Olson, Esq. HOLLAND & HART LLP 2515 Warren Avenue Suite 450 Cheyenne, WY 82001-3162 (307) 778-4200 hbolson@hollandhart.com	Architecture Wyoming State Board of Architects and Landscape Architects ("WBALA") http://www.plboards.state.wy.us/architecture Licensing Statute – Wyo. Stat. § 33-4-101 et. seq.	Wyoming law does not provide for the licensing of architectural firms, licenses to practice professional architecture in Wyoming are issued on an individual basis only.	None.
	Licensing Regulations – WBALA Rules and Regulations, Ch.1 through Ch. 11		
	Engineering Wyoming Board of Professional Engineers and Professional Land Surveyors ("WBPEPLS") http://www.engineersandsurveyors.wyo.gov Licensing Statute – Wyo. Stat. § 33-29-101 et. seq. Licensing Regulations – WBPEPLS Rules and Regulations, Ch. 1 through Ch. 7	Any type of business entity other than a sole proprietorship may register with the WBPEPLS as a firm to practice professional engineering in the State of Wyoming.	There are no specific requirements in Wyoming for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of business entities. Wyoming permits the establishment of Professional Corporations. Wyo. Stat. §17-3-101 et seq. provides that a corporation organized under the Wyoming Business Corporation Act or the Wyoming Statutory Close Corporation Supplement, whose capital stock is owned exclusively by a person or persons licensed to practice a profession by the state of Wyoming or by an agency, office or instrumentality authorized by the laws of Wyoming to license individuals for the practice of such profession, may, by and through the person or persons of such licensed stockholder or stockholders, or licensed employees, practice and offer professional services in such profession.