



Ferdose al-Taie

Shareholder

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Ferdose al-Taie is a shareholder based in Texas, and a member of Baker Donelson's Government Enforcement Investigations Group.

A former Department of Justice (DOJ) prosecutor and senior counsel in the U.S. Securities and Exchange Commission (SEC) Division of Enforcement, Ferdose focuses her practice on white collar criminal defense, internal investigations, antitrust/competition law, SEC enforcement, government investigations, and corporate compliance. With the experience that more than 20 years of practice, 40 federal trials, and 10 years of federal prosecutorial service brings, many of Ferdose's most successful client engagements have not led to public charges and therefore remain confidential.

Ferdose is business-minded. She brings a federal prosecutor's perspective to our clients' challenges to provide effective defense and compliance counseling designed to mitigate risk through practical application of fiscally efficient strategies. She represents companies and individuals in a variety of government investigations and enforcement actions, including matters involving allegations of antitrust violations, mergers and acquisitions, corporate misconduct under the Foreign Corrupt Practices Act (FCPA), whistleblower allegations (qui tam health care fraud and securities violations), federal equity receiverships, and cryptocurrency malfeasance.

During her five years at the DOJ, Ferdose served as a trial attorney in the Antitrust Division in the District of Columbia, a Special Assistant U.S. Attorney in the Eastern District of Virginia in Alexandria prosecuting criminal cases, and a civil Assistant U.S. Attorney in the District of Arizona in Phoenix. During her five years at the SEC in the District of Columbia, she investigated and prosecuted federal securities law violations, including complex financial fraud, insider trading, multi-level marketing schemes, municipal securities violations, accounting violations, unregistered securities offerings, and broker-dealer violations. Since leaving the SEC, Ferdose has served as a federal equity receiver and receiver's counsel, a position for which she was nominated by the SEC and appointed by federal judges.

Representative Matters

- Automotive experience in private practice: Full compliance practice before federal and state regulatory agencies (FTC, CFPB, DOJ, and state attorneys general) including drafting, training, testing, and monitoring compliance programs; defended automotive manufacturing company in federal multidistrict class action litigation alleging antitrust violations for grey market sales; defended automotive manufacturing company in federal multidistrict class action litigation alleging antitrust violations involving vertical integration and downstream supplier allegations; represented U.S.-based automotive manufacturing company in merger with German-based automotive manufacturing company, and years later, was involved in unwinding of the same merger; represented automotive manufacturing company to conduct internal investigation on compliance issues, remediation, and compliance testing of same before self-reporting to federal and state authorities.
- Automotive experience in federal civil service while at DOJ and SEC: Investigated automotive companies for whistleblower reports of malfeasance and wrongdoing; reviewed and assessed compliance programs presented to government regulators as part of self-reporting and remediation initiatives.

- Served as lead investigator and prosecutor for matters in federal securities law violations, including insider trading, accounting fraud, disclosure fraud, EB-5 visa program fraud, FCPA, pyramid/Ponzi schemes, and municipal securities violations.
- Successfully prosecuted eight settled actions and five administrative proceedings before the SEC's administrative law judges.
- Investigated, evaluated, counseled, and defended federal agencies in many trials and appeals of civil and criminal actions involving antitrust law, arson, child exploitation, civil rights, crimes in the national parks, fraud, illegal drug possession and distribution, illegal immigration, intellectual property, labor and employment, firearms, mandamus actions, patent infringement, real property, sex offenses, sovereignty, taxation, torts, and qui tam (False Claims Act) matters.



Professional Honors & Activities

- Co-Chair – American Bar Association (ABA) Litigation Section's White Collar & Criminal Litigation Committee
- Member, Board of Directors – Constitutional Law Center for Muslims in America (2020 – 2022)
- Mentor – Dallas Women Lawyers Association (2017 – present)
- Chair – Judicial Outreach Committee, National Association of Federal Equity Receivers (2020 – 2022)
- Member – Women's Bar Association of the District of Columbia (1998 – present)
 - Member, Board of Directors (2009 – 2012, 2014 – 2017)
 - Co-chair, Government Attorneys Forum (2006 – 2008)
 - Founder and Co-chair, Diversity Committee (2003 – 2005)
 - Founder and Editor, Raising the Bar Newsletter (2002 – 2003)
- Member – Community Liaison for Civil Rights, appointed by the U.S. Attorney for the District of Arizona (2011 – 2012)
- Trial Instructor – National Advocacy Center
- Instructor – National Institute of Trial Advocacy
- Recipient – SEC Enforcement Division Director's Award for the Municipalities Continuing Disclosure Cooperation Initiative (2016)
- Recipient – SEC Essential Employee Retention Award (2012 – 2015)
- Public Service Award Recipient – U.S. Attorney for the Eastern District of Virginia (2012)
- Commendation Letter – Chief Judge, U.S. District Court for the District of Arizona (2011)



Publications

- "Jumping on the Whistleblower Bandwagon: DOJ Announces Department-Wide Pilot Program to Incentivize Individual Reporting," republished April 30, 2024, in *Federal News Network* (March 2024)
- Co-author – "Options in Securities Fraud Litigation," *Securities Regulation Law Journal* (Winter 2023)
- Co-author – "Ensuring Event Study Accuracy For Securities Class Actions," *Law360* (June 2023)



Speaking Engagements

- Presenter – "Webinar: Antitrust Careers Paths," Federal Bar Association (July 2023)



Education

- Georgetown University Law Center, Certificate in Securities Law, 2015
- American University, Washington College of Law, J.D., 2001, cum laude
- Cornell University, School of Industrial and Labor Relations, B.S., 1998



Admissions

- Texas, 2017
- District of Columbia, 2004
- Maryland, 2001
- U.S. Supreme Court, 2006
- Ninth Circuit Court of Appeals, 2011
- Fifth Circuit Court of Appeals, 2001
- District of Columbia Circuit Court of Appeals, 2002
- U.S. District Court for the District of Arizona, 2011
- U.S. District Court for the District of Maine, 2003
- U.S. District Court for the District of Maryland, 2001
- U.S. District Court for the Eastern District of Michigan, 2003
- U.S. District Court for the Northern District of Ohio, 2003
- U.S. District Court for the Northern and Southern Districts of Texas, 2001